This file has been cleaned of potential threats.

If you confirm that the file is coming from a trusted source, you can send the following SHA-256 hash value to your admin for the original file.

dbff54e91e3fc5f9f0f132d9f8a5ab40efb8e6c5e76a2c405fde14007f810941

To view the reconstructed contents, please SCROLL DOWN to next page.

Environmental Conservation



cambridge.org/enc

Comment

Cite this article: Andrade MBT, Ferrante L, Fearnside PM (2021) Brazil's Highway BR-319 demonstrates a crucial lack of environmental governance in Amazonia. *Environmental Conservation* page 1 of 4. doi: 10.1017/ S0376892921000084

Received: 4 November 2020 Revised: 23 January 2021 Accepted: 24 January 2021

Author for correspondence: Maryane BT Andrade, Email: btandrade.maryane@gmail.com

© The Author(s), 2021. Published by Cambridge University Press on behalf of Foundation for Environmental Conservation.



Brazil's Highway BR-319 demonstrates a crucial lack of environmental governance in Amazonia

Maryane BT Andrade¹⁽⁰⁾, Lucas Ferrante¹⁽⁰⁾ and Philip M Fearnside²

¹Programa de Pós-Graduação, Instituto Nacional de Pesquisas da Amazônia (INPA), Av. André Araújo, 2936, CEP 69067-375, Manaus, Amazonas, Brazil and ²Instituto Nacional de Pesquisas da Amazônia (INPA), Av. André Araújo, 2936, CEP 69067-375, Manaus, Amazonas, Brazil

Brazil's Amazon rainforest is being rapidly degraded by logging and forest fires, as well as by droughts that are increasing under a changing climate that both favours forest fires and kills trees even without fire (Aleixo et al. 2019). Although deforestation is well known, greenhouse gas emissions from forest degradation are also very large, and in 2016 they accounted for 38% of the total forest carbon loss in the Brazilian Amazon (Walker et al. 2020). The southern and eastern edges of the Brazilian Amazon is already heavily deforested and degraded (Fearnside 2017), but in the western portion of the region the forest is still almost entirely intact. However, forest degradation associated with illegal logging and mining in the highway's 'middle section' (Supplementary Appendix S1, available online), indicating the lack of governance even before the area has experienced the increased pressures that would result from reconstructing BR-319.

BR-319 was built in 1972/1973 and officially inaugurated in 1976, but the road was abandoned by the Ministry of Transport in 1988 due to its lack of economic viability. Today, the proposed reconstruction of BR-319 is one of the Brazilian government's priority projects (Ferrante & Fearnside 2019). With the BR-319 reconstruction and the opening of the planned roads that would branch off from this highway, the deforested area has been projected to increase by over 1200% by 2100 as compared to the area that had been cleared by 2011 (dos Santos Junior et al. 2018).

Fifteen years ago, the danger of unrealistic 'governance scenarios' for Brazil's proposed Amazonian highways was highlighted (Fearnside 2006). Since then, the assertions by highway proponents that the severe environmental and social impacts of these infrastructure projects would be avoided because government agencies and/or non-governmental organizations would bring governance and sustainable development to the affected areas have repeatedly proven to be false (e.g., Fearnside 2015). Despite the legal inconsistencies (Appendix S1), Brazil is now set to embark on what is probably the most consequential of all of its many Amazonian plans: the reconstruction of Highway BR-319.

Illegal logging

We made observations on BR-319 in two research modules of the Biodiversity Research Program of Brazil's National Institute for Research in Amazonia (PPBio/INPA) at 10 points experiencing forest degradation from logging (Fig. 1(a)). We observed disturbances in these plots such as drag trails, uprooted trees, damaged tree crowns and clearings, as well as log-storage decks, tree stumps left from logging and trees marked for cutting in the near future (Fig. 1(b)). The logging does not comply with the procedures and parameters for legal forest-management projects (Brazil, MMA 2009).

These logging areas show no signs of the required directed-felling techniques that avoid knocking down trees that are either not planned for harvesting or that are protected by law, nor are the logging trails and roads planned. The required planning of trails and roads in legal management projects is designed to reduce the impact of soil compaction that can last for decades (Dearmond et al. 2019). The stumps and logs we observed did not have tags, which are required to allow later identification of the origin of the wood.

