

This file has been cleaned of potential threats.

If you confirm that the file is coming from a trusted source, you can send the following SHA-256 hash value to your admin for the original file.

697cde6caf221a2960eee039d470455b618283d3b3b1d8ae4af973fdc9ef1463

To view the reconstructed contents, please SCROLL DOWN to next page.

**The text that follows is a PREPRINT.  
O texto que segue é um PREPRINT.**

*Please cite as:*

*Favor citar como:*

**Fearnside, P.M., E.M. Nogueira & A.M.  
Yanai. 2018. Maintaining Carbon Stocks  
in Extractive Reserves in Brazilian  
Amazonia. *Desenvolvimento e Meio  
Ambiente* (no prelo)**

ISSN 1518-952x

The original publication will be available at:  
A publicação original estará disponível em:

**<https://revistas.ufpr.br/made>**

# **Maintaining Carbon Stocks in Extractive Reserves in Brazilian Amazonia**

Philip M. Fearnside<sup>1</sup>, Euler Melo Nogueira<sup>2</sup> & Aurora Miho Yanai<sup>1</sup>

<sup>1</sup>National Institute for Research in Amazonia (INPA), Av. André Araújo, 2936, CEP 69067-375, Manaus, Amazonas, Brazil.

<sup>2</sup> Centro Universitário UniFG, campus Guanambi, Av. Governador Nilo Coelho, 4911, São Sebastião, CEP 46430-000, Guanambi, Bahia, Brazil.

Contribuição para o número especial da revista *Desenvolvimento e Meio Ambiente* dedicada aos 30 anos da morte de Chico Mendes, editada por Mary Allegretti, Lúcia Helena de Oliveira Cunha e Marianne Schmink.

## Maintaining Carbon Stocks in Extractive Reserves in Brazilian Amazonia

### ABSTRACT

Extractive reserves in Amazonian forest maintain carbon in stocks out of the atmosphere, thereby avoiding greenhouse-gas emissions that provoke global warming. This and other environmental services, such as recycling water and maintaining biodiversity, provide major rationales for creating these reserves and for according them priority in government programs. The importance of reducing carbon emissions from deforestation has been the principal motivation for the international funding that has been key to creating and supporting extractive reserves, notably in the cases of Germany through the PPG7 program and Norway through the Amazon Fund. Estimating the amount of carbon in these reserves, and the losses that have occurred from deforestation, is essential as an input to decision making affecting current and the potential for future extractive reserves. By 2014, there were 47 federal extractive reserves in Brazil's Legal Amazonia region, of which 45 were in the Amazonian Tropical Forest Biome, and 26 extractive reserves belonged to states, all of which were in the Amazonia Biome. In this study we provide data for each of the 73 extractive reserves in Legal Amazonia, based on biomass information by forest type calculated from RadamBrasil survey data, and deforestation from PRODES monitoring by LANDSAT or equivalent satellites (30-m resolution). The stocks represent carbon in the "pre-modern" biomass, that is, the biomass present in approximately 1970, or before substantial logging activity in the region. The carbon losses reflect deforestation only, not degradation of forest by logging and/or fire. The total area of extractive reserves in Legal Amazonia amounted to 126,709 km<sup>2</sup>, of which 4301 km<sup>2</sup> (3.4%) had been cleared by 2014. Those extractive reserves had a remaining carbon stock in forest vegetation (above and below-ground) of 2.1 billion tons. The carbon lost to deforestation totaled 74.9 million tons. Avoiding further carbon loss to both deforestation and degradation needs to be a high priority for the extractivists, as it is the value of the forest's environmental services that has the greatest potential for providing a means of support that is increasing in value and is inherently sustainable.

**KEYWORDS:** Environmental services, Ecosystem services, Biomass, Deforestation, RESEX

36 **RESUMO**

37

38 **Manutenção de Estoques de Carbono em Reservas Extrativistas na Amazônia**  
39 **Brasileira**

40

41 As reservas extrativistas na Amazônia mantêm carbono fora da atmosfera, evitando  
42 assim as emissões de gases de efeito estufa. Este e outros serviços ambientais, tais como  
43 a reciclagem de água e a manutenção da biodiversidade, fornecem importantes motivos  
44 para a criação dessas reservas e para a sua prioridade nos programas governamentais. A  
45 importância de reduzir as emissões de carbono do desmatamento tem sido a principal  
46 motivação para o financiamento internacional, que tem sido fundamental para criar e  
47 apoiar as reservas extrativistas, especialmente nos casos da Alemanha, através do  
48 programa PPG7, e da Noruega, através do Fundo Amazônia. Estimativas da quantidade  
49 de carbono e das perdas pelo desmatamento são essenciais como contribuição para a  
50 tomada de decisões que afetam as reservas extrativistas atuais e as que possam ser criadas  
51 no futuro. Até 2014 havia 47 reservas extrativistas federais na Amazônia Legal, das  
52 quais 45 estavam no Bioma Amazônia, e havia 26 reservas extrativistas a nível estadual,  
53 todas no Bioma Amazônia. Fornecemos dados para cada uma das 73 reservas  
54 extrativistas na Amazônia Legal, com base em informações de biomassa por tipo de  
55 floresta calculadas a partir dos dados do Projeto RadamBrasil e desmatamento a partir  
56 do PRODES (imagens LANDSAT ou equivalente com resolução de 30 m). Os estoques  
57 representam o carbono "pré-moderno", isto é, na biomassa presente em  
58 aproximadamente 1970, ou seja, antes que substancial atividade madeireira afetasse a  
59 região. As perdas de carbono refletem apenas o desmatamento e não a degradação da  
60 floresta, como por exploração madeireira e fogo. As reservas extrativistas na Amazônia  
61 Legal totalizaram 126,709 km<sup>2</sup>, dos quais 4301 km<sup>2</sup> (3,4%) foram desmatadas até 2014.  
62 As reservas tinham um estoque de carbono restante na vegetação florestal (acima e  
63 abaixo do solo) de 2,1 bilhões de toneladas. O carbono perdido pelo desmatamento  
64 totalizou 74,9 milhões de toneladas. Evitar novas perdas, tanto pelo desmatamento  
65 quanto pela degradação, precisa ser uma alta prioridade para os extrativistas, pois é o  
66 valor dos serviços ambientais da floresta que tem o maior potencial para fornecer um  
67 meio de sustentação que está aumentando de valor e que é inerentemente sustentável.

68

69 **PALAVRAS-CHAVE:** Serviços ambientais, Serviços ecossistêmicos, Biomassa,  
70 Desmatamento, RESEX

71

72 **1. Introduction**

73

74 Amazon forests provide environmental services that are important for the world  
75 and especially for Brazil, which stands to lose the most if the forests and their services  
76 are destroyed. These services include avoiding global warming, recycling water and  
77 maintaining biodiversity (*e.g.*, Fearnside, 1997; 2008). Here we treat the matter of forest  
78 carbon stocks, which is the environmental service that is the top priority for the  
79 international sources of funding that have created and supported extractive reserves and  
80 that can be expected to be key to future support. We present data on deforestation and  
81 carbon stocks in each of Brazilian Amazonia's extractive reserves and discuss how land  
82 use in these reserves is increasingly shifting from sustainable extraction of rubber and  
83 other non-timber forest products to expanding deforestation for cattle pasture.  
84 Maintaining forest and carbon stocks in extractive reserves requires reversing this trend.  
85 This will require greater social control by communities in the extractive reserves. The  
86 potential value of carbon stocks as a rationale for international support of extractive  
87 reserves should add to the motives for extractivists to increase their control and  
88 effectively limit deforestation in the reserves.

89

90 Creating protected areas is one of the most effective measures to ensure the  
91 maintenance of environmental services in tropical forests (Adeney *et al.*, 2009;  
92 Veríssimo *et al.*, 2011). In the Brazilian Amazon at least 2.2 million km<sup>2</sup> were delimited  
93 by 2014 as 718 protected areas, which include Conservation Units, Indigenous Lands  
94 and *Quilombola* Territories (Nogueira *et al.*, 2018a). "Conservation Units" encompass  
95 various kinds of areas for environmental protection as defined in Brazil's National  
96 System of Conservation Units, or "SNUC," and are divided into two groups: "integral  
97 protection" and "sustainable use" (Brazil, MMA, 2000). Extractive reserves are in the  
98 "sustainable use" category, which provides for the continued presence of the resident  
99 population and use of renewable natural resources such as forests in defined low-impact  
100 "sustainable" ways. "Indigenous Lands" are areas recognized as traditionally inhabited  
101 by indigenous people and are administered by the National Foundation for the Indian  
102 (FUNAI) under the Ministry of Justice, rather than by the Ministry of Environment.  
103 "*Quilombolas*" are the descendants of escaped African slaves who have maintained  
104 traditional communities and who have the same rights as indigenous peoples under  
105 Brazil's constitution.

106

107 Historically, priority areas for the establishing conservation units have mainly  
108 been determined based on ecological criteria such as indicators of biodiversity (*e.g.*,  
109 "hotspots"), endemism, rarity, or threats to species (Fearnside, 2015). Areas protected  
110 under the presumption of reconciling conservation with the presence of traditional  
111 resident populations were generally established based on the demands of social groups,  
112 such as indigenous peoples, *ribeirinhos* (riverside dwellers), rubber tappers and other  
113 communities dependent on non-timber forest products or on traditional fisheries (*e.g.*,  
114 Sustainable Use Conservation Units, Indigenous Lands and *Quilombola* Territories).  
115 However, in all of these cases, despite the particularities and different justifications  
116 adopted for the creation of each type of protected area, global climate change adds  
117 carbon stock as one of the arguments for maintaining and creating protected areas in the  
118 Amazon (Nogueira *et al.*, 2018a).

119

120 The various actors involved in creating extractive reserves have different  
121 motives and priorities. The extractivists themselves clearly have as a top priority  
122 securing their claim to the land against the threat of surrounding ranchers. Improving  
123 living standards and access to basic education and health services are also important  
124 priorities for extractivists. In arguing for extractive reserves, Chico Mendes always  
125 made clear that the environmental value of maintain the forest was also important to  
126 extractivists. Within the Brazilian government, individuals in the Ministry of  
127 Environment involved with extractive reserves vary in their priorities for creating these  
128 reserves. Reasons include the role of the reserves as a means of maintaining  
129 environmental values such as biodiversity, their role as examples of sustainability and in  
130 providing socioeconomic benefits to extractivists. These concerns are shared by other  
131 actors, such as environmental non-governmental organizations and academic scholars  
132 who study and write about extractive reserves. However, creating and maintaining  
133 Brazil's extractive reserves has always been heavily dependent on funding from  
134 international sources, and, as compared to other actors, the priorities of these funders are  
135 more focused on carbon and the role of Amazon forest in global climate change. The G7  
136 Pilot Program to Conserve the Brazilian Rain Forest (PPG7), which ran from 1992 to  
137 2008, was a critical funder in creating Brazil's current portfolio of extractive reserves,  
138 and climate was listed as a "global benefit" of the expected role of the extractive  
139 reserves component in reducing deforestation (World Bank, 1994). The World Bank's  
140 January 1992 Rain Forest Trust Resolution that established the PPG7 states that "The  
141 overall objective of the pilot program is to...reduce Brazilian rain forests' contribution  
142 to global carbon emissions..." (World Bank, 1992). This was clearly the highest  
143 priority for the country that contributed by far the most to the program: Germany. The  
144 issue of emissions was especially important in the five years prior to the December 1997  
145 Kyoto Protocol (Fearnside, 2001). Since Norway's donations to the Amazon Fund  
146 began in 2008, this source has become an important contributor to creating and  
147 maintaining Amazonian protected areas, including extractive reserves (GEF, 2018).  
148 Effectiveness in reducing emissions has been a key element in arguing for international  
149 support for Brazil's Amazon Region Protected Areas Program (ARPA), including  
150 extractive reserves (Soares-Filho, 2016; Soares-Filho *et al.*, 2010). For Norway, which  
151 donated 93% of the total received by the Amazon Fund by 2018, the criterion on which  
152 success is judged is reduction of Brazil's deforestation rate, which translates into  
153 reduced carbon emissions. In 2017, as stipulated in the agreement creating the Amazon  
154 Fund, the payment was reduced by half because the deforestation rate was on the rise  
155 (Rodrigues, 2017). The criterion is limited to the deforestation rate, not other indicators  
156 such as the living standards of forest dwellers or the sustainability of the population's  
157 economic activities. With Brazil's current draconian cutbacks of government funding  
158 for the environment, the Amazon Fund is essentially the only available source for  
159 financing measures to contain deforestation (Ortiz, 2018).

160  
161 The extraction of natural products for the subsistence of traditional resident  
162 populations in the Amazon is an activity as old as the existence of traditional  
163 populations (Arruda, 1999; Homma, 2003). The various traditional forms of forest  
164 product extraction (*e.g.*, collection of plant products, fishing and hunting) have been a  
165 centuries-old subsistence practice of traditional forest-dwelling populations. Like the  
166 traditional forms of extraction, the current extractive reserves are characterized mainly  
167 by low-technology extraction (Drummond, 1996). The definition by law of areas for  
168 extractivism does not ensure that other forms of use and production by the resident  
169 populations are not used within these areas, nor does it mean that extractive activities

170 are exclusive to these areas. In fact, extractive activities predominate in other categories  
171 of sustainable use units (*e.g.*, National Forests, Sustainable Development Reserves,  
172 Environmental Protection Areas) or other types of protected areas (*e.g.*, Indigenous  
173 Lands and *Quilombola* Territories).

174

175 Extractive reserves have allowed substantial areas of forest to be protected that  
176 would be unlikely to be protected if conservation units were created through  
177 expropriation, and resettlement and compensation of the residents. The extractive  
178 reserve model avoids the social injustices inherent in such a process and maintains the  
179 communities and the traditional culture of the extractivists (Fearnside, 1989). The long-  
180 term effect of this depends on both deforestation being avoided and an avoidance of  
181 degradation of the forest, as from logging and fire. Forest degradation can lower carbon  
182 stocks in Amazonian forest and consequently lower their benefit for avoiding global  
183 warming. Degradation is known to be taking place in some extractive reserves, and  
184 quantification of its impact on carbon stocks is a high research priority. Unfortunately,  
185 this forest maintenance has not always been as complete as expected, and processes in  
186 course in the reserves suggest that deforestation and degradation are likely to increase in  
187 the future in the absence of greater social control.

188

189 In this text, we present data on carbon in extractive reserves in the Brazilian  
190 Amazon, with new analyses from recent carbon estimates for all protected areas in  
191 Amazonia (Nogueira *et al.*, 2018a). Here we use these refined analyses to update and  
192 synthesize previous estimates of carbon stocks in Amazonian's extractive reserves  
193 (Moutinho *et al.*, 2012). In doing so we use the definition of "extractive reserves" to be  
194 those considered by the National System of Conservation Units (Brazil, MMA, 2000).

195

## 196 2. *Methods*

197

198 The Ministry of Environment (MMA) registry (Brazil, MMA, 2015) includes 47  
199 federal extractive reserves in Legal Amazonia (of which 45 are in the Amazonia Biome)  
200 and 26 state extractive reserves (Figure 1), all of which are in the Amazonia Biome.  
201 Legal Amazonia is a 5 million km<sup>2</sup> administrative region, approximately  $\frac{3}{4}$  of which is  
202 or was formerly covered by Amazonian forest and the remainder was covered by  
203 *Cerrado* savanna. The Amazonia Biome is virtually entirely contained within Legal  
204 Amazonia and includes the portion originally covered by Amazonian forest, plus  
205 enclaves of savanna within this area.

