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21 **Tree Height in Brazil's 'Arc of**
22 **Deforestation': Shorter trees in south and**
23 **southwest Amazonia imply lower biomass**
24

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Abstract

This paper estimates the difference in stand biomass due to shorter and lighter trees in southwest (SW) and southern Amazonia (SA) compared to trees in dense forest in central Amazonia (CA). Because forest biomass values used to estimate carbon emissions from deforestation throughout Brazilian Amazonia will be affected by any differences between CA forests and those in the “arc of deforestation” where clearing activity is concentrated along the southern edge of the Amazon forest. At 12 sites (in the Brazilian states of Amazonas, Acre, Mato Grosso and Pará) 763 trees were felled and measurements were made of total height and of stem diameter. In CA dense forest, trees are taller at any given diameter than those in SW bamboo-dominated open, SW bamboo-free dense forest and SA open forests. Compared to CA, the three forest types in the arc of deforestation occur on more-fertile soils, experience a longer dry season and/or are disturbed by climbing bamboos that cause frequent crown damage. Observed relationships between diameter and height were consistent with the argument that allometric scaling exponents vary in forests on different substrates or with different levels of natural disturbance. Using biomass equations based only on diameter, the reductions in stand biomass due to shorter tree height alone were 11.0%, 6.2% and 3.6%, respectively, in the three forest types in the arc of deforestation. A prior study had shown these forest types to have less-dense wood than CA dense forest. When tree height and wood density effects were considered jointly, total downward corrections to estimates of stand biomass were 39%, 22% and 16%, respectively. Downward corrections to biomass in these forests were 76 Mg ha⁻¹ (~ 21.5 Mg ha⁻¹ from the height effect alone), 65 Mg ha⁻¹ (18.5 Mg ha⁻¹ from height), and 45 Mg ha⁻¹ (10.3 Mg ha⁻¹ from height). Hence, biomass stock and carbon emissions are overestimated when allometric relationships from dense forest are applied to SW or SA forest types. Biomass and emissions estimates in Brazil’s National Communication under the United Nations Framework Convention on Climate Change require downward corrections for both wood density and tree height.

keywords:

Allometry; Carbon; Global warming; Greenhouse gas emissions; Tropical forest; Wood density.

74 **Introduction**

75 Large scale patterns, such as physiognomy of the vegetation, floristic composition,
76 tree turnover and biomass stock have recently been described for Amazonian vegetation (Eva
77 et al., 2004; Houghton et al., 2001; Malhi et al., 2006; Phillips et al., 2004; Terborgh and
78 Andresen, 1998; ter Steege et al., 2000, 2006). Conventional classifications have generally
79 assumed that the Amazon region has two main forest types, identified as “dense” and “open”
80 forest (Veloso et al., 1991). The dense forest is more extensive (Brazil, IBGE, 1997) and has
81 denser wood (Chave et al., 2006; Nogueira et al., 2005; Nogueira et al., 2007), giving this
82 forest a larger biomass stock than open forest (Malhi et al., 2006). The per-hectare total basal
83 area has been clearly shown to be higher in the central Amazon than at the region’s southern
84 edge, mainly due to the latter’s abundance of small trees (Baker et al., 2004; Malhi et al.,
85 2006). While dense forests are on poor soils, open forests occur on more fertile soils in the
86 southern portion of Brazilian Amazonia (Brazil, RadamBrasil, 1973-1983; Brown and
87 Prance, 1987; Malhi et al., 2004; Sombroek, 2000). Solar radiation is more seasonal and the
88 dry season is longer in open forest, affecting tree species diversity and aboveground net
89 primary productivity (Baker et al., 2004; Chave et al., 2006; Laurance et al., 2006; Malhi et
90 al., 2004; Meinzer et al., 1999, 2001; ter Steege et al., 2003, 2006; Tuomisto et al., 1995).

91 Two open forest types disturbed by abundant lianas or climbing bamboo cover
92 366,000 km² of the southern Brazilian Amazon (Brazil, IBGE, 1997). Recent gaps and low-
93 stature patches occupied 40% of a liana-dominated forest in the eastern Amazon (Gerwing
94 and Farias, 2000). Forest dominated by climbing bamboo (*Guadua* spp.) is also largely
95 composed of disturbed patches. These gap-rich forests sustain more fast-growing pioneer tree
96 species. Consequently, wood density is lower than in neighboring dense forest without
97 climbers (Nelson et al., 2006). In open forests disturbed by climbing bamboo or lianas
98 (Schnitzer et al., 2000; Silveira, 1999; Putz et al., 1983; Putz, 1984), smaller trees suffer stem
99 breakage and height loss (Clark and Clark, 2001; Griscom and Ashton, 2006). In the
100 Peruvian Amazon, Griscom and Ashton (2006) found that, in the presence of abundant
101 climbing *Guadua* spp., trees 5-29 cm in diameter attained an average height that was about
102 50-55% that of trees in the same size classes in nearby bamboo-free plots. Griscom and
103 Ashton (2006) attributed this difference to crown and stem breakage. Trees larger than 30 cm
104 dbh were mostly beyond the reach of bamboo and these showed no difference in average
105 height between neighboring plots with and without bamboo. In a liana-dominated open forest
106 in the Bolivian Amazon, Alvira et al. (2004) found that a large percentage of trees was
107 infested in all dbh size classes, the largest trees having the highest frequencies and loads.
108 Trees with lianas had more crown damage than trees without lianas.