Vila Realidade, a *vila* (town) near the southern end of the highway stretch to be reconstructed, sprung up with the arrival of two busloads of organized *sem-terras* (landless farmers) in *c*. 2004 (Fearnside & Graça 2006). A continuous flow of individual *posseiros* (squatters) subsequently expanded the occupation of the surrounding land and increased the population of the town. Occupied government land surrounding the town has been legalized as an official settlement project, and the area has been designated as a 'district' in the municipality (county) of Humaitá. An audit of the BR-319 'maintenance' project by the Federal Audit Court highlighted the Realidade area as a new frontier for logging, with a large amount of illegal logging and unauthorized transport of logs, according to information from the Brazilian Institute for the



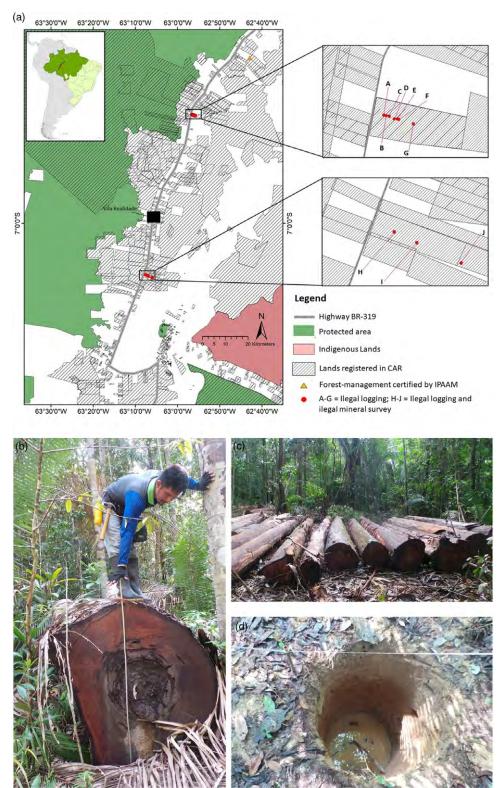


Fig. 1. (a) Map of Highway BR-319 and the location of illegal logging and mining documented here; (b) an illegally cut log; (c) a log-storage deck; and (d) a pit dug by gold prospectors.

Environment and Renewable Natural Resources (IBAMA) and the Chico Mendes Institute for Biodiversity (ICMbio) (Brazil, TCU 2020).

In 2018, migrants who settled along BR-319 in the Realidade district were interviewed by the *Folha de São Paulo* newspaper. One of the migrants indicated that, in addition to livestock, he had a forest-management project with a licence issued by the

environmental agency of the state government of Amazonas (IPAAM) and that he did not have a land title (Appendix S1). This implies that IPAAM violated Brazilian regulations that require proof of land ownership as a precondition for approving forest-management plans (Brazil, MMA 2006). We also found areas with forest-management licences granted by IPAAM along BR-319 that did not have a Rural Environmental Registry



(CAR; *Cadastro Ambiental Rural*, the registry of rural properties in Brazil) entry, which is also mandatory for forest management (IPAAM 2011).

Forest management in the Brazilian Amazon often serves as a form of subterfuge that allows illegally harvested timber to appear legal through the practice known as 'heating up wood', where wood from illegal logging is camouflaged as volume credits from legal forest management (Brancalion et al. 2018). This is easier if the locations are in close proximity, and IPAAM's public data indicate forest-management plans approved by the agency less than 20 km from the points of illegal logging visited in the present study.

The fact that the areas of logging authorized by IPAAM do not have land titles and CARs and are close to the areas of illegal logging brings into question the legitimacy of the timber industry. In view of national and global concerns regarding climate mitigation, it is essential that the economic activities in the area that is being opened to exploitation by BR-319 comply with environmental laws that are intended to keep forest standing and healthy over the long term. For this to occur, it is necessary that government authorities certify that legal requirements are met. Otherwise, the approval of forest management will continue to function as an engine for degradation through predatory logging. Greater enforcement is needed if legal timber industries are to be financially sustainable, since illegal activity competes unfairly in the market with legal forest management.