206

207 [Figure 1 here]

208

209 Vector maps of the extractive reserves were obtained from the Ministry of  
210 Environment database (Brazil, MMA, 2015). Spatially referenced digital maps of the  
211 each reserve were overlaid on vegetation and carbon maps, including cleared areas  
212 mapped up 2014. Carbon estimates for each reserve were analyzed using ArcGIS®  
213 software (ESRI, 2017). See Nogueira *et al.* (2018a) for more details on methods.

214

215 Carbon stocks in each reserve were estimated using based on the biomass per  
216 hectare in of each vegetation type in each reserve (Nogueira *et al.*, 2015; 2018a).  
217 Estimates include biomass stocks above and below ground (*i.e.*, carbon storage in roots  
218 but not soils) of the tree and non-tree components, both live and dead (necromass).  
219 Biomass estimates were derived mainly from interactions between forest wood volume



220 data from the RadamBrasil surveys and wood-density data (Brazil, Projeto  
221 RadamBrasil, 1973-1983; Nogueira *et al.*, 2007). Additional biomass data, especially  
222 for forest types in southern Amazonia, were derived by applying allometric equations  
223 (Nogueira *et al.*, 2008a; 2008b; 2015). Original areas of each vegetation type in each  
224 reserve are estimated from the vegetation map of the Brazilian Institute of Geography  
225 and Statistics (IBGE) at a scale of 1:250,000 (Brazil, IBGE, 2012; see Nogueira *et al.*,  
226 2015; 2018a). Deforestation losses were determined from 2014 PRODES data (Brazil,  
227 INPE, 2016). These data are freely available from the National Institute for Space  
228 Research (INPE) at 60-m resolution, which is degraded from LANDSAT-TM (30-m  
229 resolution) or equivalent satellite imagery. The lower limit for detection of deforestation  
230 is 6.25 ha. Additional details on the carbon estimation methods can be found in  
231 Nogueira *et al.* (2018a).

232

### 233 3. *Results*

234

235 Extractive reserves in Legal Amazonia totaled 126,709 km<sup>2</sup>, of which 4301 km<sup>2</sup>  
236 (3.4%) had been cleared by 2014. The area of each extractive reserve and deforestation  
237 up to 2014 are presented in Table 1, while the original carbon stocks and the losses to  
238 deforestation are presented in Table 2. The carbon lost to deforestation totaled 74.9  
239 million tons. Carbon estimates (both stock and loss) are for the remaining vegetation in  
240 2014 and for the original vegetation cleared through 2014, respectively. These estimates  
241 do not consider post-clearing recovery by secondary vegetation. Table 3 summarizes the  
242 data for remaining vegetation and cleared areas and for carbon stocks and losses for  
243 federal extractive reserves, state extractive reserves, and for both types together.

244

245 [Tables 1, 2 & 3 here]

246

247 The original carbon density in tons per hectare (Mg ha<sup>-1</sup>) estimated before  
248 clearing had occurred in the each extractive reserve is presented in Figure 2. The  
249 reserves had a remaining carbon stock in forest vegetation of 2.1 billion tons, with  
250 average carbon density per hectare estimated at 168 tons (Table 3). Average carbon  
251 density is higher average in the federal than in the state extractive reserves.

252

253 [Figure 2 here]

254

### 255 4. *Discussion*

256

#### 257 4.1. *Carbon as a foundation for maintaining forest*

258

259 Using the value of carbon stocks to maintain forests has multiple environmental  
260 “co-benefits,” such as water cycling and biodiversity, as well as social benefits in  
261 maintaining traditional communities and cultures (e.g., Stickler *et al.*, 2009). The  
262 benefits to local communities, in addition to their own value, have the additional  
263 importance in providing motivation for development of governance that is more  
264 effective, cheaper and socially much more attractive than the predominant means of  
265 controlling deforestation in the region through reliance on inspections and fines from  
266 government agencies. However, it is essential that this local governance actually work,  
267 as reflected in halting deforestation in the extractive reserves.

268

269 The stocks of carbon documented here represent only the first step in the long  
270 process of tapping the climatic value of the forest and transforming this value into a  
271 system that both maintains the forest and provides support to the resident population.  
272 We certainly do not have the answers to the many challenges involved in designing and  
273 institutionalizing such a system. Some lessons can be gained from existing projects in  
274 extractive reserves to pay for environmental services or to implant projects for Reducing  
275 Emissions from Deforestation and Degradation (REDD+).

276  
277 The state of Amazonas has a “*Bolsa Floresta*” (“Forest Stipend” or “Forest  
278 Allowance”) program financed by the Amazon Fund to provide small monthly  
279 payments to families in protected areas, including extractive reserves, plus considerably  
280 more substantial contributions to community associations and for infrastructure such as  
281 schools, solar panels and water tanks (e.g., Viana *et al.*, 2012; Bakkegaard & Wunder,  
282 2014). The program does not have an explicit tie to carbon, but participating families  
283 sign an agreement to limit their future clearing to the small annual amounts they have  
284 been clearing in the past. However, a test of what happens when these agreements are  
285 violated has yet to occur. The beneficiaries of the program clearly have increased  
286 wellbeing as compared to those who live outside of protected areas, but the greatest  
287 potential benefit of the program has not yet materialized, namely stimulating traditional  
288 residents outside of protected areas to demand that government authorities create new  
289 sustainable-use protected areas so that these people can also benefit. The most critical  
290 location where this is needed is the vast area of public lands to the west of the Purus  
291 River that is now at risk from road-building plans associated with the BR-319 (Manaus-  
292 Porto Velho) Highway (Fearnside & Graça, 2006).

293  
294 Another approach is REDD+, where avoided carbon emissions would be  
295 accounted for and compensated, presumably from the voluntary market (although in the  
296 future a REDD+ mechanism is expected under the UNFCCC). REDD is an extremely  
297 controversial topic, both in Brazil and globally (Fearnside, 2012a). Carbon accounting  
298 issues to assure that climate benefits are real include dealing with uncertainty in the  
299 measurement of carbon stocks and their changes (Fearnside, 2000), the “baseline”  
300 (reference scenario) used for attributing emissions reductions to a mitigation project  
301 (i.e., “additionality”) (Yanai *et al.*, 2012; Vitel *et al.*, 2013), “leakage” (displacement of  
302 deforestation to locations beyond a project’s boundaries) (Fearnside, 2009\_leakage) and  
303 “permanence” (the time that carbon remains out of the atmosphere) (Fearnside *et al.*,  
304 2000; Fearnside, 2002). These issues are substantial, but all have solutions (Fearnside,  
305 2012b; Fearnside *et al.*, 2014). However, most opposition to REDD is not rooted in  
306 theoretical issues regarding carbon accounting, but rather in political issues regarding  
307 the distribution of financial and employment benefits (Fearnside, 2012a; 2013).

308  
309 Extractive reserves have so far had a relatively minor presence among Brazil’s  
310 REDD+ projects (e.g., Gomes, 2016). Two extractivist groups signed an anti-REDD  
311 statement in 2011: Sindicato dos Trabalhadores/as Rurais de Xapuri in Acre and Resex  
312 Renascer Tapajós-Arapiuns in Pará (Grupo Carta de Belém, 2011). One REDD+ project  
313 that is underway is the Resex Rio Preto Jacundá REDD+ Project (Biofílica  
314 Investimentos Ambientais AS, 2016). This follows the normal model for certified  
315 private-sector projects in the voluntary carbon market, with calculations of avoided  
316 emissions specific to the extractive reserve, in addition to claiming environmental and  
317 social co-benefits. In Amapá the Cajari Carbon Project is a state government initiative  
318 that also includes areas outside of the Cajari extractive reserve (IEF, 2018). The original

319 proposal had carbon added to it to make it eligible for funding under a Petrobrás  
 320 program, but the benefits ascribed to it by the project’s managers are essentially entirely  
 321 in the realm of social and sustainability gains without measurable links to carbon  
 322 emissions (Superti & Aubertin, 2015). In Acre the state government’s Incentive System  
 323 for Environmental Services (SISA) seeks to reduce the state’s loss of environmental  
 324 services, including carbon stocks, hydrological services and biodiversity. Like the  
 325 project in Amapá, it provides infrastructure and government services that encourage  
 326 non-destructive economic activities but does not make payments to stakeholders (Neves  
 327 *et al.*, 2013). The Acre program includes extractive reserves among the many land  
 328 categories in the state. The program has so far been funded by the Acre state  
 329 government, but a memorandum of understanding with the US state of California  
 330 foresees future financial flows on the basis of avoided carbon emissions (Palmer *et al.*,  
 331 2017). Forest degradation in Acre is substantially increasing the state’s carbon emission  
 332 as compared to what was planned under SISA, as in the case of forest fires during the  
 333 2015 drought that affected an area of forest larger than all of the deforestation in the  
 334 state between 2004 and 2015 (da Silva *et al.*, 2018).

335

#### 336 4.2. Deforestation and degradation

337

338 The 6.25 ha lower limit for deforestation detection by PRODES may bias our  
 339 results for deforestation in the extractive reserves downward more than is the case for  
 340 deforestation in other locations in Amazonia, such as settlement projects and areas  
 341 dominated by large ranches. This is because the traditional clearings made in  
 342 extractivist family collection areas (“*colocações*”) are often smaller than this minimum  
 343 area. In addition, clearings in *colocações* are scattered throughout the forest, unlike  
 344 clearings in settlement projects, which are often contiguous with clearings by neighbors.  
 345 Kalamandan *et al.* (2018) have recently shown the importance of small clearings, which  
 346 are increasingly common throughout Brazilian Amazonia.

347

348 The biomass data used in this study are derived from RadamBrasil forest survey  
 349 data transformed to biomass based on allometric equations, wood density and other  
 350 information derived by Nogueira *et al.* (2008a; 2015; 2018a). Various other estimates of  
 351 Amazon forest biomass exist, but they rely on much more limited ground-truth data than  
 352 the almost 3000 1-ha RadamBrasil plots (see review in Fearnside, 2018). The same  
 353 dataset used here for forest biomass is being used in Brazil’s 3<sup>rd</sup> National  
 354 Communication to the United Nations Framework Convention on Climate Change (see  
 355 Bustamante *et al.*, 2018).

356

357 Amazon forest biomass varies considerably across the region as a result of a  
 358 complex interaction among factors such as soil chemical and physical properties,  
 359 climate and disturbance history, including cutting and/or enrichment by pre-columbian  
 360 human populations (Heckenberger *et al.*, 2003, 2007; Malhi *et al.*, 2004, 2015; Quesada  
 361 *et al.*, 2011; 2012). Forest biomass is generally highest in central Amazonia, for  
 362 example near Manaus, and lowest in areas close to the *cerrado* (central Brazilian  
 363 savanna) (Nogueira *et al.*, 2015). Soils have a general gradient from high fertility areas  
 364 near the Andes in the west to lower fertility to the east, while rainfall has a gradient  
 365 from high precipitation and absence of a dry season in the northwestern area near  
 366 Colombia, to low precipitation and long dry seasons in the southeastern portion of the  
 367 region (Malhi *et al.*, 2004; 2006). More fertile soil is both associated with tree species  
 368 with less-dense wood and causes trees to grow faster, thereby producing less-dense

369 wood even within the same species (Nogueira *et al.*, 2007). Another factor reducing  
 370 biomass on more-fertile soils is trees being shorter for any given diameter (Nogueira *et al.*  
 371 *et al.*, 2008b). Forests in western Amazonia have lower stature, reducing biomass  
 372 (Feldpausch *et al.*, 2012). Trees grow faster near the Andes with high soil fertility, but  
 373 they also have higher mortality; this results in faster turnover and not higher biomass  
 374 (Phillips *et al.*, 2004). Forests in portions of Amazonia with long dry seasons have  
 375 lower biomass not because of lower productivity, but rather because trees in these areas  
 376 have higher mortality and shorter lifespans (Malhi *et al.*, 2015). Non-forest vegetation  
 377 can result either from climate, for example areas with an excessively long dry season  
 378 (Hutyra *et al.*, 2005; Salazar *et al.*, 2007), or from very unfavorable soils such as white  
 379 sand or hardpan (e.g., Lisboa, 1975). The pre-modern biomass in extractive reserves  
 380 reflects the biomass in the places where they are located, but they are not evenly  
 381 distributed across the region (Figure 1). Average pre-modern biomass carbon stock in  
 382 extractive reserves of all types was 168 Mg C ha<sup>-1</sup> in 2014 (Table 3), while the average  
 383 in Brazil's Legal Amazon region was 148.8 ± 32.5 Mg C ha<sup>-1</sup> and 164.0 ± 36.0 t Mg C  
 384 ha<sup>-1</sup> in the country's Amazonia Biome (Nogueira *et al.*, 2015).

385  
 386 Moutinho *et al.* (2012, p. 134) have calculated a biomass carbon stock (above +  
 387 below ground) for all Brazil's extractive reserves that had been established by 2008;  
 388 values for each reserve are not presented, but total values are given by jurisdiction  
 389 category that are equivalent to average stocks of 144.2 tons per hectare (Mg C ha<sup>-1</sup>) in  
 390 federal reserves, 142.2 Mg C ha<sup>-1</sup> in state reserves and 143.7 Mg C ha<sup>-1</sup> considering both  
 391 types together. Our estimates are 174 tons per hectare in federal reserves (20.7%  
 392 higher), 135 Mg C ha<sup>-1</sup> in state reserves (5.0% lower) and 168 Mg C ha<sup>-1</sup> in both types  
 393 together (16.9% higher) (Table 3). Moutinho *et al.* (2012, p. 82) used above-ground  
 394 forest carbon stocks based on the map by Saatchi *et al.* (2007) and added 20% to these  
 395 values to represent below-ground carbon based on (Houghton *et al.*, 2000; 2001). The  
 396 Saatchi *et al.* (2007) map was based on ground-truth information on primary forests in  
 397 Brazil at only 53 distinct locations, and almost half of these had a sample areas either <  
 398 1 ha or of unknown area (See Fearnside, 2018). The forest biomass values used in  
 399 present study are based on the RadamBrasil measurements of trees in 2317 plots, each 1  
 400 ha in area (Nogueira *et al.*, 2015).

401  
 402 The biomass and carbon values in Tables 1 and 2 are for "pre-modern" forests,  
 403 that is, forests at the time of the RadamBrasil surveys (mainly in the 1960s and early  
 404 1970s). The RadamBrasil surveys were done in a period when very little damage had  
 405 been done to the forest by logging. Forest fires had also been much less frequent than in  
 406 recent decades. Logging preferentially removes large trees, thus lowering forest biomass  
 407 (Sist & Ferreira, 2007; Mazzei *et al.*, 2010). Even when done with "reduced impact,"  
 408 logging operations can also kill many trees that are not harvested (Sist *et al.*, 2014). The  
 409 disturbance from logging substantially increases the vulnerability of Amazon forests to  
 410 fire (Uhl & Buschbacher, 1985; Cochrane *et al.*, 1999; Nepstad *et al.*, 1999). This can  
 411 kill more trees (Barlow *et al.*, 2003; Vasconcelos *et al.*, 2013) and initiate a positive  
 412 feedback process of successive fires and mortality events (Nepstad *et al.*, 2001; Barlow  
 413 & Peres, 2006; Berenguer *et al.*, 2014). In Acre and neighboring areas, fires also  
 414 stimulate invasion by bamboo, further reducing forest biomass and carbon stocks (Silva  
 415 *et al.*, 2017).