109 Differences in the total height of trees are also expected among Amazonian forest
110 types due to differences in ecological interactions, such as tree mortality, development of
111 understory trees, competition and floristic composition, which affect vertical and horizontal
112 structural patterns in forest canopies (Griscom and Ashton, 2003; Latham et al., 1998;
113 Laurance et al., 2006; Lugo and Scatena, 1996; Muller-Landau et al., 2006; Weiner and
114 Thomas, 1992). Thus, allometric relationships (such as the relationships among bole
115 diameter, tree height, crown diameter and wood density) will be useful for understanding the
116 structure and dynamics of tropical forests and the competitive interactions among the tree
117 species (Bohlman and O’Brien, 2006; O’Brien et al., 1995; Perez, 1970; van Gelder et al.,
118 2006; Weiner and Thomas, 1992). Furthermore, knowledge of variation in allometric patterns
119 among Amazonian forests is likely to be useful in improving the underlying scaling
120 relationships between diameter and tree size (Enquist, 1999, 2002; Muller-Landau et al.,
121 2006; Niklas and Spatz, 2004).

122 Variation in the vertical structure of forests could directly affect biomass stock. In
123 transition (open) forest, trees may be shorter at any given diameter as compared to trees in
124 the dense forest in central Amazonia, and obviously the shorter of two stems of the same
125 diameter will have less biomass. Similarly, lighter-density stems with the same volume have
126 less biomass.

127 Recent Amazonian forest biomass studies applied wood density corrections and
128 recognized the necessity of adapting allometry to improve biomass estimates, mainly at the
129 southern edge of Amazonia (Baker et al., 2004; Malhi et al., 2004, 2006). However, if no
130 correction is made for the height effect, the biomass will be overestimated by allometric
131 relationships derived from central Amazonian studies. Recent wood density studies
132 (Nogueira et al., 2007), combined with appropriate understanding of the structure of southern
133 Amazonian forests, could provide substantial insights into the impact of land-cover and land-
134 use change on the global carbon cycle. This is because the southern edge of Amazonia
135 comprises the “arc of deforestation” and constitutes the predominant source of carbon
136 emissions from deforestation in Brazil. This is also the area where the greatest uncertainties
137 remain in carbon stock estimates (Houghton et al., 2000, 2001; Nepstad et al., 2001;
138 Nogueira et al., 2007).

139 In this study we evaluate whether trees of southwestern and southern Amazonia forest
140 are shorter at any given diameter than in central Amazonia. We also describe the scaling of
141 tree stem diameter (D) with total height (L) in the four Amazonian forests studied. These
142 were compared with the scaling relationship where $\log L$ is proportional to $\log D^{2/3}$, which
143 has been suggested as universal (e.g., West et al., 1999). In addition, we convert the
144 differences in the total tree height and wood density between forest types into differences in
145 estimates of stand biomass.

146

147 **Materials and Methods**

148 Study sites

149 At 12 sites in the Brazilian Amazon (in the states of Amazonas, Acre, Mato Grosso
150 and Pará) 763 trees were measured (“diameter at breast height”, or dbh). Six sites were close
151 to Manaus in central Amazonia (Nogueira et al., 2005) and the other six sites were distributed
152 in the ‘arc of deforestation’: two sites in Acre state (SW open bamboo-dominated and SW
153 dense forest), three sites in northwestern Mato Grosso state and one site in the southern
154 portion of Pará state (together designated as “SA open forest”) (Nogueira et al., 2007). In
155 each forest type, trees over 5 cm dbh were felled and measurements were made of total
156 height, diameter (dbh or above buttresses) and wood density: 310 trees in CA dense forest, 92
157 trees in SW open bamboo-dominated forest, 97 trees in SW dense forest without bamboo and
158 264 trees in SA open forest (in this last forest type, wood density samples were taken from
159 72% of the trees).

160 Detailed descriptions of all sites are available in Nogueira et al. (2005, 2007). These
161 two previous studies address the wood density effect on biomass and on estimates of carbon
162 emissions based on wood volume inventories throughout Amazonia. In the present study the
163 effect of the total height of trees, together with the previously published wood density
164 dataset, are used to adjust allometric equations from well-studied forests in central Amazonia
165 to the forests of southern and southwestern Amazonia, similar to recent studies that applied
166 only wood density adaptations to allometric equations (Baker et al., 2004; Malhi et al., 2006).