Illegal mining

Mining poses significant risks to Brazil's Amazonian forests (Sonter et al. 2017). The current president of Brazil has encouraged both corporate mining projects and garimpeiros ('wildcat miners') in the Amazon region, including those invading indigenous lands, thereby increasing land conflicts and forest destruction (Ferrante & Fearnside 2019, 2020). At the president's request, the Ministry of the Environment has punished IBAMA officials and inspectors for carrying out repression measures on illegal mining in indigenous land (Appendix S1). In the present study, we observed exploratory ore pits at 3 of the 10 sampled points (Fig. 1(c)) – these are pits that are dug to evaluate the mineral potential of the site. The agency responsible for granting, storing and managing data and information on any mining and prospecting activity is the National Mining Agency (Brazil, PR 2017). However, no records of the points we observed are contained in the agency's Geographic Mining Information System (Brazil, ANM 2020). The presence of exploratory pits indicates a plan to intensify mining activity by illegal actors. Given the ongoing dismantling of the country's environmental inspection agencies by the federal government, mining activities have increasingly become a risk to the environment in Brazilian Amazonia and to the region's traditional peoples (Ferrante & Fearnside 2019). This is particularly worrisome along BR-319 because gold miners in Humaitá (with the help of local politicians) set fire to the offices of IBAMA and ICMbio in 2018 (Appendix S1), once again showing a total lack of governance in this part of Amazonia.

Conclusion

We present evidence that Highway BR-319 in its current state is already leading to forest degradation along its route and that governance in the area is essentially non-existent. Under these circumstances, the reconstruction of BR-319 and the building of planned connecting roads would act as spearheads for deforestation and forest degradation in the western portion of the Brazilian Amazon. The proposed reconstruction project should not be approved until governance is in fact established both in the area along the highway route and in the other areas to which migration would flow. This process will take years and will require fundamental changes in the control of activities such as logging and mining, as well as addressing the underlying issues that lead to deforestation and forest degradation when Amazonian highways are built.

Supplementary material. To view supplementary material for this article, please visit https://doi.org/10.1017/S0376892921000084

Acknowledgements. We acknowledge the support of the National Institute for Research in Amazonia (INPA) through its Brazilian Biodiversity Research Program (PPBio) and the National Institute for Amazonian Biodiversity Research (CENBAM). We thank the Brazilian Biodiversity Research Program (PPBio) for the logistical support at the research modules.

Financial support. The authors' research is funded only by academic sources. MBTA and LF thank the National Council for Scientific and Technological Development (CNPq). PMF thanks CNPq (429795/2016-5, 610042/2009-2, 311103/2015-4), Amazonas State Research Support Foundation (FAPEAM) (708,565) and the National Institute for Research in Amazonia (INPA) (PRJ13.03).

Conflict of interest. None.

Ethical standards. None

References

- Aleixo I, Norris D, Hemerik L, Barbosa A, Prata E, Costa F, Poorter L (2019) Amazonian rainforest tree mortality driven by climate and functional traits. *Nature Climate Change* 9: 384–388.
- Brancalion PHS, de Almeida DRA, Vidal E, Molin PG, Sontag VE, Souza SEXF, Schulze MD (2018) Fake legal logging in the Brazilian Amazon. *Science Advances* 4: eaat1192.
- Brazil, ANM (Agência Nacional de Mineração) (2020) Sistema de Informações Geográficas da Mineração-SIGMINE [www document]. URL https://bit.ly/ 2TDuyda
- Brazil, MMA (Ministério do Meio Ambiente) (2009) Resolução nº406, de 02 de fevereiro de 2009, Brasília, DF, Brazil [www document]. URL https://bityl.co/ 4c2C
- Brazil, PR (Presidência da República) (2017) Lei nº 13.575, de 26 de dezembro de 2017. PR, Brasília, DF, Brazil [www.document]. URL https://bityl.co/4c2H
- Brazil, TCU (Tribunal de Contas da União) (2020) Acordão nº 532/2020-TCU-Plenário – Relator Ministro Walton Alencar Rodrigues – Levantamento de auditorias. licenciamento ambiental das obras da BR-319/AM/RO. TCU, Brasília, DF, Brazil [www document]. URL https:// bit.lv/34GPoPc
- Dearmond D, Emmert F, José A, Lima N, Higuchi N (2019) Impacts of soil compaction persist 30 years after logging operations in the Amazon Basin. *Soil & Tillage Research* 189: 207–216.
- dos Santos Junior MA, Yanai AM, Sousa Junior FO, de Freitas IS, Pinheiro HP, de Oliveira ACR et al. (2018) BR-319 como Propulsora de desmatamento: Simulando o Impacto da Rodovia Manaus-Porto Velho. Instituto de Desenvolvimento Sustentável da Amazônia (IDESAM), Manaus, AM, Brazil. 56 pp. [www document]. URL https://idesam.org/simula-desmatamentobr319/
- Fearnside PM (2006) Containing destruction from Brazil's Amazon highways: now is the time to give weight to the environment in decision-making. *Environmental Conservation* 33: 181–183.
- Fearnside PM (2015) Highway construction as a force in destruction of the Amazon forest In: R van der Ree, DJ Smith, C Grilo (eds),

Handbook of Road Ecology (pp. 414–424). Oxford, UK: John Wiley & Sons Publishers.