416  
 417 Quantification of degradation losses in extractive reserves is lacking, as is also  
 418 the case for most areas in Amazonia. Understory fires affected at least 2500 km<sup>2</sup> in the

419 state of Acre during the 2005 drought (Brown *et al.*, 2006). In the Chico Mendes  
420 Extractive reserve fire caused the number of dead stems to be much greater in burned  
421 plots than in unburned plots studied by Barlow and coworkers (2012), but the lack of  
422 plots from before the fire prevented statistically significant quantification of biomass  
423 losses. Amazonian forest has very high natural variation in biomass between plots over  
424 short distances (Nascimento & Laurance, 2002; Fearnside, 2018). The droughts of the  
425 type that occurred in 2005 (Marengo *et al.*, 2008; Zeng *et al.*, 2008; Phillips *et al.*,  
426 2009) and again in 2010 (Lewis *et al.*, 2011; Marengo *et al.*, 2011) are expected to  
427 increase dramatically in the coming decades under projected global warming (Cox *et*  
428 *al.*, 2008).

429  
430 There is a tendency to view emissions from logging as directly human-induced  
431 but forest fire as a natural source. However, almost no fires are “natural.” Not only are  
432 virtually all Amazonian fires caused by a human ignition source, a large proportion of  
433 them have their origin in forests that have been made susceptible to fire by logging. Fire  
434 represents a significant threat to projects intended to reward climate benefits through  
435 Reducing Emissions from Deforestation and Degradation (REDD+) (Aragão &  
436 Shimabukuro, 2010; Silva *et al.*, 2013).

437  
438 The carbon loss values presented in Tables 2 and 3 represent gross values and do  
439 not include reabsorption of some carbon by the deforested landscape, including  
440 secondary forest. Calculations exist for this uptake for Brazilian Amazonia as a whole,  
441 but not specifically for extractive reserves. Secondary forests grow much more slowly if  
442 they are from degraded cattle pasture than if they are agricultural fallows (Fearnside &  
443 Guimarães, 1996; Wandelli & Fearnside, 2015). To the extent that extractivists are  
444 expanding cattle pastures, as in the case of the Chico Mendes Extractive Reserve in  
445 Acre, this slower rate of carbon uptake by secondary forest will predominate, as it does  
446 in most of Brazilian Amazonia.

447  
448 In addition to its role in storing carbon, Amazon forest has also been acting as a  
449 carbon sink. In the 1990s this sink was believed to be very large, but correction of  
450 technical problems with early CO<sub>2</sub> flux measurements made from towers has resulted in  
451 much lower estimates for the magnitude of this sink (e.g., Araújo *et al.*, 2002).  
452 Monitoring of tree diameters in permanent plots has shown a basin-wide average  
453 uptake, although the magnitude varies among sites with the greatest increases near the  
454 Andes (Phillips *et al.*, 2009a; Lewis *et al.*, 2004). Estimates vary depending on methods  
455 (Grace, 2016). The sink is reversed under drought conditions (Phillips *et al.*, 2009b;  
456 Gatti *et al.*, 2014), and severe droughts are expected to increase markedly with climate  
457 change (Cox *et al.*, 2008; Latif *et al.*, 2015). There has been a decreasing trend in recent  
458 years: based on monitoring of 321 plots (mean plot area = 1.2 ha), the average  
459 magnitude of the Amazon forest sink has decreased from approximately 1.5 MgC ha<sup>-1</sup>  
460 year<sup>-1</sup> in 1985 to 0.25 MgC ha<sup>-1</sup> year<sup>-1</sup> in 2011 (Brienen *et al.*, 2015).

#### 461 462 4.2. Challenges to controlling carbon loss

463  
464 Protected areas represent a bulwark against climate change, and the need to  
465 avoid greenhouse-gas emissions is likely to be an increasingly important factor in  
466 decisions on creating and supporting these areas, including extractive reserves  
467 (Nogueira *et al.*, 2018b). The effectiveness of protected areas in maintaining their

468 carbon stocks will be critical in determining the allocation of resources in global and  
469 national efforts to fight climate change.

470

471 Logging is a delicate issue in extractive reserves because, unlike extraction of  
472 non-forest timber products like rubber and Brazilnuts, logging is not inherently  
473 sustainable unless strict limits on harvest intensity can be guaranteed to be respected  
474 over the course of many human generations. This requires social controls that are strong  
475 enough to not be relaxed or abandoned when the forest's timber stocks are drawn down  
476 to a pre-established limit, and when both population increase and the continued rise in  
477 the individual residents' desire for material consumption translate into pressure to  
478 change or evade the forest management regulations (Fearnside, 2003).

479

480 An example of the problem of maintaining the previous patterns of non-  
481 destructive behavior that have characterized extractivists for over a century is shown by  
482 expanding areas of cattle pasture in the Chico Mendes extractive reserve in Acre  
483 (Salisbury & Schmink, 2007; Vadjunec *et al.*, 2009). Deforestation has increased, and a  
484 contingent of residents in the reserve has, in fact, become ranchers rather than  
485 extractivists (*e.g.*, Salomon, 2008; Carranca, 2014). By 2014 a total of 480.4 km<sup>2</sup> of  
486 deforestation had occurred in this reserve (5.2% of the original forest area) (Table 1),  
487 much of it in the last few years.

488

489 The reason that extractive reserves are created and receive priority in  
490 government services as compared to unprotected areas in the interior of Brazilian  
491 Amazonia is because of the environmental services. The reason is not the fact that  
492 people in extractive reserves have a right to services such as education and health care:  
493 although extractive populations have a right to these services, so too do populations  
494 outside of protected areas, and the sad fact is that, in practice, having these rights does  
495 not mean that the government will provide them in a timely fashion. The same amount  
496 of money spent could provide, for example, schools and health centers for many more  
497 people in one of the country's urban *favelas* than in remote areas in the Amazon forest.  
498 The residents of extractive reserves are providing a service by maintaining the forest,  
499 and it is important that they realize that this is the reason for the benefits they receive.

500

501 Rubber extraction itself is no longer lucrative enough by itself to make  
502 extractive reserves economically viable without some form of subsidy (Jaramillo-  
503 Giraldo *et al.*, 2017). This means that extractivists must continually demonstrate that  
504 they have social controls sufficient to avoid loss of environmental services in order to  
505 justify funds from sources that are motivated to invest in maintaining environmental  
506 services. It is a basic precept of any program for payment for environmental services  
507 that the recipients must have control over the land in question (Wunder *et al.*, 2009).  
508 Normally this refers to land ownership, as through a land title, but in the case of an  
509 extractive reserve it would apply an adequate level of control by the extractivist  
510 community organization over the activities that take place in the reserve (*e.g.*, Global  
511 Compass, 2014). If individual families are free to become cattle ranchers and expand  
512 their clearings at will, the basis for transforming the climatic value of the forest's carbon  
513 stocks into a means of support for the extractivist population is undermined. While a  
514 variety of opinions exists on compensating the climate benefits of maintaining tropical  
515 forests, this compensation is likely to become an increasingly high priority if the  
516 countries of the world are serious about containing global warming (Fearnside, 2012a).

517 Maintaining the carbon stocks documented in this study is the most visible of the  
518 environmental services upon which the future of these extractivist populations depends.

519

## 520 **5. Conclusions**

521

522 Extractive reserves in the Brazilian Amazonia contain substantial amounts of carbon.  
523 These reserves are not immune to deforestation and to forest degradation, and  
524 maintaining their carbon stocks and associated climate benefits requires active defense.  
525 This indicates the need for a level of social control within the extractivist communities  
526 that is sufficient to prevent deforestation and forest degradation in the reserves.

527

## 528 **6. Acknowledgments**

529

530 The authors' research is supported by Conselho Nacional do Desenvolvimento  
531 Científico e Tecnológico (CNPq: Proc. 305880/2007-1; 368 304020/2010-9;  
532 573810/2008-7; 575853/2008-5), Fundação de Amparo à Pesquisa do Estado do  
533 Amazonas (FAPEAM: Proc. 708565) and Instituto Nacional de Pesquisas da Amazônia  
534 (INPA: PRJ15.125). This article is a contribution of the Brazilian Research Network on  
535 Global Climate Change, FINEP/ Rede CLIMA Grant No. 01.13.0353-00.

536

## 537 **7. References**

538

539 Adeney, J. M.; Christensen Jr., N. L.; Pimm, S. L. Reserves protect against  
540 deforestation fires in the Amazon. *PLoS One*, 4(4), art. e5014, 2009. doi:  
541 10.1371/journal.pone.0005014

542

543 Aragão, L. E. O. C.; Shimabukuro, Y. E. The incidence of fire in Amazonian forests  
544 with implications for REDD. *Science*, 328, 1275–1278, 2010. doi:  
545 10.1126/science.1186925

546

547 Araújo, A. C.; Nobre, A. D.; Kruijt, B.; Culf, A. D.; Stefani, P.; Elbers, J.; Dallarosa, R.;  
548 Stefani, P.; von Randow, C.; Manzi, A. O.; Culf, A. D.; Gash, J. H. C.;  
549 Valentini, R.; Kabat, P. Dual tower longterm study of carbon dioxide fluxes for a  
550 central Amazonian rain forest: The Manaus LBA site. *Journal of Geophysical*  
551 *Research*, 107(D20), art. 8090. 2002. doi:10.1029/2001JD000676

552

553 Arruda, R. “Populações Tradicionais” e a proteção dos recursos naturais em unidades de  
554 conservação. *Ambiente & Sociedade*, 2(5), 79–92, 1999. Available at:  
555 <http://www.scielo.br/pdf/asoc/n5/n5a07>

556

557 Bakkegaard, R. K.; Wunder, S. Bolsa Floresta, Brazil. In: Sills, E. O.; Atmadja, S. S.;  
558 de Sassi, C.; Duchelle, A. E.; Kweka, D. L.; Aju, I.; Resosudarmo, P.; Sunderlin,  
559 W. D. (Eds.) *REDD+ on the ground: A case book of subnational initiatives*  
560 *across the globe*. Bogor, Indonesia: Center for International Forestry Research  
561 (CIFOR). pp. 51-67. 2014. <https://www.cifor.org/redd-case-book/>

562

563 Barlow, J.; Silveira, J. M.; Mestre, L. A. M.; Andrade, R. B.; D'Andrea, G. C.;  
564 Louzada, J.; Vaz-de-Mello, F. Z.; Numata, I.; Lacau, S.; Cochrane, M. A.  
565 Wildfires in bamboo-dominated Amazonian forest: Impacts on above-ground

- 566 biomass and biodiversity. *PLoS One*, 7(3), art. e33373, 2012. doi:  
567 10.1371/journal.pone.0033373
- 568
- 569 Barlow, J.; Peres, C. A. Consequences of cryptic and recurring fire disturbances for  
570 ecosystem structure and biodiversity in Amazonian forests. In: Laurance, W. F.;  
571 Peres, C. A. (Eds.) *Emerging Threats to Tropical Forests*. Chicago, IL, U.S.A.:  
572 University of Chicago Press, pp. 225-240, 2006.
- 573
- 574 Barlow, J.; Peres, C. A.; Lagan, B. O.; Hugaasen, T. Large tree mortality and the  
575 decline of forest biomass following Amazonian wildfires. *Ecology Letters*, 6(1),  
576 6–8, 2003. doi: 10.1046/j.1461-0248.2003.00394.x
- 577
- 578 Berenguer, E.; Ferreira, J.; Gardner, T. A.; Aragão, L. E. O. C.; de Camargo, P. B.;  
579 Cerri, C. E.; Durigan, M.; de Oliveira Jr., R. C.; Vieira, I. C. G.; Barlow, J. A  
580 large-scale field assessment of carbon stocks in human-modified tropical forests.  
581 *Global Change Biology*, 20(12), 3713–3726, 2014. doi: 10.1111/gcb.12627
- 582
- 583 Biofílica Investimentos Ambientais AS. Projeto REDD+ Resex Rio Preto-Jacundá.  
584 2016.  
585 [http://www.biofilica.com.br/web/downloads/jacunda/Plano\\_Gestao\\_Jacunda\\_pt\\_](http://www.biofilica.com.br/web/downloads/jacunda/Plano_Gestao_Jacunda_pt_final.pdf)  
586 [final.pdf](http://www.biofilica.com.br/web/downloads/jacunda/Plano_Gestao_Jacunda_pt_final.pdf)
- 587
- 588 Brazil, IBAMA. Projeto de Monitoramento do Desmatamento dos Biomas Brasileiros  
589 por Satélite – PMDBBS: Cerrado, Monitoramento do Bioma Cerrado 2009-  
590 2010. Brasília, DF, Brazil: Instituto Brasileiro do Meio Ambiente e dos Recursos  
591 Naturais Renováveis (IBAMA), 2015. Available at:  
592 [http://siscom.ibama.gov.br/monitora\\_biomass/PMDBBS%20-](http://siscom.ibama.gov.br/monitora_biomass/PMDBBS%20-%20CERRADO.html)  
593 [%20CERRADO.html](http://siscom.ibama.gov.br/monitora_biomass/PMDBBS%20-%20CERRADO.html)
- 594
- 595 Brazil, IBGE. *Manual Técnico da Vegetação Brasileira, 2ª ed.* (Manuais Técnicos em  
596 Geociências no. 1). Rio de Janeiro, RJ, Brazil: Fundação Instituto Brasileiro de  
597 Geografia e Estatística (IBGE), 271 pp, 2012. Available at:  
598 <https://biblioteca.ibge.gov.br/visualizacao/livros/liv63011.pdf>
- 599
- 600 Brazil, INCRA. Download de dados geográficos. Brasília, DF, Brazil: Instituto  
601 Nacional de Colonização e Reforma Agrária (INCRA), 2015. Available at:  
602 <http://acervofundiario.incra.gov.br/i3geo/datadownload.htm>
- 603
- 604 Brazil, INPE. Projeto de Monitoramento do Desmatamento na Amazônia Legal  
605 (PRODES). São José dos Campos, SP, Brazil: Instituto Nacional de Pesquisas  
606 Espaciais (INPE), 2016. Available at:  
607 <http://www.dpi.inpe.br/prodesdigital/prodes.php>
- 608
- 609 Brazil, MMA. Sistema Nacional de Unidades de Conservação (SNUC), Lei no. 9985 de  
610 18 de julho de 2000. Brasília, DF, Brazil: Serviço Brasileiro Florestal (SBF),  
611 Ministério do Meio Ambiente (MMA), 2000. Available at:  
612 [http://www.mma.gov.br/images/arquivos/areas\\_protegidas/snuc/Livro%20SNU](http://www.mma.gov.br/images/arquivos/areas_protegidas/snuc/Livro%20SNUC%20PNAP.pdf)  
613 [C%20PNAP.pdf](http://www.mma.gov.br/images/arquivos/areas_protegidas/snuc/Livro%20SNUC%20PNAP.pdf).
- 614



