

BIOMASS OF BRAZIL'S AMAZONIAN FORESTS

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1 ABSTRACT

2
3 In Brazilian Amazonia the average total biomass (including
4 dead and below-ground components) in unlogged original forests
5 (i.e., never cleared by recent non-indigenous farmers or
6 ranchers) is estimated to be 428 metric tons per hectare (t/ha)
7 of dry matter (50% of which is carbon). The estimates presented
8 here are derived from published wood volume data from 2954 ha of
9 forest inventory surveys distributed throughout the region.
10 These forest biomass estimates are higher than those that have
11 been used in many global carbon calculations, including those
12 adopted by the 1992 supplementary report of the Intergovernmental
13 Panel for Climate Change (IPCC). The principal explanations for
14 the lower values commonly used are omission of a number of
15 biomass components and inappropriate conversion factors for
16 deriving biomass from forest inventory data. The current
17 estimate, in addition to being based on conversion factors
18 derived from measurements in the region, is founded on a set of
19 forest inventory data that is both much larger and spatially
20 better distributed than any previously available.

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1
2 INTRODUCTION
3

4 The initial biomass of the vegetation is an important factor affecting the magnitude of
5 greenhouse gas emissions from deforestation. The biomass estimates in the present paper are
6 based on much more data than earlier estimates. The estimates for the most important forest
7 types are higher by a factor of two than the 155.1 t/ha value for total biomass derived by
8 Brown and Lugo (1984) from FAO forest volume surveys for "tropical American undisturbed
9 productive broadleaved forests." The Intergovernmental Panel on Climate Change (IPCC) 1992
10 supplementary report (Watson et al., 1992, p. 33) opted not to revise the land-use change
11 emission estimate of 1.6 gigatons ($Gt = 10^9$ t) carbon (C)/yr derived in the IPCC's 1990
12 scientific assessment (Watson et al., 1990, p. 17). The IPCC estimate of tropical
13 deforestation emissions is the midpoint of the 0.6-2.5 Gt C/yr range of values for emissions
14 in 1980 reported by Detwiler and Hall (1988) and by Houghton et al. (1985, 1987, 1988) (Watson
15 et al., 1990, p. 11). The emissions estimate used by the IPCC for the low end of the range
16 was made by Detwiler and Hall (1988) using the forest biomass estimates of Brown and Lugo
17 (1984). Brown and Lugo have themselves abandoned their 1984 estimate, revising it upwards in
18 subsequent studies (Brown et al., 1989, Brown and Lugo, 1992a,b). The high end of the IPCC's
19 range was taken from a calculation made using an estimate of total biomass of tropical
20 American closed forests equivalent to 352 t/ha (Houghton et al., 1987, p. 134) which these
21 authors derived from Brown and Lugo (1982). The present paper indicates that Brazil's Amazon
22 forests have higher biomass than was estimated in any of these studies.
23

24 Higher biomass implies greater emissions of greenhouse gases from deforestation. The
25 studies on which the IPCC based its estimate used deforestation rates referring to 1980. The
26 Brazilian portion of the global deforestation rate estimate acts to reduce the range, as the
27 "low" emissions estimate used a higher rate than that used in the "high" estimate. The low
28 emissions estimate (Detwiler and Hall, 1988, p. 43) used a deforestation rate from Seiler and
29 Crutzen (1980, p. 223), who had estimated a minimum of 25×10^3 km²/year of clearing in virgin
30 Amazonian forests. The high emissions estimate (Houghton et al., 1987, p. 126) used a value
31 of 17×10^3 km²/year for the forests of Brazilian Amazonia, derived from Fearnside (1984) with
32 adjustments for cerrado savannas (based on Brazil, IBGE, 1979, p. 42). The 17×10^3 km²/year
33 value used by Houghton et al. (1987) is probably quite close to the true value for the forest
34 clearing rate in 1980. The annual rate of deforestation in Brazilian Amazonia increased from
35 1980 to 1987, averaging 20×10^3 km²/year over the 1978-1988 period (Fearnside, 1993a), and