- Fearnside PM (2017) Deforestation of the Brazilian Amazon. In: H Shugart (ed.), Oxford Research Encyclopedia of Environmental Science. New York, NY, USA: Oxford University Press [www document]. URL https://doi.org/ 10.1093/acrefore/9780199389414.013.102
- Fearnside PM, Graça PMLA (2006) BR-319: Brazil's Manaus-Porto Velho Highway and the potential impact of linking the arc of deforestation to central Amazonia. *Environmental Management* 38: 705–716.
- Ferrante L, Fearnside PM (2019) Brazil's new president and 'ruralists' threaten Amazonia's environment, traditional peoples and the global climate. *Environmental Conservation* 46: 261–263.
- Ferrante L, Fearnside PM (2020) The Amazon's road to deforestation. *Science* 369: 634.

- IPAAM (Instituto de Proteção Ambiental do Amazonas) (2011) Lei nº 3.635, de 06 de julho de 2011. IPAAM, Manaus, AM, Brazil [www document]. URL https://bit.ly/3kMrJCr
- Matricardi EAT, Skole DL., Costa OB, Pedlowski MA, Samek JH, Miguel EP (2020) Long-term forest degradation surpasses deforestation in the Brazilian Amazon. *Science* 369: 1378–1382.
- Sonter LJ, Herrera D, Barrett DJ, Galford GL, Moran CJ, Soares-Filho BS (2017) Mining drives extensive deforestation in the Brazilian Amazon. *Nature Communications* 8: 1013.
- Walker WS, Gorelik SR, Baccini A, Aragon-Osejo JL, Josse C, Meyer C et al. (2020) The role of forest conversion, degradation, and disturbance in the carbon dynamics of Amazon indigenous territories and protected areas. *Proceedings of the National Academy of Sciences of the United States of America* 117: 3015–3025.

Appendix S1- Supplementary Material

Brazil's Highway BR-319 shows crucial lack of environmental governance in Amazonia

M. B. T ANDRADE¹ * L. FERRANTE¹, P. M. FEARNSIDE²

 ¹ Programa de Pós-Graduação, Instituto Nacional de Pesquisas da Amazônia (INPA), Av. André Araújo, 2936, CEP 69067-375, Manaus, Amazonas, Brazil.
 ² Instituto Nacional de Pesquisas da Amazônia (INPA), Av. André Araújo, 2936, CEP 69067-375, Manaus, Amazonas, Brazil.

LEGAL INCONSISTENCIES

1 2

3

4 5

6 7

8

9

10

11 12

13

14

15

16

17

18

19

20

21

22

23

24 25

26

27

28

29 30

31

32

33 34

35

Bidding has been opened for one segment of Highway BR-319 (Lot 'C': kms 177.8 to 250. Note: BR-319's km 0 is at the Manaus end of the Highway), even though it lacks the Environmental Impact Study and Report (EIA/RIMA) that is required by Brazilian legislation (Brazil, Justiça Federal da 1ª Região 2020, Ferrante & Fearnside 2020). In the case of another section (the 'middle section': kms 250.00 to 655.70) the bidding has not yet been opened, but preparations for the start of the construction are proceeding quickly despite the EIA/RIMA that has recently been prepared for this section still being under analysis by licensing agency, the Brazilian Institute for the Environment and Renewable Natural Resources (IBAMA). The EIA/RIMA must be approved before either the bidding or the construction work can legally be done, but in Brazil there is a long tradition of many laws being ignored or circumvented, especially when powerful interests are involved (e.g., Rosenn 1971).

Neither of these segments could legally be constructed without consulting affected indigenous peoples as required by ILO Convention 169 (ILO 1989) and the corresponding Brazilian law (Decree No. 10,088, of 5 November 2019; formerly Decree No. 5051, of 19 April 2004) (Brazil, PR 2004, 2019). These require the prior, free and informed consent of Indigenous and Traditional Peoples, and this consent must be obtained not only before the beginning the construction project itself but also before the decision on whether or not the project should be built (e.g., Brazil, DNIT 2020, p. 27; Fearnside 2020a, Ferrante et al. 2020). The project also lacks a Technical, Economic and Environmental Feasibility Study (EVTEA), which is also legally required (Law 5917/1973 art. 3, paragraph i): Brazil, PR 1973, Brazil, TCU 2016, 2018).