167

168 Data collection

169 At all sites, trees to be felled were chosen randomly, but stratified by size classes
 170 starting at 5 cm dbh, according to the proportion that each class contributes to basal area in
 171 local forest inventories. For each tree, measurements were made of dbh (~1.36 m above the
 172 ground at the central Amazonia sites and 1.30 m above the ground at the other sites, or above
 173 buttresses when present for all sites), and total height. The wood density datasets used in this
 174 study were obtained from Nogueira et al. (2005, 2007), where detailed information is given
 175 on botanical specimens and the methodology for wood density determination.

176 Adapting allometry

177 *Height × diameter relationship: effect on biomass*

178 A combined analysis considering data on dbh-height allometries for the four forest
 179 types as one set, with forest type as a factor, showed that there was no significant difference
 180 ($p < 0.005$, post-hoc Bonferroni). Subsequently, the data from the two southwestern and the
 181 combined southern Amazonia forests (no difference between sites, $p = 0.390$) were compared
 182 with those from the central Amazon in paired regressions. Data for each pair were pooled so
 183 as to examine the effects on total tree height of $\ln(\text{diameter})$, forest type and the interaction
 184 between $\ln(\text{diameter})$ and forest type. If the interaction is significant (different slopes), the
 185 two regressions in a pair are different. If the interaction is nonsignificant, the two slopes are
 186 homogeneous and an analysis of co-variance is needed to test for a difference between the
 187 two intercepts. If the intercepts are different, a height correction can still be applied to the
 188 biomass. If neither the slopes nor the intercepts are different, the trees in the test forest and in
 189 the central Amazon forest are not distinguishable (Neter and Wasserman, 1974; Sokal and
 190 Rohlf, 1995). Only the effect of a shorter trunk is considered, including the portion inside the
 191 crown. The trunk is taken to be 66% of total tree biomass in central Amazonian dense forest
 192 (Higuchi et al., 1998). Percent biomasses of branches, twigs and leaves are assumed to be
 193 unaffected by reduced height.

194 It was assumed that shorter total height in a southern or southwestern Amazon tree, as
 195 compared with a central Amazon tree with the same diameter, translates into a reduced total
 196 tree biomass. In order to express the effect of total height as a biomass difference between
 197 forest types, tree biomass was estimated based only on dbh (or diameter above buttresses
 198 when these structures are present) using the regressions of Higuchi et al. (1998): $\ln(\text{fresh mass}) = -1.754 + 2.665 \times \ln(\text{diameter})$ and $\ln(\text{fresh mass}) = -0.151 + 2.17 \times \ln(\text{diameter})$,
 199 respectively for dbh stems 5 – 20 cm and ≥ 20 cm in diameter. This gives the biomass
 200 estimate B_1 for each tree. If B_2 is the total tree biomass in another forest type after correcting
 201 only for the height effect, and C_m is a multiplicative correction factor, such that $B_2 = B_1 \times C_m$,
 202 then under the assumptions mentioned above, it can be shown that $C_m = 0.66 (H_{1d}/H_{2d}) +$
 203 0.34 ; where H_{1d} = expected height in southern or southwestern Amazon forest, at diameter d ;
 204 and H_{2d} = expected height in central Amazon dense forest, at the same diameter d .

205 *Wood density: effect on biomass*

206 Previous analyses of wood density data of the four forest types (Nogueira et al., 2005,
 207 2007) showed the boles of the trees to be denser in the central Amazon than in the other three
 208 forest types ($p = 0.0001$, post-hoc Bonferroni). Wood density is highest in the central
 209 Amazon dense forest, lowest in the southwest Amazon bamboo-dominated forest, and has
 210 intermediate values in the southwest Amazon dense forest without bamboo and southern
 211 Amazon open forest. As there was no tendency to increase or decrease density as a function
 212 of dbh within any of the four forest types (Nogueira et al., 2005, 2007), a single correction
 213 factor can be applied to each forest to calculate the effect of wood density on biomass,
 214 independent of dbh, either on a tree-by-tree basis or for the total tree biomass per hectare.
 215
 216

217 The correction factor is the quotient W_s/W_c ; where W_s = forest average wood density at
 218 breast height in southern or southwestern Amazonia and W_c = dense-forest average wood
 219 density at breast height in central Amazonia. The correction factor was multiplied by the dry
 220 weight biomass of trees estimated using central Amazon dense forest allometry (Higuchi et
 221 al. 1998), in the same way as described above for the height effect.

222

223 **Results**

224

225 *Height × diameter relationship: trees in southern and southwestern Amazonia tend to be*
 226 *shorter than trees of the same diameter in central Amazonia*

227 The relationships between total tree height and $\ln(\text{diameter})$ are shown in three paired
 228 regressions (Figure 1). In all three pairs the interaction effect was insignificant ($p = 0.922$, p
 229 $= 0.438$ and $p = 0.818$), meaning that the slopes are homogeneous within each pair. Analysis
 230 of co-variance of total tree height using forest type as the categorical factor and $\ln(\text{diameter})$
 231 as the continuous covariate, showed the intercepts of the three forests in the arc of
 232 deforestation to be different from that of the central Amazon forest (ANCOVA $p < 0.001$).
 233 Therefore, in all three forest types of the southern and southwest Amazon, trees of any given
 234 diameter tend to be shorter than trees of the same diameter in central Amazonia.