- 615 Brazil, MMA. Download de dados geográficos. Brasília, DF, Brazil: Ministério do  
 616 Meio Ambiente (MMA), 2015. Available at:  
 617 <http://mapas.mma.gov.br/i3geo/datadownload.htm>  
 618
- 619 Brazil, Projeto RadamBrasil. *Levantamento de Recursos Naturais*. Rio de Janeiro, RJ,  
 620 Brazil: Ministério de Minas e Energia, Departamento Nacional de Produção  
 621 Mineral, 36 vols., 1973-1983. Available at:  
 622 [https://biblioteca.ibge.gov.br/index.php/biblioteca-](https://biblioteca.ibge.gov.br/index.php/biblioteca-catalogo?acervo=todos&campo=todos&notqry=&opeqry=&texto=radambrasil&digital=false&fraseexata=)  
 623 [catalogo?acervo=todos&campo=todos&notqry=&opeqry=&texto=radambrasil&](https://biblioteca.ibge.gov.br/index.php/biblioteca-catalogo?acervo=todos&campo=todos&notqry=&opeqry=&texto=radambrasil&digital=false&fraseexata=)  
 624 [digital=false&fraseexata=](https://biblioteca.ibge.gov.br/index.php/biblioteca-catalogo?acervo=todos&campo=todos&notqry=&opeqry=&texto=radambrasil&digital=false&fraseexata=)  
 625
- 626 Brienen, R. J. W.; Phillips, O. L.; Feldpausch, T. R.; Gloor, E.; Baker, T. R.; Lloyd, J.;  
 627 Lopez-Gonzalez, G.; Monteagudo-Mendoza, A.; Malhi, Y.; Lewis, S. L.;  
 628 Martinez, R. V.; Alexiades, M.; Dávila, E. Á.; Alvarez-Loayza, P.; Andrade, A.;  
 629 Aragão, L. E. O. C.; Araujo-Murakami, A.; Arets, E. J. M. M.; Arroyo, L.;  
 630 Aymard C., G. A.; Bánki, O. S.; Baraloto, C.; Barroso, J.; Bonal, D.; Boot, R. G.  
 631 A.; Camargo, J. L. C.; Castilho, C. V.; Chama, V.; Chao, K.-J.; Chave, J.;  
 632 Comiskey, J. A.; Valverde, F. C.; da Costa, L.; de Oliveira, E. A.; Di Fiore, A.;  
 633 Erwin, T. L.; Fauset, S.; Forsthofer, M.; Galbraith, D. R.; Grahame, E. S.; Groot,  
 634 N.; Hérault, B.; Higuchi, N.; Coronado, E. N. H.; Keeling, H.; Killeen, T. J.;  
 635 Marimon-Junior, W. F.; Mendoza, C.; Neill, D. A.; Nogueira, E. M.; Núñez, P.;  
 636 Camacho, N. C. P.; Parada, A.; Pardo-Molina, G.; Peacock, J.; Peña-Claros, M.;  
 637 Pickavance, G. C.; Pitman, N. C. A.; Poorter, L.; Prieto, A.; Quesada, C. A.;  
 638 Ramírez, F.; Ramírez-Angulo, H.; Restrepo, Z.; Roopsind, A.; Rudas, A.;  
 639 Salomão, R. P.; Schwarz, M.; Silva, N.; Silva-Espejo, J. E.; Silveira, M.; Stropp,  
 640 J.; Talbot, J.; ter Steege, H.; Teran-Aguilar, J.; Terborgh, J.; Thomas-Caesar, R.;  
 641 Toledo, M.; Torello-Raventos, M.; Umetsu, R. K.; van der Heijden, G. M. F.;  
 642 van der Hout, P.; Vieira, I. C. G.; Vieira, S. A.; Vilanova, E.; Vos, V. A.; Zagt, J.  
 643 Long-term decline of the Amazon carbon sink. *Nature*, 519, 344-348. 2015. doi:  
 644 10.1038/nature14283  
 645
- 646 Brown, I.F.; Schroeder, W.; Setzer, A.; Maldonado M. de los R.; Pantoja, N.; Duarte,  
 647 A.; Marengo, J. Monitoring fires in southwestern Amazonia Rain Forests, *EOS*,  
 648 *Transactions of the American Geophysical Union*, 87(26), 253–259, 2006. doi:  
 649 10.1029/2006EO260001  
 650
- 651 Bustamante, M. M. C.; Silva, J. S. O.; Cantinho, R. Z.; Shimbo, J. Z.; Oliveira, P. V. C.;  
 652 Santos, M. M. O.; Ometto, J. P. H. B.; Cruz, M. R.; Mello, T. R. B.; Godiva, D.;  
 653 Nobre, C. A. Engagement of scientific community and transparency in C  
 654 accounting: the Brazilian case for anthropogenic greenhouse gas emissions from  
 655 land use, land-use change and forestry. *Environmental Research Letters*, 13, art.  
 656 055005. 2018. doi: 10.1088/1748-9326/aabb37  
 657
- 658 Carranca, A. Reserva no Acre sofre com falta de fiscalização. *O Estado de São Paulo*, 4  
 659 October 2014, 2014. Available at:  
 660 [http://politica.estadao.com.br/noticias/eleicoes,reserva-no-acre-sofre-com-falta-](http://politica.estadao.com.br/noticias/eleicoes,reserva-no-acre-sofre-com-falta-de-fiscalizacao,1570568)  
 661 [de-fiscalizacao,1570568](http://politica.estadao.com.br/noticias/eleicoes,reserva-no-acre-sofre-com-falta-de-fiscalizacao,1570568)  
 662
- 663 Cochran, M. A.; Alencar, A. A.; Schulze, M. D.; Souza, Jr., C. M.; Nepstad, D. C.;  
 664 Lefebvre, P.; Davidson, E. A. Positive feedbacks in the fire dynamic of closed

- 665 canopy tropical forests. *Science*, 284, 1832-1835, 1999. doi:  
666 10.1126/science.284.5421.1832
- 667
- 668 Cox, P. M.; Harris, P. P.; Huntingford, C.; Betts, R. A.; Collins, M.; Jones, C. D.; Jupp,  
669 T. E.; Marengo, J. A.; Nobre, C. A. Increasing risk of Amazonian drought due to  
670 decreasing aerosol pollution. *Nature*, 453, 212-215, 2008. doi:  
671 10.1038/nature06960
- 672
- 673 da Silva, S. S.; Fearnside, P. M.; Graça, P. M. L. A.; Brown, I. F.; Alencar, A.; de Melo,  
674 A. W. F. Dynamics of forest fires in the southwestern Amazon. *Forest Ecology  
675 and Management*, 424, 312-322. 2018. doi: 10.1016/j.foreco.2018.04.041
- 676
- 677 Drummond, J. A. A extração sustentável de produtos florestais na Amazônia brasileira:  
678 vantagens, obstáculos e perspectivas. *Estudos Sociedade e Agricultura*, 6, 115-  
679 137, 1996. Available at:  
680 [http://bibliotecavirtual.clacso.org.ar/ar/libros/brasil/cpda/estudos/seis/drumon6.h](http://bibliotecavirtual.clacso.org.ar/ar/libros/brasil/cpda/estudos/seis/drumon6.htm)  
681 [tm](http://bibliotecavirtual.clacso.org.ar/ar/libros/brasil/cpda/estudos/seis/drumon6.htm)
- 682
- 683 ESRI. ArcGIS 10.0. GIS software, Desktop. Redlands, CA, U.S.A.: Environmental  
684 Systems Research Institute (ESRI), 2017. <http://www.esri.com>
- 685
- 686 Fearnside, P. M. Extractive reserves in Brazilian Amazonia: An opportunity to maintain  
687 tropical rain forest under sustainable use. *BioScience*, 39(6), 387-393, 1989. doi:  
688 10.2307/1311068
- 689
- 690 Fearnside, P. M. Environmental services as a strategy for sustainable development in  
691 rural Amazonia. *Ecological Economics*, 20(1), 53-70, 1997. doi: 10.1016/S0921-  
692 8009(96)00066-3
- 693
- 694 Fearnside, P. M. Uncertainty in land-use change and forestry sector mitigation options for  
695 global warming: Plantation silviculture versus avoided deforestation. *Biomass and  
696 Bioenergy*, 18(6), 457-468. 2000. doi: 10.1016/S0961-9534(00)00003-9
- 697
- 698 Fearnside, P. M. Saving tropical forests as a global warming countermeasure: An issue  
699 that divides the environmental movement. *Ecological Economics*, 39(2), 167-184,  
700 2001. doi: 10.1016/S0921-8009(01)00225-7
- 701
- 702 Fearnside, P. M. Time preference in global warming calculations: A proposal for a unified  
703 index. *Ecological Economics*, 41(1), 21-31. 2002. doi: 10.1016/S0921-  
704 8009(02)00004-6
- 705
- 706 Fearnside, P. M. Conservation policy in Brazilian Amazonia: Understanding the  
707 dilemmas. *World Development*, 31(5), 757-779, 2003. doi: 10.1016/S0305-  
708 750X(03)00011-1
- 709
- 710 Fearnside, P. M. Amazon forest maintenance as a source of environmental services.  
711 *Anais da Academia Brasileira de Ciências*, 80(1), 101-114, 2008. doi:  
712 10.1590/S0001-37652008000100006
- 713

- 714 Fearnside, P. M. Carbon benefits from Amazonian forest reserves: Leakage accounting  
715 and the value of time. *Mitigation and Adaptation Strategies for Global Change*,  
716 14(6), 557-567. 2009. doi: 10.1007/s11027-009-9174-9  
717
- 718 Fearnside, P. M. Brazil's Amazon forest in mitigating global warming: Unresolved  
719 controversies. *Climate Policy*, 12(1): 70-81. 2012a. doi:  
720 10.1080/14693062.2011.581571  
721
- 722 Fearnside, P. M. The theoretical battlefield: Accounting for the climate benefits of  
723 maintaining Brazil's Amazon forest. *Carbon Management* 3(2): 145-148. 2012b.  
724 doi: 10.4155/CMT.12.9  
725
- 726 Fearnside, P. M. What is at stake for Brazilian Amazonia in the climate negotiations.  
727 *Climatic Change*, 118(3), 509-519. 2013. doi: 10.1007/s10584-012-0660-9  
728
- 729 Fearnside, P. M. Pesquisa sobre conservação na Amazônia brasileira e a sua  
730 contribuição para a manutenção da biodiversidade e uso sustentável das florestas  
731 tropicais. In: Vieira, I. C. G.; Jardim, M. A. G.; Rocha, E. J. P. da (Eds.).  
732 *Amazônia em Tempo: Estudos Climáticos e Socioambientais*. Belém, PA, Brazil:  
733 Universidade Federal do Pará, Museu Paraense Emílio Goeldi & Embrapa  
734 Amazônia Oriental, pp. 21-49, 2015. Available at:  
735 [http://www.ppgca.ufpa.br/arquivos/repositorio/TEXTODOWN/Livro%20Amaz](http://www.ppgca.ufpa.br/arquivos/repositorio/TEXTODOWN/Livro%20Amaz%C3%B4nia%20em%20Tempo_Estudos%20clim%C3%A1ticos%20e%20socioambientais.pdf)  
736 [%C3%B4nia%20em%20Tempo\\_Estudos%20clim%C3%A1ticos%20e%20socio](http://www.ppgca.ufpa.br/arquivos/repositorio/TEXTODOWN/Livro%20Amaz%C3%B4nia%20em%20Tempo_Estudos%20clim%C3%A1ticos%20e%20socioambientais.pdf)  
737 [ambientais.pdf](http://www.ppgca.ufpa.br/arquivos/repositorio/TEXTODOWN/Livro%20Amaz%C3%B4nia%20em%20Tempo_Estudos%20clim%C3%A1ticos%20e%20socioambientais.pdf)  
738
- 739 Fearnside, P. M. Brazil's Amazonian forest carbon: The key to Southern Amazonia's  
740 significance for global climate. *Regional Environmental Change*, 18(1), 47-61,  
741 2018. doi: 10.1007/s10113-016-1007-2  
742
- 743 Fearnside, P. M.; Graça, P. M. L. A. BR-319: Brazil's Manaus-Porto Velho Highway  
744 and the potential impact of linking the arc of deforestation to central Amazonia.  
745 *Environmental Management*, 38(5), 705-716. 2006. doi: 10.1007/s00267-005-  
746 0295-y  
747
- 748 Fearnside, P. M.; Guimarães, W. M. Carbon uptake by secondary forests in Brazilian  
749 Amazonia. *Forest Ecology and Management*, 80(1-3), 35-46. 1996. doi:  
750 10.1016/0378-1127(95)03648-2  
751
- 752 Fearnside, P. M.; Lashof, D. A.; Moura-Costa, P. Accounting for time in mitigating  
753 global warming through land-use change and forestry. *Mitigation and*  
754 *Adaptation Strategies for Global Change*, 5(3), 239-270. 2000. doi:  
755 10.1023/A:1009625122628  
756
- 757 Fearnside, P. M.; Yanai, A. M.; Vitel, C. S. M. N. Modeling Baselines for REDD  
758 Projects in Amazonia: Is the carbon real? In: Gerold, G.; Jungkunst, H. F.;  
759 Wantzen, K. M.; Schönenberg, R.; Amorim, R. S. S.; Couto, E. G.; Madari, B.;  
760 Hohnwald, S. (Eds.) *Interdisciplinary Analysis and Modeling of Carbon-*  
761 *Optimized Land Management Strategies for Southern Amazonia*. Göttingen,  
762 Germany. Univerditätsdrucke Göttingen, pp. 19-28. 2014.  
763 [http://webdoc.sub.gwdg.de/univerlag/2014/carbiocial\\_978-3-86395-138-2.pdf](http://webdoc.sub.gwdg.de/univerlag/2014/carbiocial_978-3-86395-138-2.pdf)