Despite this scenario of legal inconsistencies and environmental risks the deforestation and the building of illegal side roads are already occurring along the BR-319 route, even in areas of traditional indigenous use (Fearnside et al. 2020a,b). Political pressure to rebuild BR-319 is high, and interested parties argue (fallaciously) that territorial governance would be able to contain the migration of illegal actors, such as loggers, land grabbers (*grileiros*) and wildcat miners (*garimpeiros*).

42 A LAWLESS LAND

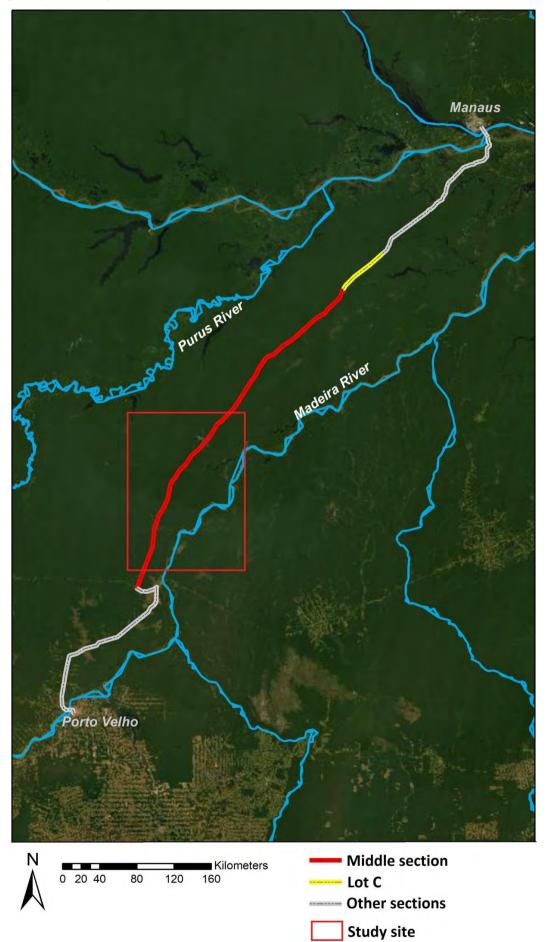
Migration of deforestation actors to the BR-319 area is occurring in synergy
with the lack of governance. This is particularly worrying along BR-319 because in
2018 gold miners in Humaitá (with the help of local politicians) set fire to the offices of
IBAMA and ICMbio (Farias 2018), once again showing a total lack of governance in
this part of Amazonia.

That same year and in the same municipality (county), migrants who settled
along the BR-319 in Realidade district were interviewed by the *Folha de São Paulo*newspaper. One of the migrants indicated that, in addition to livestock, he had a forestmanagement project with a license issued by the environmental agency of the state
government of Amazonas (IPAAM) and that he did not have a land title (Maisonnave &
Almeida 2018).

54 Even with clear problems of inspection and punishment of illegal actors. Brazil 55 is taking the opposite path, decreasing command-and-control in the Amazon. At the 56 president's request, the Ministry of the Environment has punished IBAMA officials and 57 inspectors for carrying out repression measures on illegal mining in indigenous land 58 (Gonzales 2020).



Figure 1. Diagrammatic map of BR-319 with the administrative sections of the highway and study site.