235

236

[Figure 1 near here]

237

238 The correction factor (C_m) outlined in the methods section was applied based on the
 239 ratio of the two expected total tree heights at a given diameter. Expected total tree height for
 240 any given diameter in each forest type was obtained from the relationship between total
 241 height and $\ln(\text{diameter})$ in the felled calibration samples. After testing for significant
 242 differences, linear regressions between these two variables were developed for each of the
 243 four forest types in this study (Table 1).

244

245

[Table 1 near here]

246

247 The relationship between $\ln(\text{diameter})$ and C_m is shown in Figure 2 for each SW
 248 Amazon forest and for the southern Amazon forest. Downward corrections of biomass are
 249 greatest for trees with smaller diameters and for the bamboo-dominated forest. Considering
 250 just the effect of lower tree height for a given diameter, the estimated stand biomass (trees
 251 and palms ≥ 5 cm dbh) is lower than in the central Amazon by 11% in SW Amazon open
 252 forest with bamboo, 6.2% in SW Amazon dense forest, and 3.6% in the southern Amazon
 253 open forests.

254

255

[Figure 2 near here]

256

257 Generally, the $2/3$ scaling exponent previously hypothesized as ‘universal’ was
 258 violated by large trees in the four Amazonian forests studied. When considering trees of all
 259 sizes, the scaling exponents found between $\log_{10}(\text{diameter})$ and $\log_{10}(\text{total height})$ for three of
 260 the forest types were significantly lower than the value of $2/3$. The exception was SW
 261 bamboo-dominated forest, which includes the value $2/3$ in the 95% confidence interval
 262 (Table 2). For small trees (dbh < 20 cm), the scaling exponent was significantly greater than
 263 $2/3$ in the SW Amazon dense forest, and not significantly different from $2/3$ in the other

264 forest types. These results reinforce the argument that allometric scaling exponents vary in
 265 forests with different environmental resources or disturbance regimes.

266

267

[Table 2 near here]

268

269 *Effect on biomass due to wood density differences between trees in southern and*
 270 *southwestern Amazonia and trees in central Amazonia*

271

272 Based on previously reported mean wood density by forest type (Nogueira et al.,
 273 2005, 2007) we estimated the wood density differences expected between central Amazonia
 274 and the three other forest types (Table 3). We assumed that average wood density for the
 275 entire tree varies in direct proportion to the wood density at breast height. The confidence
 276 intervals indicate a 23-33% lowering of biomass for trees (≥ 5 cm dbh) in the open bamboo-
 277 dominated forest, 11-20% lowering of biomass for trees in dense forest without bamboo and
 278 9-15% lowering of biomass for trees in open forest in southern Amazonia. If only the wood
 279 density correction were applied, the estimated stand biomass reductions for the three forest
 280 types would be 28%, 16% and 12%, respectively.

280

281

[Table 3 near here]

282

283 *Difference in biomass due to both height and wood density*

284

285 Due to lower height and lighter wood density, trees and palms (≥ 5 cm dbh) in SW
 286 Amazon open bamboo-dominated forest, in SW Amazon dense forest and in southern
 287 Amazon open forests, biomass stocks were, respectively, 76, 65 and 45 Mg ha⁻¹ (dry weight)
 288 less than predicted by the uncorrected central Amazon model (Figure 3, Table 4).
 289 Considering only the height effect, the estimated biomass reductions for these forests were
 290 21.5, 18.5 and 10.3 Mg ha⁻¹. The effect of lower wood density on biomass estimates is
 291 greater than the effect of tree height in all three forest types in the S and SW Amazon regions
 292 (Figure 3).

292

293

[Figure 3 near here]

294

[Table 4 near here]

295

296 **Discussion**

297

298 These results suggest that biomass per hectare is substantially overestimated by the
 299 central Amazon model when applied to the southwestern or southern Amazon without
 300 corrections. Although the correction applied makes logical sense, we emphasize that the
 301 corrected biomass estimates have not yet been validated by new allometric relationships
 302 determined directly by felling and weighing trees in test plots in southern or southwestern
 303 Amazonia.

303

304 We assessed the difference between measurement heights for dbh (~ 1.36 m above the
 305 ground in central Amazonia and 1.30 m at the other sites). This factor is not believed to have
 306 a significant effect on the results. The central Amazon dataset (n=307 trees), where the
 307 diameters of all trees were measured (after felling) at 2 or 4 positions along the bole, allowed
 308 the taper to be calculated and applied to the 1.30-1.36 m height interval. Although accuracy
 309 decreases for large trees, the diameter at 1.36 m can be calculated to be 0.168% smaller than
 310 that at 1.30 m, on average. In addition, we emphasize that the 1.36 m height is an
 311 approximate measurement, as mentioned in Nogueira et al. (2005, p. 263), denoted by the
 symbol: ~ 1.36 m.