- 764  
765 Feldpausch, T. R.; Lloyd, J.; Lewis, S. L.; Brienen, R. J. W.; Gloor, E.; Mendoza, A.  
766 M.; Lopez-Gonzalez, G.; Banin, L.; Abu Salim, K.; Affum-Baffoe, K.;  
767 Alexiades, M.; Almeida, S.; Amaral, I.; Andrade, A.; Aragão, L. E. O. C.;  
768 Murakami, A. A.; Arets, E. J. M. M.; Arroyo, L., G. A.; Aymard, C.; Baker, T.  
769 R.; Bánki, O. S.; Berry, N. J.; Cardozo, N.; Chave, J.; Comiskey, J. A.; Dávila,  
770 E. A.; de Oliveira, A.; DiFiore, A.; Djangbletey, G.; Domingues, T. F.; Erwin, T.  
771 L.; Fearnside, P. M.; França, M. B.; Freitas, M. A.; Higuchi, N.; E. Honorio C.;  
772 Iida, Y.; Jiménez, E.; Kassim, A. R.; Killeen, T. J.; Laurance, W. F.; Lovett, J.  
773 C.; Malhi, Y.; Marimon, B. S.; Marimon-Junior, B. H.; Lenza, E.; Marshall, A.  
774 R.; Mendoza, C.; Metcalfe, D. J.; Mitchard, E. T. A.; Nelson, B. W.; Nilus, R.;  
775 Nogueira, E. M.; Parada, A.; Peh, K. S.-H.; Pena Cruz, A.; Peñuela, M. C.;  
776 Pitman, N. C. A.; Prieto, A.; Quesada, C. A.; Ramírez, F.; Ramírez-Angulo, H.;  
777 Reitsma, J. M.; Rudas, A.; Saiz, G.; Salomão, R. P.; Schwarz, M.; Silva, N.;  
778 Silva-Espejo, J. E.; Silveira, M.; Sonké, B.; Stropp, J.; Taedoumg, H. E.; Tan, S.;  
779 ter Steege, H., Terborgh, J., Torello-Raventos, M., van der Heijden, G. M. F.,  
780 Vásquez, R.; Vilanova, E.; Vos, V.; White, L.; Wilcock, S.; Woell, H.; Phillips,  
781 O. L. Tree height integrated into pan-tropical forest biomass estimates.  
782 *Biogeosciences*, 9(8), 3381-3403. 2012. doi: 10.5194/bg-9-1-2012  
783
- 784 Gatti, L. V.; Gloor, M.; Miller, J. B.; Doughty, C. E.; Malhi, Y.; Domingues, L. G.;  
785 Basso, L. S.; Martinewski, A.; Correia, C. S. C.; Borges, V. F.; Freitas, S.; Braz,  
786 R.; Anderson, L. O.; Rocha, H.; Grace, J.; Phillips, O. L.; Lloyd, J. Atmospheric  
787 CO<sub>2</sub> measurements reveal strong drought sensitivity of Amazonian carbon  
788 balance. *Nature*, 506, 76-80. 2014. doi: 10.1038/nature12957  
789
- 790 GEF (Global Environment Facility). Amazon Region Protected Areas Program. 3rd  
791 GEF-7 Replenishment Meeting – Brasília, Brazil, January 23-25, 2018. GEF,  
792 World Bank, Washington, DC, USA. 19 pp., 2018,  
793 [https://www.thegef.org/sites/default/files/publications/Arpa\\_GEF%202018\\_22.0](https://www.thegef.org/sites/default/files/publications/Arpa_GEF%202018_22.01.18-v2.pdf)  
794 [1.18-v2.pdf](https://www.thegef.org/sites/default/files/publications/Arpa_GEF%202018_22.01.18-v2.pdf)  
795
- 796 Global Compass. Community monitoring in the Chico Mendes Extractive Reserve in  
797 Acre, Brazil. Oxford, UK: Global Canopy Programme (GCP), 2014. Available  
798 at: [https://forestcompass.org/case-studies/community-monitoring-chico-mendes-](https://forestcompass.org/case-studies/community-monitoring-chico-mendes-extractive-)  
799 [extractive-](https://forestcompass.org/case-studies/community-monitoring-chico-mendes-extractive-)  
800
- 801 Gomes, G. A. M. *Desafios para Implementação do REDD+ no Brasil: Análise das*  
802 *Ameaças e Oportunidades, Forças e Fraquezas*. Doctoral thesis, Salvador, BA,  
803 Brazil: Universidade Federal da Bahia, Escola de Administração. 2016.  
804 [https://repositorio.ufba.br/ri/bitstream/ri/21427/1/Gomes%2C%20Guineverre%2](https://repositorio.ufba.br/ri/bitstream/ri/21427/1/Gomes%2C%20Guineverre%20Alvarez%20Machado%20de%20Melo.pdf)  
805 [0Alvarez%20Machado%20de%20Melo.pdf](https://repositorio.ufba.br/ri/bitstream/ri/21427/1/Gomes%2C%20Guineverre%20Alvarez%20Machado%20de%20Melo.pdf)  
806
- 807 Grace, J. The Amazon carbon balance: An evaluation of methods and results. In: Nagy,  
808 L.; Forsberg, B.; Artaxo, P. (Eds.) *Interactions Between Biosphere, Atmosphere*  
809 *and Human Land Use in the Amazon Basin*. Berlin, Germany: Springer  
810 (Ecological Studies 227). pp. 79-100. 2016. doi: 10.1007/978-3-662-49902-3\_5  
811
- 812 Grupo Carta de Belém. Quem ganha e quem perde com o REDD e Pagamento por  
813 Serviços Ambientais? Rio de Janeiro, RJ, Brazil: Fundação Heinrich Böll Brasil,

- 814 11 pp. 2011.  
815 [https://br.boell.org/sites/default/files/downloads/documento\\_redd\\_carta\\_de\\_belem\\_nov\\_2011.pdf](https://br.boell.org/sites/default/files/downloads/documento_redd_carta_de_belem_nov_2011.pdf)  
816  
817
- 818 Heckenberger, M. J.; Kuikuro, A.; Kuikuro, U. T.; Russell, J. C.; Schmidt, M.; Fausto,  
819 C.; Franchetto, B. Amazonia 1492: pristine forest or cultural parkland? *Science*,  
820 301, 1710–1714. 2003. doi: 10.1126/science.1086112  
821
- 822 Heckenberger, M. J.; Russel, C.; Toney, J. R.; Schmidt, M. J. The legacy of cultural  
823 landscapes in the Brazilian Amazon: Implications for biodiversity. *Philosophical*  
824 *Transactions of the Royal Society, Series B: Biological Sciences*, 362, 197–208.  
825 2007. doi: 10.1098/rstb.2006.1979  
826
- 827 Homma, A. K. O. *História da Agricultura na Amazônia: da Era Pré-Colombiana ao*  
828 *Terceiro Milênio*. Brasília, DF, Brazil: Embrapa Informação Tecnológico,  
829 Empresa Brasileira de Pesquisa Agropecuária (Embrapa), 274 pp, 2003.  
830 Available at:  
831 [http://ainfo.cnptia.embrapa.br/digital/bitstream/item/117200/1/HISTORIA-](http://ainfo.cnptia.embrapa.br/digital/bitstream/item/117200/1/HISTORIA-AGRICULTURA-AMAZONIA-Baixa.pdf)  
832 [AGRICULTURA-AMAZONIA-Baixa.pdf](http://ainfo.cnptia.embrapa.br/digital/bitstream/item/117200/1/HISTORIA-AGRICULTURA-AMAZONIA-Baixa.pdf)  
833
- 834 Houghton R. A.; Lawrence, K. T.; Hackler, J. L.; Brown, S. The spatial distribution of  
835 forest biomass in the Brazilian Amazon: a comparison of estimates. *Global*  
836 *Change Biology*, 7, 731–746. 2001. doi: 10.1111/j.1365-2486.2001.00426.x  
837
- 838 Houghton, R. A.; Skole, D. L.; Nobre, C. A.; Hackler, J. L.; Lawrence, K. T.;  
839 Chomentowski, W. H. Annual fluxes of carbon from deforestation and regrowth  
840 in the Brazilian Amazon. *Nature*, 403, 301–304. 2000. doi: 10.1038/35002062  
841
- 842 Hutyrá, L. R.; Munger, J. W.; Nobre, C. A.; Saleska, S. R.; Vieira, S. A.; Wofsy, S. C.  
843 Climatic variability and vegetation vulnerability in Amazônia, *Geophysical*  
844 *Research Letters*, 32, art. L24712. 2005. doi:10.1029/2005GL024981.  
845
- 846 IEF (Instituto Estadual de Florestas do Amapá). Projeto Carbono Cajari. 2018.  
847 <https://ief.portal.ap.gov.br/dados.php?d=735&a=4>  
848
- 849 Jaramillo-Giraldo, C.; Soares Filho, B.; Ribeiro, S. M.; Gonçalves, R. C. Is it possible to  
850 make rubber extraction ecologically and economically viable in the Amazon?  
851 The Southern Acre and Chico Mendes Reserve case study. *Ecological*  
852 *Economics*, 134, 186–197, 2017. doi: 10.1016/j.ecolecon.2016.12.035  
853
- 854 Kalamandeen, M.; Gloor, E.; Mitchard, E.; Quincey, D.; Ziv, G.; Spracklen, D.;  
855 Spracklen, B.; Adami, M.; Aragão, L. E. O. C.; Galbraith, D. Pervasive rise of  
856 small-scale deforestation in Amazonia. *Scientific Reports*, 8(1), art. 1600. 2018.  
857 doi: 10.1038/s41598-018-19358-2  
858
- 859 Latif, M.; Semenov, V. A.; Park, W. Super El Niños in response to global warming in a  
860 climate model. *Climatic Change*, 132(4), 489–500. 2015. doi: 10.1007/s10584-  
861 015-1439-6  
862

- 863 Lewis, S. L.; Phillips, O. L.; Baker, T. R.; Lloyd, J.; Malhi, Y.; Almeida, S.; Higuchi,  
864 N.; Laurance, W. F.; Neill, D. A.; Silva, J. N. M.; Terborgh, J.; Lezama, A. T.;  
865 Martinez, R. V.; Brown, S.; Chave, J.; Kuebler, C.; Vargas, P. N.; Vinceti, B.  
866 Concerted changes in tropical forest structure and dynamics: Evidence from 50  
867 South American long-term plots. *Philosophical Transactions of the Royal*  
868 *Society of London, Series B: Biological Sciences*, 359, 421–436. 2004. doi:  
869 10.1098/rstb.2003.1431  
870
- 871 Lewis, S. L.; Brando, P. M.; Phillips, O. L.; van der Heijden, G. M. F.; Nepstad, D. C.  
872 The 2010 Amazon drought. *Science*, 331, 554, 2011. doi:  
873 10.1126/science.1200807  
874
- 875 Lisboa, P. L. Observações sobre a vegetação da campina de areia branca na Amazônia,  
876 incluindo revisão bibliográfica. *Acta Amazonica*, 5(3), 211–223. 1975.  
877 <http://www.scielo.br/pdf/aa/v5n3/1809-4392-aa-5-3-0211.pdf>  
878
- 879 Malhi, Y.; Baker, T. R.; Phillips, O. L.; Almeida, S.; Alvarez, E.; Arroyo, L.; Chave, J.;  
880 Czimczik, C. I.; Di Fiore, A.; Higuchi, N.; Killeen, T. J.; Laurance, S. G.;  
881 Laurance, W. F.; Lewis, S. L.; Montoya, L. M. M.; Agudo, A. M.; Neill, D. A.;  
882 Vargas, P. N.; Patiño, S.; Pitman, N. C. A.; Quesada, C. A.; Salomão, R.; Silva,  
883 J. N. M.; Lezama, A. T.; Martínez, R. V.; Terborgh, J.; Vinceti, B.; Lloyd, J. The  
884 above-ground coarse wood productivity of 104 Neotropical forest plots. *Global*  
885 *Change Biology*, 10, 563–591. 2004. doi: 10.1111/j.1529-8817.2003.00778.x  
886
- 887 Malhi, Y.; Doughty, C. E.; Goldsmith, G. R.; Metcalfe, D. B.; Girardin, C. A. J.;  
888 Marthews, T. R.; del Aguila-Pasquel, J.; Aragão, L. E. O. C.; Araujo-Murakam,  
889 A.; Brando, P.; da Costa, A. C. L.; Silva-Espejo, J. E.; Amezquita, F. F.;  
890 Galbraith, D. R.; Quesada, C. A.; Rocha, W.; Salinas-Revilla, N.; Silvério, D.;  
891 Meir, P.; Phillips, O. L. The linkages between photosynthesis, productivity,  
892 growth and biomass in lowland Amazonian forests. *Global Change Biology*, 21,  
893 2283–2295. 2015. doi: 10.1111/gcb.12859  
894
- 895 Malhi, Y.; Wood, D.; Baker, T.R.; Wright, J.; Phillips, O. L.; Cochrane, T.; Meir, P.;  
896 Chave, J.; Almeida, S.; Arroyo, L.; Higuchi, N.; Killeen, T. J.; Laurance, S. G.;  
897 Laurance, W. F.; Lewis, S. L.; Monteagudo, A.; Neill, D. A.; Vargas, P. N.;  
898 Pitman, N. C. A.; Quesada, C. A.; Salomão, R.; Silva, J. N. M.; Lezama, A.  
899 Torres; Terborgh, J.; Martínez, R. V.; Vinceti, B. The regional variation of  
900 aboveground live biomass in old-growth Amazonian forests. *Global Change*  
901 *Biology*, 12, 1–32. 2006. doi: 10.1111/j.1365-2486.2006.01120.x  
902
- 903 Marengo, J. A.; Nobre, C. A.; Tomasella, J.; Oyama, M. D.; Sampaio de Oliveira, G.,  
904 Oliveira, R. de; Camargo, H.; Alves, L. M.; Brown, I. F. The drought of  
905 Amazonia in 2005. *Journal of Climate*, 21, 495–516, 2008. doi:  
906 10.1175/2007JCLI1600.1  
907
- 908 Marengo, J. A.; Tomasella, J.; Alves, L. M.; Soares, W. R.; Rodriguez, D. A. The  
909 drought of 2010 in the context of historical droughts in the Amazon region.  
910 *Geophysical Research Letters*, 38, art. L12703, 2011. doi:  
911 10.1029/2011GL047436  
912

- 913 Mazzei, L.; Sist, P.; Ruschel, A.; Putz, F. E.; Marco, P.; Pena, W.; Ferreira, J. E. R.  
914 Above-ground biomass dynamics after reduced-impact logging in the Eastern  
915 Amazon. *Forest Ecology and Management*, 259(3), 367-373, 2010. doi:  
916 10.1016/j.foreco.2009.10.031  
917
- 918 Moutinho, P.; Stella, O.; Lima, A.; Christovam, M.; Alencar, A.; Castro, I.; Nepstad, D.  
919 *REDD no Brasil: Um Enfoque Amazônico*, 3ª edição. Brasília, DF, Brazil:  
920 Centro de Gestão e Estudos Estratégicos, 156 pp. 2012. [http://ipam.org.br/wp-](http://ipam.org.br/wp-content/uploads/2015/12/redd_no_brasil_um_enfoque_amazônico.pdf)  
921 [content/uploads/2015/12/redd\\_no\\_brasil\\_um\\_enfoque\\_amazônico.pdf](http://ipam.org.br/wp-content/uploads/2015/12/redd_no_brasil_um_enfoque_amazônico.pdf)  
922
- 923 Nascimento, H. E. M.; Laurance, W. F. Total aboveground biomass in central  
924 Amazonian rainforests: a landscape-scale study. *Forest Ecology and*  
925 *Management*, 168(1-3), 311-321, 2002. doi: 10.1016/S0378-1127(01)00749-6  
926
- 927 Nepstad, D. C.; Carvalho, G.; Barros, A. C.; Alencar, A.; Capobianco, J. P.; Bishop, J.;  
928 Moutinho, P.; Lefebvre, P.; Silva Jr., U. L.; Prins, E. Road paving, fire regime  
929 feedbacks, and the future of Amazon forests. *Forest Ecology and Management*,  
930 154(3), 395-407, 2001. doi: 10.1016/S0378-1127(01)00511-4  
931
- 932 Nepstad, D. C.; Verissimo, A.; Alencar, A.; Nobre, C.; Lima, E.; Lefebvre, P.;  
933 Schlesinger, P.; Potter, C.; Moutinho, P.; Mendoza, E.; Cochrane, M.; Brooks,  
934 V. Large-scale impoverishment of Amazonian forests by logging and fire.  
935 *Nature*, 398, 505-508, 1999. doi: 10.1038/19066  
936
- 937 Neves, R. F.; Leal, M. J. L. R.; Vaz, F. Programa de Incentivos a Serviços Ambientais  
938 do Carbono do Estado do Acre (Programa ISA Carbono do Acre). Rio Branco,  
939 AC, Brazil: IMC. 2013. [https://mer.markit.com/br-](https://mer.markit.com/br-reg/services/processDocument/downloadDocumentById/103000000029314)  
940 [reg/services/processDocument/downloadDocumentById/103000000029314](https://mer.markit.com/br-reg/services/processDocument/downloadDocumentById/103000000029314)  
941
- 942 Nogueira, E. M.; Fearnside, P. M.; Nelson, B. W.; Barbosa, R. I.; Keizer, E. W. H.  
943 Estimates of forest biomass in the Brazilian Amazon: New allometric equations  
944 and adjustments to biomass from wood-volume inventories. *Forest Ecology and*  
945 *Management*, 256(11), 1853-1857, 2008a. doi: 10.1016/j.foreco.2008.07.022  
946
- 947 Nogueira, E. M.; Fearnside, P. M.; Nelson, B. W. França, M. B. Wood density in forests  
948 of Brazil's 'arc of deforestation': Implications for biomass and flux of carbon  
949 from land-use change in Amazonia. *Forest Ecology and Management*, 248(3),  
950 119-135, 2007. doi: 10.1016/j.foreco.2007.04.047  
951
- 952 Nogueira, E. M.; Nelson, B. W.; Fearnside, P. M.; França, M. B.; de Oliveira, Á. C. A.  
953 Tree height in Brazil's "arc of deforestation": Shorter trees in south and  
954 southwest Amazonia imply lower biomass. *Forest Ecology and Management*,  
955 255, 2963-2972, 2008b. doi: 10.1016/j.foreco.2008.02.002  
956
- 957 Nogueira, E. M.; Yanai, A. M.; Fonseca, F. O. R.; Fearnside, P. M. Carbon stock loss  
958 from deforestation through 2013 in Brazilian Amazonia. *Global Change*  
959 *Biology*, 21(3), 1271-1292, 2015. doi: 10.1111/gcb.12798  
960
- 961 Nogueira, E. M.; Yanai, A. M.; Vasconcelos, S. S.; Graça, P. M. L. A.; Fearnside, P. M.  
962 Carbon stocks and losses to deforestation in protected areas in Brazilian