References

63	
64	Brazil, DNIT (Departamento Nacional de Infraestrutura de Transportes) (2020) Estudo
65	do Componente Indígena CI Preliminar da Etnia 3 – Apurinã – Rev C. DNIT,
66	Brasília, DF. 173 pp. [www document]. URL https://bit.ly/2HSyc00
67	Brazil, Justiça Federal da 1ª Região (2020) Número: 1016749-49.2019.4.01.3200.
68	[www document]. URL https://bit.ly/2JhJgEI
69	Brazil, PR (Presidência da Republica) (1973) Lei No 5.917, de 10 de setembro de 1973.
70	PR, Brasília, DF, Brazil. [www document]. URL https://bityl.co/4G93
71	https://www.planalto.gov.br/ccivil_03/leis/l5917.htm
72	Brazil, PR (Presidência da Republica) (2004) Decreto No 5.051, de 19 de abril de 2004,
73	PR, Brasília, DF, Brazil. [www.document]. URL
74	http://www.planalto.gov.br/ccivil_03/_ato2004-2006/2004/decreto/d5051.htm
75	Brazil, PR (Presidência da Republica) (2019) Decreto Nº 10.088, de 5 de novembro de
76	2019. PR, Brasília, DF, Brazil. [www document]. URL
77	http://www.planalto.gov.br/ccivil_03/_Ato2019-
78	2022/2019/Decreto/D10088.htm#art5
79	Brazil, TCU (Tribunal de Contas da União) (2016) Sumário: representação. Portaria
80	DNIT 1.562/2008. Autorização para dispensa de estudos de viabilidade técnica e
81	econômica em obras de infraestrutura. Determinações para correção das
82	irregularidades. TCU, Brasília, DF, Brazil. [www document]. URL
83	https://bit.ly/31WTKjd
84	Brazil, TCU (Tribunal de Contas da União). 2018. Sumário: pedido de reexame em
85	representação. Ilegalidade em portaria que dispensou a elaboração de estudo de
86	viabilidade técnica e econômica em obras do PAC do DNIT. Insuficiência dos
87	argumentos apresentados para modificar o aresto recorrido. Provimento parci Prestar esclarecimentos. TCU, Brasília, DF, Brazil. [www document]. URL https://bit.ly/37Zx71q
88	
89	
90	
91	Farias E (2018) Prefeito de Humaitá e vereadores são presos por envolvimento em
92	ataque a prédios do Ibama e ICMBIo. Amazônia Real, 27 March 2018. [www
93	document]. URL https://amazoniareal.com.br/prefeito-de-humaita-e-vereadores-
94	sao-presos-por-envolvimento-em-ataque-a-predios-do-ibama-e-icmbio/

95 96 97	Fearnside PM (2020a) BR-319 – O começo do fim para a floresta amazônica brasileira. <i>Amazônia Real</i> , 6 October 2020. https://amazoniareal.com.br/br-319-o-comeco- do-fim-para-a-floresta-amazonica-brasileira-06-10-2020/
98 99 100 101	Fearnside PM (2020b) Oil and gas project threatens Brazil's last great block of Amazon forest (commentary). <i>Mongabay</i> , 9 March 2020. [www document]. URL https://news.mongabay.com/2020/03/oil-and-gas-project-threatens-brazils-last-great-block-of-amazon-forest-commentary/
102 103 104 105	Fearnside PM, Ferrante L, de Andrade MBT (2020a) BR-319 illegal side road threatens Amazon protected area, indigenous land (commentary). <i>Mongabay</i> , 27 March 2020. [www document]. URL https://news.mongabay.com/2020/03/br-319- illegal-side-road-threatens-amazon-protected-area-indigenous-land-commentary/
106 107 108	Fearnside PM, Ferrante L, Yanai AM, Isaac Júnior MA (2020b). Região Trans-Purus, a última floresta intacta. <i>Amazônia Real</i> , 24 August -21 September 2020. [www document]. URL https://bit.ly/3jTtr3X
109 110	Ferrante L, Fearnside PM (2020) The Amazon road to deforestation. <i>Science</i> 369: 634. https://doi.org/10.1126/science.abd6977
111 112 113 114	Ferrante L, Gomes M, Fearnside PM (2020) Amazonian indigenous peoples are threatened by Brazil's Highway BR-319. Land Use Policy 94: art. 104548. https://doi.org/10.1016/j.landusepol.2020.104548
115 116 117 118	Gonzales J (2020) Brazil sacks officials who curbed deforestation on amazon indigenous lands. <i>Mongabay</i> , 5 May 2020. https://news.mongabay.com/2020/05/brazil-sacks-officials-who-curbed- deforestation-on-amazon-indigenous-lands/#
119 120 121 122	ILO (International Labour Organization) (1989) C169 - Indigenous and Tribal Peoples Convention, 1989 (No. 169). ILO, Geneva, Switzerland. https://bit.ly/34KymzN
123 124 125	Maisonnave F, Almeida L (2018) Estrada que liga Manaus ao resto do país ameaça abrir uma Alemanha na mata. <i>Folha de São Paulo</i> , 4 September 2018. [www document]. URL https://bit.ly/3oJvcnE
126 127 128 129	Matricardi EAT, Skole DL., Costa OB, Pedlowski MA, Samek JH, Miguel EP (2020) Long-term forest degradation surpasses deforestation in the Brazilian Amazon. <i>Science</i> 369: 1378–1382. https://doi.org/10.1126/science.abb3021
130 131 132 133	Rosenn KS (1971) The jeito: Brazil's institutional bypass of the formal legal system and its development implications. <i>American Journal of Comparative Law</i> 19: 514-549. https://doi.org/10.2307/839559