312 The biomass corrections shown in this paper assume that there is no difference in
313 crown biomass for trees of equal diameter between dense forest in central Amazonia and the
314 three forest types studied in southwestern and southern Amazonia. This may be a
315 conservative assumption. Crown damage was more prevalent in trees infested by abundant
316 climbing bamboos or lianas (Griscom and Ashton, 2006; Alvira et al., 2004) in two
317 widespread open forest types of S and SW Amazonia. On Barro Colorado Island, Panama,
318 Bohlman and O'Brien (2006) found that gap species have smaller crowns than shade species.
319 Gap species are more prevalent in open forests in Amazonia, while shade species are more
320 prevalent in dense forests.

321 The variation of vegetation structure at the meso scale (i.e., over geographical
322 distances of 1 - 10³ km) and the concurrent changes of tree form are adaptations to the
323 physical, chemical and ecological conditions of each site (Rozendaal et al., 2006). In this
324 sense, the results in this paper (Figure 1 and Table 2) agree with recent models showing that
325 plant length, diameter, and mass scaling relationships are flexible -- that is, they can vary
326 across species due to species-specific differences in biomass partitioning patterns and
327 ecological responses to different environmental conditions (Muller-Landau et al., 2006;
328 Niklas and Spatz, 2004). However, knowledge is limited of the main factors affecting
329 allometric relationships in tropical forest under different environmental conditions (Malhi et
330 al., 2006).

331 Recent universal scaling models have linked constraining functional traits related to
332 water and biomass growth with plant size, architecture and allometry (Meinzer, 2003; Niklas
333 and Enquist, 2001; Niklas and Spatz, 2004; West et al., 1999). For example, it is expected
334 that tree height per unit basal area would be reduced with increasing dry-season length
335 (Malhi et al., 2006; Meinzer, 2003; Meinzer et al., 2001). Because of this, trees will be
336 shorter at any given stem diameter in dry seasonal tropical forest. This may contribute to the
337 lower total height of trees in undisturbed forests in southern Amazonia, where the majority of
338 collection sites have a slightly longer dry period (monthly precipitation < 100 mm) than the
339 dense forest in the central Amazon (Brazil, ANA, 2006).

340 Southern Amazon forests with their longer dry season are also expected to have more
341 abundant lianas (which cause crown damage and probably lower tree height). More lianas are
342 expected because lianas may compete better for access to water throughout the dry season
343 (Mascaro et al., 2004; Restom and Nepstad, 2004) and because light intensity increases
344 below seasonally deciduous tree canopies (Gentry, 1991; Rice et al., 2004). Bamboo-
345 dominated Amazon forests may be associated with both drier climate and substrate. At the
346 peak of the dry season in eastern Acre state, climbing *Guadua* remains evergreen at a time
347 when many tree species drop their leaves. *Guadua* may therefore have a competitive
348 advantage in areas with long dry seasons. Within Amazonia, dense populations of climbing
349 *Guadua* mixed in the forest are largely restricted to a lowland *terra firme* landscape peculiar
350 to the headwaters of the muddy Purus and Juruá Rivers. Here modest tectonic uplift and
351 mechanical erosion have exposed seasonally impermeable 2:1 clays rich in cations (Nelson et
352 al., 2006).

353 While some previous basin-wide estimates of Amazon carbon stocks have made a
354 correction for wood density, no adjustments have been made for variation in allometric
355 differences such as tree height or crown damage (Baker et al., 2004; Malhi et al., 2006).
356 Available allometric equations for biomass estimates in tropical forest input tree height and
357 wood density as independent variables (Brown et al., 1989; Overman et al., 1994). Although
358 there is no other dataset that has validated the equations developed in central Amazonia, we
359 considered the model by Higuchi et al. (1998) the best equation for central Amazonia (this

360 was also the choice of de Castilho et al., 2006). Another commonly used equation is the
361 model proposed by Chambers et al. (2001) developed from the same dataset but differing
362 mainly due to use of a single cubic fitting, while Higuchi and collaborators proposed two
363 curves (dbh 5-19.9 and ≥ 20 cm). Our preference for the Higuchi et al. (1998) equations is
364 because Chambers et al. (2001) recognized that their model gave peculiarly low biomass
365 predictions for large trees compared with other models. The cubic curve proposed by
366 Chambers et al. (2001) is based on very few harvested large trees (only 2 trees ≥ 75 cm dbh).
367 The fit could therefore be spurious. In addition, another dataset collected close to collection
368 sites used both by Higuchi et al. and Chambers showed that there is a slight 'break' at 40 cm
369 dbh in the relation between dbh and bole volume in dense forest in central Amazonia
370 (Nogueira et al, unpublished). Because of this, a better description is obtained if the
371 relationships between dbh and biomass and between dbh and bole volume are represented by
372 two separate equations. Finally, the recent models obtained for biomass of very large trees
373 (e.g., Chave et al., 2005) were not adopted because equations developed locally result in
374 more accurate estimates than equations developed from data originating from several
375 localities, despite their being derived from a large number of trees. A generic equation may
376 not accurately reflect the true biomass of the trees in the specific region (Brown, 2002;
377 Nogueira et al., unpublished).