- 963 Amazonia. *Regional Environmental Change*, 18(1), 261-270, 2018a. doi:  
 964 10.1007/s10113-017-1198-1
- 965
- 966 Nogueira, E. M.; Yanai, A. M.; Vasconcelos, S. S.; Graça, P. M. L. A.; Fearnside, P. M.  
 967 Brazil's Amazonian protected areas as a bulwark against regional climate  
 968 change. *Regional Environmental Change*, 18(2), 573-579. 2018b. doi:  
 969 10.1007/s10113-017-1209-2
- 970
- 971 Palmer, C.; Taschini, L.; Laing, T. Getting more 'carbon bang' for your 'buck' in Acre  
 972 State, Brazil. *Ecological Economics*, 142, 214-227. 2017. doi:  
 973 10.1016/j.ecolecon.2017.06.024
- 974
- 975 Ortiz, F. Fundo Amazônia é o único recurso no Brasil para custeio de combate ao  
 976 desmatamento, diz ISA. *O Eco*, 11 July 2018, 2018..  
 977 [http://www.oeco.org.br/reportagens/fundo-amazonia-e-o-unico-recurso-no-](http://www.oeco.org.br/reportagens/fundo-amazonia-e-o-unico-recurso-no-brasil-para-custeio-de-combate-ao-desmatamento-diz-isa/)  
 978 [brasil-para-custeio-de-combate-ao-desmatamento-diz-isa/](http://www.oeco.org.br/reportagens/fundo-amazonia-e-o-unico-recurso-no-brasil-para-custeio-de-combate-ao-desmatamento-diz-isa/)
- 979
- 980 Phillips, O. L.; Aragão, L. E. O. C.; Lewis, S. L.; Fisher, J. B.; Lloyd, J.; Lopez-  
 981 Gonzalez, G.; Malhi, Y.; Monteagudo, A.; Peacock, J.; Quesada, C. A.; van der  
 982 Heijden, G.; Almeida, S.; Amaral, I.; Arroyo, L.; Aymard, G.; Baker, T. R.;  
 983 Banki, O.; Blanc, L.; Bonal, D.; Brando, P.; Chave, J.; de Oliveira, A. C. A.;  
 984 Cardozo, N. D.; Czimczik, C. I.; Feldpausch, T. R.; Freitas, M. A.; Gloor, E.;  
 985 Higuchi, N.; Jimenez, E.; Lloyd, G.; Meir, P.; Mendoza, C.; Morel, A.; Neill, D.  
 986 A.; Nepstad, D.; Patino, S.; Cristina, P. M.; Prieto, A.; Ramirez, F.; Schwarz,  
 987 M.; Silva, J.; Silveira, M.; Thomas, A. S.; ter Steege, H.; Stropp, J.; Vasquez, R.;  
 988 Zelazowski, P.; Alvarez, D. E.; Andelman, S.; Andrade, A.; Chao, K.-J.; Erwin,  
 989 T.; Di Fiore, A.; Honorio, C. E.; Keeling, H.; Killeen, T. J.; Laurance, W. F.;  
 990 Cruz, A. P.; Pitman, N. C. A.; Nunez, V. P.; Ramirez-Angulo, H.; Rudas, A.;  
 991 Salamão, R.; Silva, N.; Terborgh, J.; Torres-Lezama, A. Drought sensitivity of  
 992 the Amazon rainforest. *Science*, 323, 1344-1347. 2009b. doi:  
 993 10.1126/science.1164033 PMID:19265020
- 994
- 995 Phillips, O.L.; Baker, T. R.; Arroyo, L.; Higuchi, N.; Killeen, T.; Laurance, W.F.;  
 996 Lewis, S. L.; Lloyd, J.; Malhi, Y.; Monteagudo, A.; Neill, D. A.; Vargas, P.N.;  
 997 Silva, J. N. M.; Terborgh, J.; Martinez, R.V.; Alexiades, M.; Almeida, S.;  
 998 Brown, S.; Chave, J.; Cormiskey, J.A.; Czimczik, C. I.; Fiore, A.D.; Erwin, T.;  
 999 Kuebler, C.; Laurance, S. G.; Nascimento, H. E M ; Oliveira, J.; Palacios, W.;  
 1000 Patino, S.; Pitman, N. C. A.; Quesada, C. A.; Saldias, M.; Lezama, A. T.;  
 1001 Vinceti, B. Patterns and process in Amazon tree turnover, 1976-2001.  
 1002 *Philosophical Transactions of the Royal Society of London, Series B: Biological*  
 1003 *Sciences*, 359, 437-462. 2004. doi: 10.1098/rstb.2003.1438
- 1004
- 1005 Phillips, O. L.; Higuchi, N.; Vieira, S.; Baker, T. R.; Chao, K.-J.; Lewis, S. Changes in  
 1006 Amazonian forest biomass, dynamics, and composition, 1980-2002. In: Keller,  
 1007 M.; Bustamante, M.; Gash, J.; da Silva Dias, P. (Eds.). *Amazonia and Global*  
 1008 *Change*. Geophysical Monograph Series, Volume 186, Washington, DC, U.S.A.:  
 1009 American Geophysical Union (AGU), pp. 373-387. 2009a. doi:  
 1010 10.1029/2008GM000739
- 1011



- 1012 Quesada, C. A.; Lloyd, J.; Anderson, L. O.; Fyllas, N. M.; Schwarz, M.; Czimeczik, C. I.  
 1013 Soils of Amazonia with particular reference to the RAINFOR sites.  
 1014 *Biogeosciences*, 8, 1415–1440. 2011. doi: 10.5194/bg-8-1415-2011  
 1015
- 1016 Quesada, C. A.; Phillips, O. L.; Schwarz, M.; Czimeczik, C. I.; Baker, T. R.; Patiño, S.;  
 1017 Fyllas, N. M.; Hodnett, M. G.; Herrera, R.; Almeida, S.; Alvarez, D. E.; Arneeth,  
 1018 A.; Arroyo, L.; Chao, K.-J.; Dezzeo, N.; Erwin, T.; Di Fiore, A.; Higuchi, N.;  
 1019 Coronado, H. E.; Jiménez, E. M.; Killeen, T.; Torres-Lezama, A.; Lloyd, G.;  
 1020 López-González, G.; Luizão, F. J.; Malhi, Y.; Monteagudo, A.; Neill, D. A.;  
 1021 Vargas, N. P.; Paiva, R.; Peacock, J.; Peñuela, M. C.; Cruz, P. A.; Pitman, N;  
 1022 Priante Filho, N.; Prieto, A.; Ramírez, H.; Rudas, A.; Salomão, R.; Santos, A. J.  
 1023 B.; Schmerler, J.; Silva, N.; Silveira, M.; Vásquez, R.; Vieira, I.; Terborgh, J.;  
 1024 Lloyd, J. Basin-wide variations in Amazon forest structure and function are  
 1025 mediated by both soils and climate. *Biogeosciences*, 9(6), 2203–2246. 2012. doi:  
 1026 10.5194/bg-9-2203-2012  
 1027
- 1028 Rodrigues, S. Noruega corta 50% dos repasses para o Fundo Amazônia. *O Eco*, 2 June  
 1029 2017, 2017. [http://www.oeco.org.br/noticias/noruega-corta-50-dos-repasses-](http://www.oeco.org.br/noticias/noruega-corta-50-dos-repasses-para-o-fundo-amazonia/)  
 1030 [para-o-fundo-amazonia/](http://www.oeco.org.br/noticias/noruega-corta-50-dos-repasses-para-o-fundo-amazonia/)  
 1031
- 1032 Saatchi, S. S.; Houghton, R. A.; dos Santos Alvala, R. C.; Soares, J. V.; Yu, Y.  
 1033 Distribution of aboveground live biomass in the Amazon Basin. *Global Change*  
 1034 *Biology*, 13, 816–837. 2007. doi: 10.1111/j.1365-2486.2007.01323.x  
 1035
- 1036 Salazar, L. F.; Nobre, C. A.; Oyama, M. D. Climate change consequences on the biome  
 1037 distribution in tropical South America. *Geophysical Research Letters*, 34, art.  
 1038 L09708, 2007. doi: 10.1029/2007GL029695  
 1039
- 1040 Salisbury, D. S.; Schmink, M. Cows versus rubber: changing livelihoods among  
 1041 Amazonian extractivists. *Geoforum*, 38(6), 1233-1249, 2007. doi:  
 1042 10.1016/j.geoforum.2007.03.005  
 1043
- 1044 Salomon, M. Gado avança em reserva Chico Mendes. *Folha de São Paulo*, 21  
 1045 September 2008, 2008. Available at:  
 1046 <http://www1.folha.uol.com.br/fsp/brasil/fc2109200819.htm>  
 1047
- 1048 Silva, S. S. da; Alencar, A. A.; Mendoza, E.; Brown, I. F. Dinâmica dos incêndios  
 1049 florestais no Estado do Acre nas décadas de 90 e 00. In: Estiphano, J. C. N.;  
 1050 Galvão, L. S. (Eds.). *Simpósio Brasileiro de Sensoriamento Remoto (SBSR), 16.*  
 1051 *2013, Foz do Iguaçu, Anais*. São José dos Campos, SP, Brazil: Instituto Nacional  
 1052 de Pesquisas Espaciais (INPE), pp. 8799–8806, 2013. Available at:  
 1053 <http://urlib.net/3ERPFQRTRW34M/3E7GLQ6>  
 1054
- 1055 Silva, S. S. da; Graça, P. M. L. A.; Numata, I.; Ferreira, E. J. L.; Fearnside, P. M.;  
 1056 Santos, E. A. dos; de Lima, R. C.; Brown, I. F. Incêndios florestais como fator  
 1057 de mudança na dominância do bambu em florestas abertas no leste do Acre. In:  
 1058 *XVIII Simpósio Brasileiro de Sensoriamento Remoto, Santos-SP, 28 a 31 de*  
 1059 *maio de 2017*. São José dos Campos, SP, Brazil: Sociedade Brasileira de  
 1060 Sensoriamento Remoto (SBSR), Instituto Nacional de Pesquisas Espaciais  
 1061 (INPE), pp. 5605-5611, 2017. Available at:

- 1062 <https://proceedings.galoa.com.br/sbsr/trabalhos/incendios-florestais-como-fator->  
1063 [de-mudanca-na-dominancia-do-bambu-em-florestas-abertas-no-leste-do](https://proceedings.galoa.com.br/sbsr/trabalhos/incendios-florestais-como-fator-)  
1064
- 1065 Sist, P.; Ferreira, F. N. Sustainability of reduced-impact logging in the eastern Amazon.  
1066 *Forest Ecology and Management*, 243, 199–209, 2007. doi:  
1067 10.1016/j.foreco.2007.02.014  
1068
- 1069 Sist, P.; Mazzei, L.; Lilian, L.; Rutishauser, E. Large trees as key elements of carbon  
1070 storage and dynamics after selective logging in the Eastern Amazon. *Forest and*  
1071 *Ecology and Management*, 318, 103–109. 2014. doi:  
1072 10.1016/j.foreco.2014.01.005  
1073
- 1074 Soares-Filho, B. S. Role of Amazon protected areas, especially the conservation units  
1075 supported by ARPA, in reducing deforestation. Fundo Brasileiro para  
1076 Biodiversidade (Funbio), Rio de Janeiro, RJ, Brazil. 12 pp. 2016.  
1077 [https://www.funbio.org.br/wp-content/uploads/2018/02/Role-of-Amazon-](https://www.funbio.org.br/wp-content/uploads/2018/02/Role-of-Amazon-Protected-Areas_IN.pdf)  
1078 [Protected-Areas\\_IN.pdf](https://www.funbio.org.br/wp-content/uploads/2018/02/Role-of-Amazon-Protected-Areas_IN.pdf)  
1079
- 1080 Soares-Filho, B. S.; Moutinho, P.; Nepstad, D.; Anderson, A.; Rodrigues, H.; Garcia,  
1081 R.; Dietzsch, L.; Merry, F.; Bowman, M.; Hissa, L.; Silvestrini, R.; Maretti, C.  
1082 Role of Brazilian Amazon protected areas in climate change mitigation.  
1083 *Proceedings of the National Academy of Sciences USA* 107(24), 10,821–10,826.  
1084 2010. doi: 10.1073/pnas.0913048107  
1085
- 1086 Stickler, C. M.; Nepstad, D. C.; Coe, M. T.; McGrath, D. G.; Rodrigues, H. O.; Walker,  
1087 W. S.; Soares-Filho, B. S.; Davidson, E. A. The potential ecological costs and  
1088 cobenefits of REDD: a critical review and case study from the Amazon region.  
1089 *Global Change Biology*, 15, 2803–2824. 2009. doi: 10.1111/j.1365-  
1090 2486.2009.02109.x  
1091
- 1092 Superti, E.; Aubertin, C. Pagamentos por serviços ambientais na Amazônia: O desvio de  
1093 um conceito – casos do Amapá e Acre. *Desenvolvimento e Meio Ambiente*, 35,  
1094 209-224. 2015. doi: 10.5380/dma.v35i0.38976  
1095
- 1096 Uhl, C.; Buschbacher, R. A disturbing synergism between cattle-ranch burning practices  
1097 and selective tree harvesting in the eastern Amazon. *Biotropica*, 17(4), 265-268,  
1098 1985. doi: 10.2307/2388588  
1099
- 1100 Vadjunec, J. M.; Gomes, C. V. A.; Ludewigs, T. Land-use/land-cover change among  
1101 rubber tappers in the Chico Mendes Extractive Reserve, Acre, Brazil. *Journal of*  
1102 *Land Use Science*, 4(4), 249–274. 2009. doi: 10.1080/17474230903222499  
1103
- 1104 Vasconcelos, S. S.; Fearnside, P. M.; Graça, P. M. L. A.; Nogueira, E. M.; Oliveira, L.  
1105 C. de; Figueiredo, E. O. Forest fires in southwestern Brazilian Amazonia:  
1106 Estimates of area and potential carbon emissions. *Forest Ecology and*  
1107 *Management*, 291, 199-208, 2013. doi: 10.1016/j.foreco.2012.11.044  
1108
- 1109 Veríssimo, A.; Rolla, A.; Vedoveto, M.; Furtada, S. M. *Áreas Protegidas na Amazônia*  
1110 *Brasileira: Avanços e Desafios*. Belém, PA, Brazil: Instituto do Homem e Meio-  
1111 Ambiente da Amazônia (IMAZON) & São Paulo, SP, Brazil: Instituto Sócio