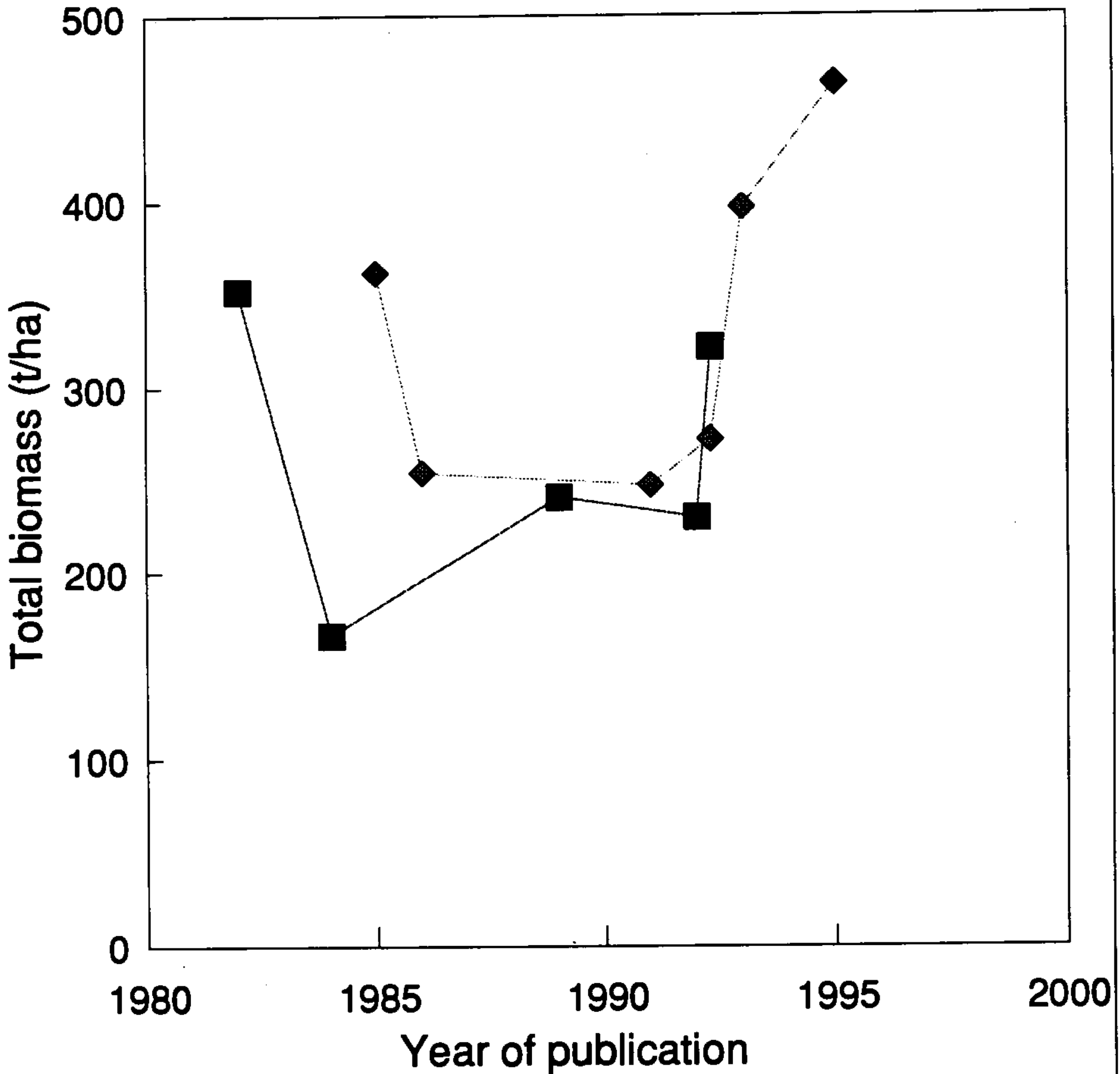
1 then declined to $11 \times 10^3 \text{ km}^2/\text{year}$ over the 1987-1991 period, mostly due to Brazil's economic
2 recession (Fearnside, 1993b). It is important not only that greenhouse gas emission estimates
3 be as close as possible to the true values of these quantities, but also that they be correct
4 for the right reasons.

5
6 Biomass of Amazonian forests has been the subject of an extended controversy, summarized
7 in Table 1. The reported biomass values (in the first column of the table) often hide
8 inconsistencies in the items included, such as below-ground and dead components. The second
9 column adjusts for these inconsistencies, giving the total biomass equivalent. Values refer
10 to a variety of different categories, such as all forests in the region, forests cleared in
11 1990, and forests with or without the effects of logging. Figure 1 plots the adjusted values
12 for total biomass of all unlogged forests in the region. The first two values by Brown and
13 Lugo are those that provide the basis for the emissions range used by the IPCC. The first
14 value (Brown and Lugo, 1982) is based on destructive sampling, while the second (Brown and
15 Lugo, 1984) is based on forest volumes. Brown and Lugo (e.g., 1992a,b; Lugo and Brown, 1986)
16 have long claimed that the reason that destructive sampling consistently produces values
17 higher than their volume-based estimates is that field ecologists are biased in their
18 selection of unrepresentatively dense or pristine study sites. The volume-based estimates in
19 the present paper, however, are in good agreement with existing destructive results, and
20 indicate that the lower results from Brown and Lugo's volume-based studies stem mainly from
21 errors and omissions in the conversion of forest inventory data to biomass.