378 No allometric models exist that have been validated tree biomass data obtained
379 directly from destructive harvest experiments conducted in southwestern or southern
380 Amazonian forests. Comparing prior biomass estimates from allometric equations with the
381 results of the present study suggests that biomass and carbon stocks have been overestimated
382 for southern Amazonia (e.g., Alves et al., 1997; Feldspausch et al., 2005). Some estimates of
383 tree biomass within the Amazonian 'arc of deforestation' (Cummings et al., 2002) and at
384 open-forest sites where lianas are a dominant life form (Gerwing and Farias, 2000) have
385 employed allometric relationships designed for dense Amazon forest on infertile soils. These
386 may therefore overestimate aboveground tree biomass and greenhouse gas emissions in this
387 part of Amazonia where most deforestation is taking place. Brazil's National Communication
388 under the United Nations Framework Convention on Climate Change (Brazil, MCT, 2004)
389 estimates biomass throughout Brazilian Amazonia based on central Amazonian allometry by
390 Higuchi et al. (1998) applied to tree diameter data from RadamBrasil surveys without
391 correction for either density or tree height.

392 In spite of recent studies reporting spatial variation in wood density for Amazonia
393 (Baker et al. 2004; Chave et al., 2006; Nogueira et al., 2007), the main environmental factor
394 that explains spatial variation in wood density is still unclear. Environmental and wood
395 density differences between southern, southwestern and central Amazonia have been
396 discussed by recent studies (Baker et al., 2004; Malhi et al., 2006; Nogueira et al., 2007).
397 Lower wood specific gravity as a function of rainfall has been documented more broadly by
398 Wiemann and Williamson (2002). Generally, the main causes suggested to explain the lower
399 stand wood density in southwestern and southern Amazonian forests are related to floristic
400 composition, successional dynamics, edaphic factors and physiological water use principles.
401 The relationship between wood density variation and environmental factors has been
402 particularly difficult to assess due to studies having used different sampling methods
403 (Fearnside, 1997; Nogueira et al., 2005). Our results suggest that the plastic responses of
404 trees to environmental changes are more intense for wood density than for tree height.
405 Assuming that growth rate is inversely proportional to wood density (Enquist et al., 1999;
406 King et al., 2005; Muller-Landau, 2004), the plastic response and hence the resources
407 allocated to tree height will be at least partially dependent on wood density traits. The effect

408 of environmental conditions on tree height will therefore be weaker than their effect on wood
409 density. As the forests in southern Amazonia are more dynamic than those in central
410 Amazonia (Malhi et al., 2006), shorter trees are logical to expect in spite of lower wood
411 density.

412

413 **Conclusions**

414 In the southwestern Amazon bamboo-dominated forest, southwestern Amazon dense
415 forest and southern Amazon open forest the trees are shorter than in dense forest in the
416 central Amazon. The height difference was greatest for small trees. Generally the 2/3 scaling
417 exponent suggested as ‘universal’ was violated by large trees in the four Amazonian forests
418 studied. When the Higuchi et al. (1998) equation (which was developed for use in dense
419 forests) is applied to biomass estimates in open forests of south and southwestern Amazonia,
420 the results have to be corrected for tree height and wood density effects that represent
421 reductions totaling 39% in southwestern Amazon bamboo-dominated open forest, 22% in
422 southwestern Amazon bamboo-free dense forest and 16% in southern Amazonian open forest
423 (respectively, 76, 65 and 45 Mg ha⁻¹ lower dry biomass than dense forest in the central
424 Amazon). Considering only the height effect, estimated biomass is lowered by 21.5, 18.5 and
425 10.3 Mg ha⁻¹, respectively, in the southwestern Amazon bamboo-dominated forest,
426 southwestern Amazon dense forest and southern Amazon open forest. Revisions are needed
427 in the estimates of biomass that have been made using allometric equations developed in
428 dense forest in the central Amazon. This implies lower emissions of greenhouse gases than
429 previously thought for deforestation in Brazilian Amazonia, which is concentrated in the “arc
430 of deforestation” in non-dense forest types such as the ones we studied.

431

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433

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447

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741 **Figure legends**

742

743 **Figure 1.** Paired regressions of $\ln(\text{diameter})$ versus total tree height, compared between
744 central Amazon dense forest (x symbols, dark), two of the southwest Amazon forests and
745 southern Amazon forest (solid circles, gray). A: southwest Amazon open, bamboo-dominated
746 forest, B: southwest Amazon dense forest, C: southern Amazon open forest. D: $\ln(\text{diameter})$
747 versus total height (m) for all four forest types.

748

749 **Figure 2.** Biomass correction factor (C_m) for the effect of lower stem height in the three test
750 forests compared with the central Amazon dense forest. The upper line gives values for
751 southern Amazon open forest the intermediate line for SW Amazon dense forest and the
752 lower line for open, bamboo-dominated forest. D = diameter in centimeters.