- 1112 Ambiental (ISA) 87pp, 2011. Available at:  
 1113 [https://www.socioambiental.org/sites/blog.socioambiental.org/files/publicacoes/](https://www.socioambiental.org/sites/blog.socioambiental.org/files/publicacoes/10372_0.pdf)  
 1114 [10372\\_0.pdf](https://www.socioambiental.org/sites/blog.socioambiental.org/files/publicacoes/10372_0.pdf)  
 1115
- 1116 Viana, V.; Tezza, J.; Solidade, V.; Marostica, S.; Salviati, V.; Soares, A. Impactos do  
 1117 Programa Bolsa Floresta: Uma avaliação preliminar. *Inclusão Social*, 6(1), 201-  
 1118 218. 2012. <http://revista.ibict.br/inclusao/article/view/1703>  
 1119
- 1120 Vitel, C. S. M. N.; Carrero, G. C.; Cenamo, M. C.; Leroy, M.; Graça, P. M. L. A.;  
 1121 Fearnside, P. M. Land-use change modeling in a Brazilian indigenous reserve:  
 1122 Construction a reference scenario for the Suruí REDD project. *Human Ecology*,  
 1123 41(6), 807-826. 2013. doi: 10.1007/s10745-013-9613-9  
 1124
- 1125 Wandelli, E. V.; Fearnside, P. M. Secondary vegetation in central Amazonia: Land-use  
 1126 history effects on aboveground biomass. *Forest Ecology and Management*, 347,  
 1127 140–148. 2015. doi: 10.1016/j.foreco.2015.03.020
- 1128 World Bank. Rainforest Trust Fund Resolution, Background note, Part I: IBRD  
 1129 Resolution 92-2 (24 March 1992) Introduction and Objectives. World Bank,  
 1130 Washington, DC, USA, Available at <http://www.worldbank.org>.  
 1131
- 1132 World Bank. Report No. 13047-BR Pilot Program to Conserve the Brazilian Rain  
 1133 Forest Memorandum and Recommendation. World Bank, Washington, DC,  
 1134 USA, 1994.  
 1135 [http://documents.worldbank.org/curated/en/231801468769129079/text/multi-](http://documents.worldbank.org/curated/en/231801468769129079/text/multi-page.txt)  
 1136 [page.txt](http://documents.worldbank.org/curated/en/231801468769129079/text/multi-page.txt)  
 1137
- 1138 Wunder, S.; Börner, J.; Tito, M. R.; Pereira, L. *Pagamentos por Serviços Ambientais:*  
 1139 *Perspectivas para a Amazônia Legal, 2ª ed.* MMA, Brasília, DF, Brazil:  
 1140 Ministério do Meio Ambiente (MMA) (Série Estudos, 10), 141 pp, 2009.  
 1141 Available at:  
 1142 [http://www.mma.gov.br/estruturas/168/\\_publicacao/168\\_publicacao1706200912](http://www.mma.gov.br/estruturas/168/_publicacao/168_publicacao17062009123349.pdf)  
 1143 [3349.pdf](http://www.mma.gov.br/estruturas/168/_publicacao/168_publicacao17062009123349.pdf)  
 1144
- 1145 Yanai, A. M.; Fearnside, P. M.; Graça, P. M. L. A.; Nogueira, E. M. Avoided  
 1146 deforestation in Brazilian Amazonia: Simulating the effect of the Juma  
 1147 Sustainable Development Reserve. *Forest Ecology and Management*, 282, 78-  
 1148 91. 2012. doi: 10.1016/j.foreco.2012.06.029  
 1149
- 1150 Zeng, N.; Yoon, J. -H.; Marengo, J. A.; Subramaniam, A.; Nobre, C. A.; Mariotti, A.;  
 1151 Neelin, J. D. Causes and impacts of the 2005 Amazon drought. *Environmental*  
 1152 *Research Letters*, 3, art. 014002, 2008. doi: 10.1088/1748-9326/3/1/014002  
 1153

## 1154 **Figure legends**

1155 **Figure 1.** Extractive Reserves in the Brazilian Amazonia listed up 2015 in the National  
 1156 Register of Conservation Units (Brazil, MMA, 2015). The reserve numbers  
 1157 correspond to the numbers in Tables 1 and 2.  
 1158

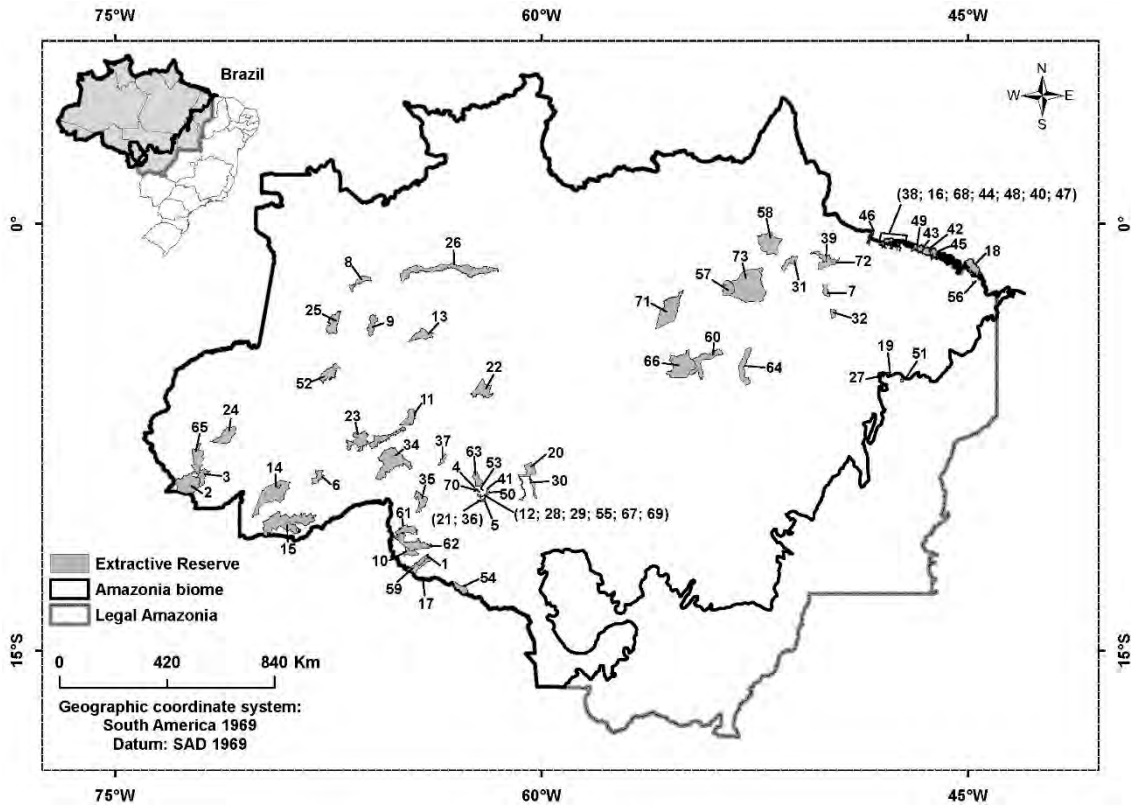
1159 **Figure 2.** Carbon density in tons per hectare (Mg ha<sup>-1</sup>) in the Extractive Reserves in the  
 1160 Brazilian Amazonia, estimated before cleared had occurred.



1162

1163 **Figures**

1164 **Figure 1.** Extractive Reserves in the Brazilian Amazonia listed up 2015 in the  
 1165 National Register of Conservation Units (Brazil, MMA, 2015). Reserve numbers  
 1166 correspond to those in Tables 1 and 2.  
 1167



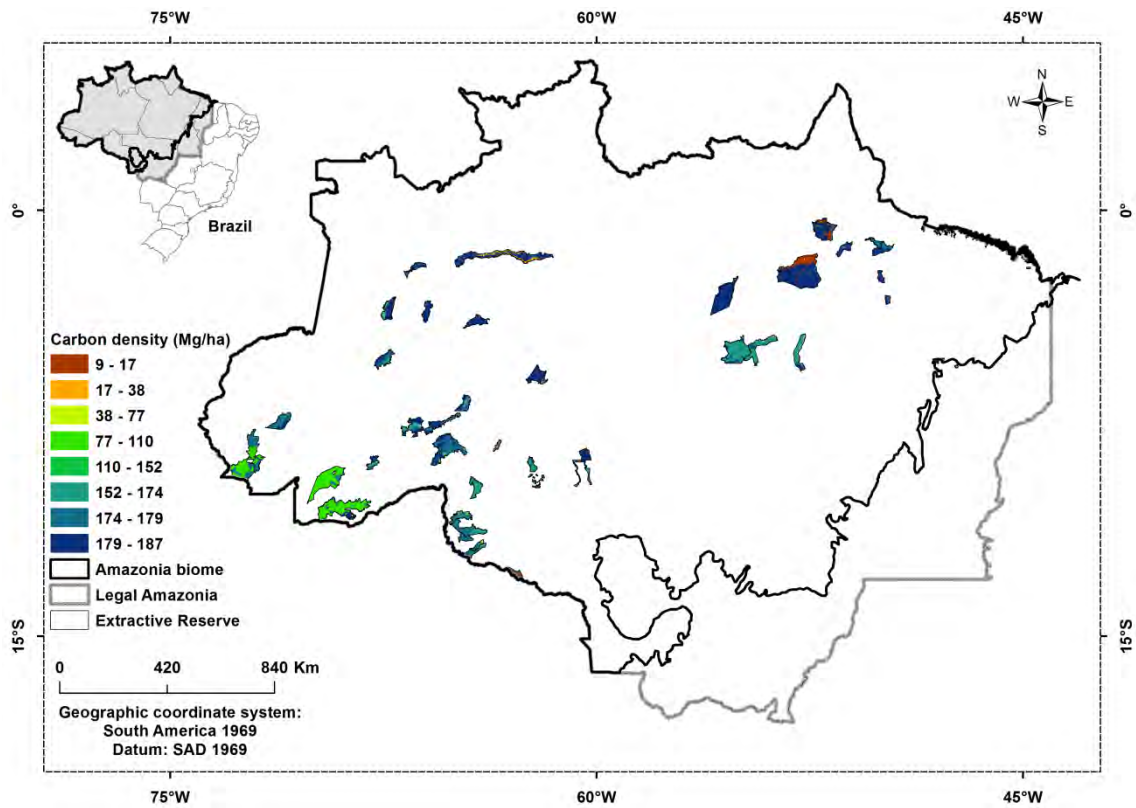
1168

1169

1170

1171 **Figure 2.** Carbon density in tons per hectare ( $\text{Mg ha}^{-1}$ ) in the extractive reserves in the  
1172 Brazilian Amazonia, estimated before clearing had occurred.

1173



1174

1175

**Table 1.** Cumulative clearing of vegetation by 2014 for each extractive reserve analyzed in the present study in Brazil's Legal Amazonia and Amazonia biome regions.

No (see Fig. 1).	Name of Protected Area	Administrative level (federal or state) and protection category (integral protection or sustainable use) *	Initial total area**	Area covered by vegetation in 2014	Cumulative clearing of vegetation by 2014	Area mapped as deforested including overlapping watercourses***
1	Reserva Extrativista do Rio Cautário	FSU	751.26	741.39	9.87	-
2	Reserva Extrativista Alto Juruá	FSU	5,378.07	5,222.58	155.49	0.218
3	Reserva Extrativista Alto Tarauacá	FSU	1,509.23	1,473.13	36.09	0.027
4	Reserva Extrativista Angelim	SSU	83.84	76.26	7.59	-
5	Reserva Extrativista Aquariquara	SSU	192.76	176.83	15.94	-
6	Reserva Extrativista Arapixi	FSU	1,337.08	1,310.56	26.52	1.965
7	Reserva Extrativista Arióca Pruanã	FSU	838.17	742.89	95.28	0.153
8	Reserva Extrativista Auatí-Paraná	FSU	1,469.49	1,452.89	16.60	0.106
9	Reserva Extrativista Baixo Juruá	FSU	1,780.39	1,754.02	26.37	0.691
10	Reserva Extrativista Barreiro das Antas	FSU	1,061.11	1,060.51	0.60	-
11	Reserva Extrativista Canutama	SSU	1,979.53	1,968.50	11.03	0.638
12	Reserva Extrativista Castanheira	SSU	96.61	92.12	4.49	-
13	Reserva Extrativista Catuá-Ipixuna	SSU	2,123.23	1,991.02	132.21	10.689
14	Reserva Extrativista Cazumbá-Iracema	FSU	7,553.46	7,471.24	82.22	-
15	Reserva Extrativista Chico Mendes	FSU	9,312.72	8,832.33	480.39	-
16	Reserva Extrativista Chocoaré-Mato Grosso	FSU	27.83	27.53	0.30	0.069
17	Reserva Extrativista Currealinho	SSU	16.62	15.75	0.87	-
18	Reserva Extrativista de Cururupu	FSU	1,572.35	1,350.71	221.64	35.255

19	Reserva Extrativista do Ciriáco	FSU	81.07	23.82	57.25	-
20	Reserva Extrativista do Guariba	SSU	1,480.84	1,479.70	1.14	0.003
21	Reserva Extrativista do Itaúba	SSU	16.04	15.47	0.58	-
22	Reserva Extrativista do Lago do Capanã Grande	FSU	3,043.07	2,998.64	44.43	1.001
23	Reserva Extrativista do Médio Purus	FSU	6,042.32	5,998.46	43.85	0.308
24	Reserva Extrativista do Rio Gregório	SSU	3,069.96	3,046.80	23.16	0.026
25	Reserva Extrativista do Rio Jutuí	FSU	2,755.13	2,735.89	19.24	0.338
26	Reserva Extrativista do Rio Unini	FSU	8,496.85	8,482.17	14.68	2.410
27	Reserva Extrativista Extremo Norte do Tocantins	FSU	90.70	5.23	85.47	-
28	Reserva Extrativista Freijó	SSU	6.29	5.39	0.89	-
29	Reserva Extrativista Garrote	SSU	8.66	8.48	0.18	-
30	Reserva Extrativista Guariba-Roosevelt	SSU	1,376.78	1,303.82	72.95	4.434
31	Reserva Extrativista Gurupá-Melgaço	FSU	1,454.16	1,430.78	23.38	0.332
32	Reserva Extrativista Ipaú-Anilzinho	FSU	558.34	375.79	182.55	0.367
33	Reserva Extrativista Ipê	SSU	8.19	6.58	1.62	-
34	Reserva Extrativista Ituxí	FSU	7,763.23	7,746.32	16.91	0.811
35	Reserva Extrativista Jaci-Paraná	SSU	2,003.20	1,373.32	629.88	-
36	Reserva Extrativista Jatobá	SSU	13.39	9.76	3.63	-
37	Reserva Extrativista Lago do Cuniã	FSU	506.04	503.95	2.08	0.061
38	Reserva Extrativista Mãe Grande de Curuçá	FSU	335.96	326.71	9.25	0.462
39	Reserva Extrativista Mapuá	FSU	937.47	908.35	29.12	1.061
40	Reserva Extrativista Maracanã	FSU	291.12	286.14	4.98	0.493
41	Reserva Extrativista Maracatiara	SSU	86.60	75.63	10.97	-
42	Reserva Extrativista Marinha Araí-Peroba	FSU	600.97	553.38	47.59	3.741
43	Reserva Extrativista Marinha Cae-Tétaperaçu	FSU	408.05	379.75	28.30	1.908
44	Reserva Extrativista Marinha Cuinarana	FSU	110.36	100.58	9.79	0.173
45	Reserva Extrativista Marinha de Gurupi-Piriá	FSU	693.81	621.86	71.95	10.445
46	Reserva Extrativista Marinha de Soure	FSU	295.79	287.37	8.42	0.258