753

754 **Figure 3.** Stand biomass for trees + palms ≥ 5 cm dbh (or above buttresses) in the SW
755 Amazon is adjusted downward by 39% (SW Amazon open, bamboo-dominated forest), 22%
756 (SW Amazon dense forest), and by 16% (southern Amazon open forest) after corrections for
757 lower wood density and shorter tree height as compared with these attributes in central-
758 Amazon dense forest.

759 **Table 1.** Parameters of linear regressions for different Amazonian forest types.

Forest type	Parameters* [Total height = $a + b \ln(\text{diameter})$]								Adjusted R ²	SEE**
	<i>a</i> ($\pm SE$)	<i>a</i> at CI 95%		<i>b</i> ($\pm SE$)	<i>b</i> at CI 95%		n	dbh range		
SW Amazon, open bamboo-dominated	-16.223 (1.494)	-19.15	-13.29	11.198 (0.464)	10.29	12.107	91	5 - 85	0.866	3.577
SW Amazon, dense forest	-12.068 (1.883)	-15.81	-8.33	10.672 (0.553)	9.59	11.76	97	5 - 106	0.794	4.185
Central Amazon, dense forest	-11.168 (0.793)	-12.72	-9.61	11.210 (0.254)	10.71	11.708	307	5 - 106	0.864	2.691
Southern Amazon, open forest	-10.678 (0.637)	-11.93	-9.43	10.581 (0.233)	10.12	11.038	264	5 - 124	0.887	2.454

760 * All parameter values are significant for P-value ($p = 0.0001$). Three outliers were excluded in the central-Amazon forest, two in the southern-Amazon forest and one in
761 the SW Bamboo forest. For identification of outliers, the studentized residuals (to identify outliers in y space) were plotted against leverage (to identify outliers in x
762 space) and Cook's distance was calculated. Cook's distance measures the influence of each sample observation on the coefficient estimates (Cook and Weisberg, 1982;
763 Wilkinson, 1990).

764 ** Standard Error of the Estimate (SEE) = $\sqrt{\text{Residual Mean-Square}}$

765

766

767

Table 2. Parameters of fitted linear relationships between \log_{10} (stem diameter) with \log_{10} (tree total height) for different Amazonian forest types, including trees of all sizes, diameter < 20 cm and trees with stem diameter ≥ 20 cm. Bold values highlight regression slopes.

Forest type		Parameters [$\log_{10}(\text{Total height}) = a + b \log_{10}(\text{diameter})$]						
		a (\pm SE)	CI 95%	b (\pm SE)	CI 95%	n	Adjusted R ²	SEE*
All trees	Dense forest (Central Amazonia)	0.625 (0.018)	0.590 - 0.661	0.538 (0.013)	0.511 - 0.564	307	0.842	0.061
	Open forest (Southern Amazonia)	0.564 (0.017)	0.530 - 0.597	0.558 (0.014)	0.530 - 0.586	264	0.851	0.066
	Dense forest (SW Amazonia)	0.494 (0.045)	0.404 - 0.584	0.576 (0.031)	0.515 - 0.637	97	0.788	0.101
	Open bamboo-dominated forest (SW Amazonia)	0.276 (0.040)	0.197 - 0.354	0.685 (0.028)	0.628 - 0.741	91	0.867	0.095
Trees < 20 cm in stem diameter								
	Dense forest (Central Amazonia)	0.428 (0.042)	0.346 - 0.510	0.719 (0.038)	0.645 - 0.794	135	0.729	0.067
	Open forest (Southern Amazonia)	0.448 (0.034)	0.381 - 0.515	0.673 (0.033)	0.608 - 0.737	199	0.678	0.068
	Dense forest (SW Amazonia)	0.134 (0.124)**	-0.106 - 0.374	0.919 (0.119)	0.689 - 1.149	30	0.680	0.119
	Open bamboo-dominated forest (SW Amazonia)	0.213 (0.106)***	0.007 - 0.419	0.737 (0.102)	0.538 - 0.935	41	0.573	0.114
Trees ≥ 20 cm in stem diameter								
	Dense forest (Central Amazonia)	0.842 (0.035)	0.774 - 0.911	0.394 (0.023)	0.350 - 0.439	172	0.636	0.046
	Open forest (Southern Amazonia)	0.767 (0.050)	0.671 - 0.863	0.424 (0.032)	0.363 - 0.485	65	0.741	0.047
	Dense forest (SW Amazonia)	0.817 (0.078)	0.663 - 0.971	0.379 (0.048)	0.285 - 0.473	67	0.491	0.074
	Open bamboo-dominated forest (SW Amazonia)	0.547 (0.086)	0.378 - 0.716	0.522 (0.053)	0.419 - 0.625	50	0.672	0.071

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* Standard Error of the Estimate (SEE) = $\sqrt{\text{Residual Mean-Square}}$

** Parameter value not significant at the 5% level. Other parameter values (unmarked) are all significant at the 0.1% level.

*** $p = 0.051$.

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773 **Table 3.** Wood density at breast height (dry weight at 80 °C/green volume with bark) in the four Amazonian forest types.^a

Forest type	<i>Sample size (trees ≥ 5 cm dbh)</i>	<i>Average basic density at breast height</i>	<i>Std deviation</i>	<i>Biomass correction factor (± 2 std errors of the ratio of means)^b</i>
Central Amazon dense	310	0.712 (0.704)	0.119 (0.117)	---
SW Amazon open bamboo-dominated	92	0.512	0.176	0.718 ± 0.0534
SW Amazon dense	97	0.600	0.160	0.843 ± 0.0482
Southern Amazon open	191	(0.618)	(0.125)	0.877 ± 0.0306

774 ^a Values in parentheses are dry weight at 103 °C. The biomass correction factor is the ratio between the mean wood density in a given vegetation type and the mean
775 wood density in the central Amazon. For the comparison between southern Amazon open and central Amazon dense forest the wood density values used were for
776 samples dried at 103 °C at both locations.

777 ^b Standard error for a ratio of two estimates (Ott and Longnecker, 2001).

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779 **Table 4.** Effect of total-height and wood-density corrections on estimated per-hectare biomass^a.

<i>Forest type</i>	<i>Biomass estimated using central Amazon allometric equation</i>	<i>Biomass corrected for height and wood-density difference</i>	<i>% Difference</i>
SW Amazon bamboo-dominated	194 ± 36.8	118 ± 23.4	39%
SW Amazon dense	297 ± 21.6	232 ± 17	22%
Southern Amazon open	285	240	16%

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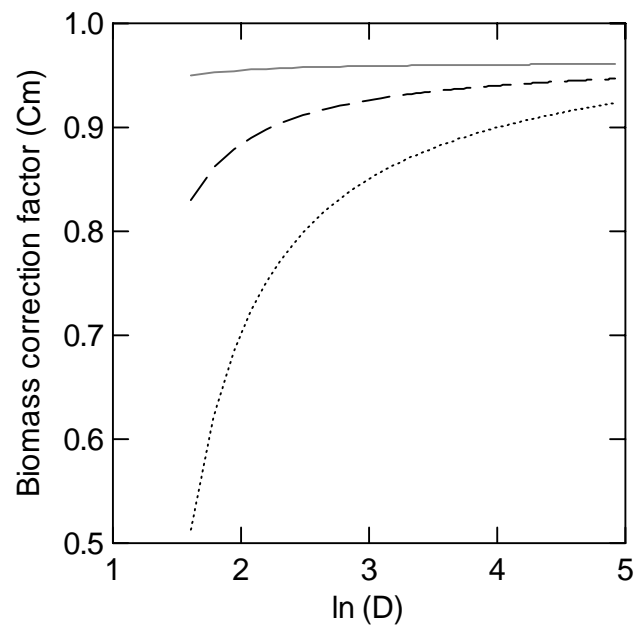
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^a For the two forest types in the southwestern Amazon means ± 1 std. dev. (n=10) are given for trees and palms ≥ 5 cm dbh (or above buttresses). In the southern Amazon the biomass estimates were obtained from the mean number of trees for each diameter class (5-cm intervals) estimated from 11 ha where trees ≥ 10 cm in diameter were inventoried by Feldpausch et al. (2005) and 30 ha where trees with diameter ≥ 5 cm were inventoried by Pereira et al. (2005).

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809 **Figure 2.**

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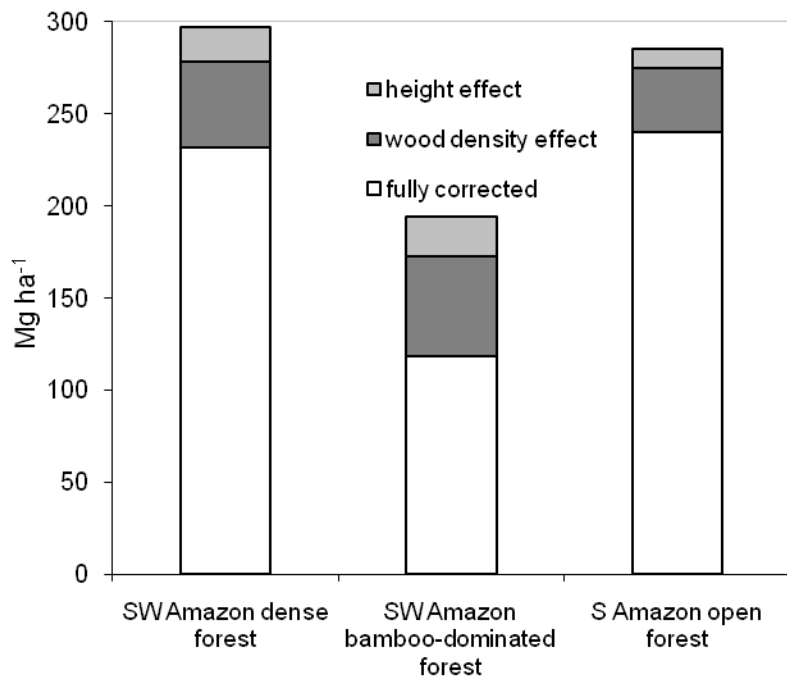
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823 **Figure 3.**
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