47	Reserva Extrativista Marinha Mestre Lucindo	FSU	250.57	241.01	9.56	0.183
48	Reserva Extrativista Marinha Mocapajuba	FSU	202.95	187.37	15.58	0.432
49	Reserva Extrativista Marinha Tracueteua	FSU	274.84	265.67	9.17	0.053
50	Reserva Extrativista Massaranduba	SSU	61.75	56.29	5.46	-
51	Reserva Extrativista Mata Grande	FSU	114.32	8.09	106.22	-
52	Reserva Extrativista Médio Juruá	FSU	2,515.87	2,491.43	24.44	0.275
53	Reserva Extrativista Mogno	SSU	24.13	23.19	0.94	-
54	Reserva Extrativista Pedras Negras	SSU	1,264.74	1,262.81	1.92	0.026
55	Reserva Extrativista do Piquiá	SSU	12.79	11.44	1.35	-
56	Reserva Extrativista Quilombo do Frechal	FSU	93.38	-	-	-
57	Reserva Extrativista Renascer	FSU	2,096.64	1,949.22	147.42	0.072
58	Reserva Extrativista Rio Cajari	FSU	5,324.00	5,205.95	118.05	0.114
59	Reserva Extrativista Rio Cautário	SSU	1,509.77	1,458.02	51.76	0.118
60	Reserva Extrativista Rio Iriri	FSU	3,989.88	3,914.17	75.71	1.807
61	Reserva Extrativista Rio Ouro Preto	FSU	2,046.32	1,856.73	189.59	-
62	Reserva Extrativista Rio Pacaás Novos	SSU	3,504.43	3,483.40	21.04	-
63	Reserva Extrativista Rio Preto-Jacundá	SSU	1,197.67	1,123.02	74.66	0.262
64	Reserva Extrativista Rio Xingu	FSU	3,030.01	2,992.11	37.90	3.303
65	Reserva Extrativista Riozinho da Liberdade	FSU	3,249.03	3,195.23	53.80	-
66	Reserva Extrativista Riozinho do Anfrísio	FSU	7,360.83	7,324.97	35.86	0.114
67	Reserva Extrativista Roxinho	SSU	10.39	9.45	0.94	-
68	Reserva Extrativista São João da Ponta	FSU	34.09	32.53	1.57	0.059
69	Reserva Extrativista Seringueira	SSU	4.76	4.31	0.45	-
70	Reserva Extrativista Sucupira	SSU	28.18	27.10	1.08	-
71	Reserva Extrativista Tapajós Arapiuns	FSU	6,742.07	6,226.19	515.88	4.500
72	Reserva Extrativista Terra Grande Pracuúba	FSU	1,948.64	1,891.63	57.01	0.732
73	Reserva Extrativista Verde Para Sempre	FSU	12,893.12	12,502.10	391.01	3.707

\* FSU = Federal Sustainable-Use conservation unit, SSU = State Sustainable Use conservation unit.

\*\* Total area in each Extractive Reserve was calculated from vector map available from Brazil, MMA (2015). For some reserves the total area calculated from the vector maps may differ from the total area given in other official documents.

\*\*\* The estimates of carbon loss and the stock in the remaining vegetation in 2014 may, in certain reserves areas, be affected by the overlapping of classes (*e.g.*, watercourses, forest, non-forest and deforestation), which differ between the carbon map (Nogueira *et al.*, 2015) and the maps of the Project for Monitoring Deforestation in Amazonia (PRODES) and the Project for Monitoring Deforestation of the Brazilian Biomes by Satellite (PMDBBS) (Brazil, IBAMA 2015; Brazil, INPE 2016).

**Table 2.** Carbon estimates in extractive reserves analyzed in the present study in Brazil's Legal Amazonia and Amazonia Biome regions.

No. No (see Fig. 1).	Conservation unit name (from Brazil, MMA, 2015)	Administrative level (federal or state) and protection category (integral protection or sustainable use)*	Total area (km <sup>2</sup> )**	Remaining carbon stock in 2014***	Carbon loss by 2014***	Mean remaining carbon per hectare in 2014	Mean carbon loss per hectare
1	Reserva Extrativista Alto Juruá	FSU	5,378.07	66,908,282.39	2,141,349.71	128.11	137.71
2	Reserva Extrativista Alto Tarauacá	FSU	1,509.23	21,702,762.84	605,196.66	147.32	167.67
3	Reserva Extrativista Angelim	SSU	83.84	1,243,246.47	124,596.56	163.04	164.21
4	Reserva Extrativista Aquariquara	SSU	192.76	3,092,121.51	271,966.79	174.87	170.66
5	Reserva Extrativista Arapixi	FSU	1,337.08	23,082,733.04	427,684.81	176.13	161.26
6	Reserva Extrativista Arióca Pruanã	FSU	838.17	13,327,812.99	1,704,869.02	179.41	178.93
7	Reserva Extrativista Auatí-Paraná	FSU	1,469.49	26,020,305.79	298,928.93	179.09	180.10
8	Reserva Extrativista Baixo Juruá	FSU	1,780.39	31,860,965.08	441,805.57	181.65	167.52
9	Reserva Extrativista Barreiro das Antas	FSU	1,061.11	17,940,786.12	10,469.34	169.17	174.68
10	Reserva Extrativista Canutama	SSU	1,979.53	33,518,352.57	185,156.61	170.27	167.82
11	Reserva Extrativista Castanheira	SSU	96.61	1,501,989.16	73,855.26	163.04	164.46

12	Reserva Extrativista Catuá-Ipixuna	SSU	2,123.23	36,518,136.66	2,250,566.84	183.41	170.23
13	Reserva Extrativista Cazumbá-Iracema	FSU	7,553.46	81,960,423.68	1,037,123.80	109.70	126.14
14	Reserva Extrativista Chico Mendes	FSU	9,312.72	103,274,116.79	6,492,564.96	116.93	135.15
15	Reserva Extrativista Chocoaré-Mato Grosso	FSU	27.83	300,129.52	3,911.72	109.00	132.24
16	Reserva Extrativista Curralinho	SSU	16.62	253,333.48	12,410.76	160.85	142.02
17	Reserva Extrativista de Cururupu	FSU	1,572.35	5,830,764.79	2,410,374.22	43.17	108.75
18	Reserva Extrativista do Ciriáco	FSU	81.07	356,954.46	871,643.15	149.88	152.25
19	Reserva Extrativista do Guariba	SSU	1,480.84	27,360,330.39	20,985.73	184.91	183.97
20	Reserva Extrativista do Itaúba	SSU	16.04	252,093.24	9,477.60	163.00	163.98
21	Reserva Extrativista do Lago do Capanã Grande	FSU	3,043.07	52,820,587.02	702,257.75	176.15	158.05
22	Reserva Extrativista do Médio Purus	FSU	6,042.32	10,529,7471.09	774,240.15	175.54	176.55
23	Reserva Extrativista do Rio Cautário	FSU	751.26	1,1226,638.19	123,392.35	151.43	125.04
24	Reserva Extrativista do Rio Gregório	SSU	3,069.96	53,994,332.52	402,518.08	177.22	173.78
25	Reserva Extrativista do Rio Jutai	FSU	2,755.13	48,217,575.21	260,006.11	176.24	135.17

26	Reserva Extrativista do Rio Unini	FSU	8,496.85	12,3929,412.47	160,485.31	146.11	109.32
27	Reserva Extrativista Extremo Norte do Tocantins	FSU	90.70	40,550.28	1,495,622.94	77.55	174.98
28	Reserva Extrativista Freijó	SSU	6.29	88,121.11	14,619.82	163.37	164.04
29	Reserva Extrativista Garrote	SSU	8.66	140951.33	2954.67	166.21	165.16
30	Reserva Extrativista Guariba-Roosevelt	SSU	1,376.78	20,414,313.68	1,137,847.42	156.57	155.97
31	Reserva Extrativista Gurupá-Melgaço	FSU	1,454.16	25,824,283.63	417,166.42	180.49	178.40
32	Reserva Extrativista Ipaú-Anilzinho	FSU	558.34	6,720,226.33	3,296,893.84	178.83	180.60
33	Reserva Extrativista Ipê	SSU	8.19	107,224.94	264,55.61	163.00	163.65
34	Reserva Extrativista Ituxí	FSU	7,763.23	135,952,750.18	284,113.13	175.51	168.02
35	Reserva Extrativista Jaci-Paraná	SSU	2,003.20	22,451,152.12	10,269,651.72	163.48	163.04
36	Reserva Extrativista Jatobá	SSU	13.39	160,663.53	60,273.70	164.56	166.26
37	Reserva Extrativista Lago do Cuniã	FSU	506.04	6,986,437.54	27,952.55	138.63	134.25
38	Reserva Extrativista Mãe Grande de Curuçá	FSU	335.96	2,255,473.75	111,633.38	69.04	120.72
39	Reserva Extrativista Mapuá	FSU	937.47	15,809,893.73	496,800.61	174.05	170.62

40	Reserva Extrativista Maracanã	FSU	291.12	2,127,369.63	68,453.46	74.35	137.43
41	Reserva Extrativista Maracatiara	SSU	86.60	1,286,028.43	182,697.00	170.05	166.49
42	Reserva Extrativista Marinha Araí-Peroba	FSU	600.97	4,002,430.87	637,049.29	72.33	133.87
43	Reserva Extrativista Marinha Cae-Tétaperaçu	FSU	408.05	2846214.82	336005.31	74.95	118.71
44	Reserva Extrativista Marinha Cuinarana	FSU	110.36	1,172,922.68	159,676.46	116.62	163.17
45	Reserva Extrativista Marinha de Gurupi-Piriá	FSU	693.81	4,239,732.86	783,714.68	68.18	108.93
46	Reserva Extrativista Marinha de Soure	FSU	295.79	1,721,784.17	110,254.96	59.91	131.00
47	Reserva Extrativista Marinha Mestre Lucindo	FSU	250.57	1,859,552.18	121,455.12	77.16	127.09
48	Reserva Extrativista Marinha Mocapajuba	FSU	202.95	1,685,593.15	240,203.98	89.96	154.20
49	Reserva Extrativista Marinha Tracuateua	FSU	274.84	2,232,085.10	127,398.44	84.02	138.90
50	Reserva Extrativista Massaranduba	SSU	61.75	917,409.72	88,927.47	162.98	162.99
51	Reserva Extrativista Mata Grande	FSU	114.32	121225.44	1591330.06	149.81	149.81
52	Reserva Extrativista Médio Juruá	FSU	2,515.87	44,135,068.05	424,826.37	177.15	173.83
53	Reserva Extrativista Mogno	SSU	24.13	378,342.46	15,288.81	163.12	163.28

54	Reserva Extrativista Pedras Negras	SSU	1,264.74	1,2718,751.49	31,791.33	100.72	165.19
55	Reserva Extrativista do Piquiá	SSU	12.79	211,272.68	24,743.53	184.76	183.22
56	Reserva Extrativista Quilombo do Frechal <sup>(2)</sup>	FSU	93.38	1,419,198.73	-	-	-
57	Reserva Extrativista Renascer	FSU	2,096.64	31,854,327.45	23,80740.11	163.42	161.50
58	Reserva Extrativista Rio Cajari	FSU	5,324.00	75,332,338.93	2,136,443.45	144.70	180.98
59	Reserva Extrativista Rio Cautário	SSU	1,509.77	21,841,639.46	814,979.93	149.80	157.47
60	Reserva Extrativista Rio Iriri	FSU	3,989.88	61,143,694.56	1,226,100.70	156.21	161.94
61	Reserva Extrativista Rio Ouro Preto	FSU	2,046.32	29,755,917.86	3,161,176.32	160.26	166.74
62	Reserva Extrativista Rio Pacaás Novos	SSU	3,504.43	59,191,089.96	367,549.19	169.92	174.72
63	Reserva Extrativista Rio Preto-Jacundá	SSU	1,197.67	1,8595,789.83	1,264,426.95	165.59	169.36
64	Reserva Extrativista Rio Xingu	FSU	3,030.01	45,019,486.22	595,846.46	150.46	157.22
65	Reserva Extrativista Riozinho da Liberdade	FSU	3,249.03	46,813,193.54	924,148.32	146.51	171.77
66	Reserva Extrativista Riozinho do Anfrísio	FSU	7,360.83	122,380,199.93	613,672.46	167.07	171.14
67	Reserva Extrativista Roxinho	SSU	10.39	154,125.76	15,322.54	163.07	163.28

68	Reserva Extrativista São João da Ponta	FSU	34.09	426,031.84	25,696.86	130.97	164.19
69	Reserva Extrativista Seringueira	SSU	4.76	70,297.69	7,364.03	163.19	164.52
70	Reserva Extrativista Sucupira	SSU	28.18	441,690.93	17,863.84	162.99	164.84
71	Reserva Extrativista Tapajós Arapiuns	FSU	6,742.07	115,546,785.37	8,859,338.94	185.58	171.73
72	Reserva Extrativista Terra Grande Pracuúba	FSU	1,948.64	34,156,598.13	1,044,412.24	180.57	183.19
73	Reserva Extrativista Verde Para Sempre	FSU	12,893.12	178,775,725.20	6,993,238.69	143.00	178.85

\* IL = Indigenous land, MT = Maroon territory, FSP = Federal Strictly Protected conservation unit, FSU = Federal Sustainable-Use conservation unit, SSP = State Strictly Protected conservation unit, SSU = State Sustainable Use conservation unit, MSP = Municipal Strictly Protected conservation unit, MSU = Municipal Sustainable Use conservation unit.

\*\* Total area in each conservation unit was calculated from vector maps from Brazil, MMA (2015). Total areas calculated from the vector maps for some conservation units may differ from the areas given in official documents.

\*\*\* The estimates of carbon loss and the stock in the remaining vegetation in 2014 may, in certain reserves areas, can be affected by the overlapping of classes (*e.g.*, hydrography, forest, non-forest and deforestation), which differ between the carbon map (Nogueira *et al.*, 2015) and the maps of the Project for Monitoring Deforestation in Amazonia (PRODES) and the Project for Monitoring Deforestation of the Brazilian Biomes by Satellite (PMDBBS) (Brazil, IBAMA 2015; Brazil, INPE 2016).

(1) In these reserves it was not possible to calculate the amount of carbon stored.

(2) Carbon values refer to original carbon stocks without any carbon loss due to clearing. In these reserves a total loss of original vegetation cover may have occurred.



**Table 3.** Remaining areas covered by original vegetation and deforested areas, together with their respective carbon stocks and losses, in extractive reserves in Brazil's Legal Amazonia region.

Administrative level	No of reserves	Initial total area*	Area covered by vegetation in 2014	Cumulative clearing of vegetation by 2014	Area mapped as deforested including overlapping watercourses*	Remaining carbon stock in 2014	Carbon loss by 2014	Mean remaining carbon per hectare in forest in 2014	Mean carbon loss per hectare deforested
								In square kilometers (km <sup>2</sup> )	In tons of carbon (Mg C)
Federal	47	106,528	103,210	3,224	89	1,794,744,514	57,956,751	174	179.8
State	26	20,181	19,104	1,077	16	258,573,101	16,895,210	135	156.9
Federal + State	73	126,709	122,314	4,301	105	2,053,317,615	74,851,961	168	174.0

\* See notes in Table 2.