22
23 (Figure 1 and Table 1 here)
24

BIOMASS CONTROVERSY

(Unlogged forests in Brazilian Amazonia)



■ Brown and Lugo ◆ Fearnside

TABLE 1: AMAZON FOREST BIOMASS CONTROVERSY

Biomass reported (t/ha)	Total biomass equivalent (including components omitted in published value) (t/ha)	Source	
352	352	Brown and Lugo 1982 (calculated by Houghton	et al.
1987: 134) 155.1	166	Brown and Lugo 1984	
362	362	Fearnside 1985	
254	254	Fearnside 1986, 1987	
169.68	241	Brown et al. 1989	
247(a) / 211(b)	247(a) / 211(b)	Fearnside 1991	
162(c,e) / 268(d,e)	230(c,e) / 380(d,e)	Brown and Lugo 1992a	
227(c,e) / 289(d,e)	322(c,e) / 410(d,e)	Brown and Lugo 1992b	
272(a) / 320(e)	272(a) / 320(e)	Fearnside 1992	
397(a) / 375(f)	397(a) / 375(f)	Fearnside et al. 1993	
428(a)	428(a)	This estimate	

(a) All forests in Brazilian Legal Amazon.
(b) Forests being cleared in 1988 in Brazilian Legal Amazon.
(c) From RADAMBRASIL data.
(d) From FAO data.

- 1 (e) Dense forests only.
- 2 (f) Forests being cleared in 1990 in the Brazilian Legal Amazon.

1
2 BIOMASS OF AMAZONIAN FORESTS
3 Vegetation types in Amazonia
4

5 Brazil's Legal Amazon region covers an area of 5×10^6 km²--equivalent to about two-
6 thirds the area of the continental United States or the entire area of western Europe (Figure
7 2). The different types of original vegetation present in the Legal Amazon are summarized in
8 Table 2 and the area of each is given by state in Table 3. These areas have been measured
9 (Fearnside and Ferraz, 1995) from a digitized version of the 1:5,000,000 scale vegetation map
10 of Brazil published by the Brazilian Institute for Geography and Statistics (IBGE) and the
11 Brazilian Institute for Forestry Development (IBDF--since incorporated into the Brazilian
12 Institute for the Environment and Renewable Natural Resources - IBAMA) (Brazil, IBGE/IBDF,
13 1988). The IBGE/IBDF (IBAMA) map code used indicates 28 vegetation types within the Brazilian
14 Legal Amazon, of which 19 are considered here to be forest. This is a liberal definition of
15 forest, including all ecotones between a forest and a nonforest vegetation type such as
16 cerrado. Cerrados are the dry scrub savannas of the central Brazilian plateau that
17 interdigitate with the southern boundary of the forest, especially in the states of Mato
18 Grosso, Tocantins⁽¹⁾ and Maranhão. So defined, the area of forest present according to the map
19 totals 3.7×10^6 km², or 74% of the 5×10^6 km² Legal Amazon. The area originally forested
20 totals 3.8×10^6 km². The areas that were originally forest and nonforest using this
21 definition are mapped in Figure 3.

22
23 (Figures 2 and 3 here)

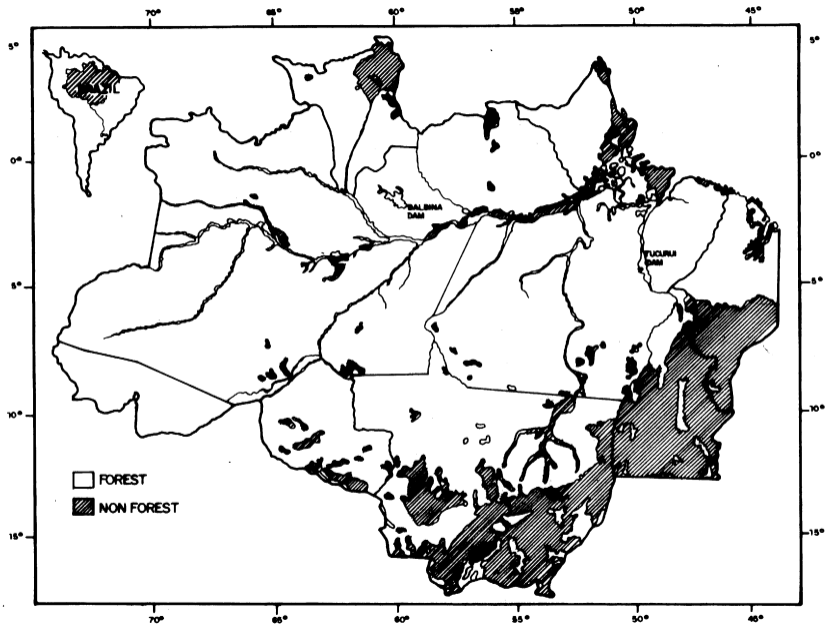
24
25 (Tables 2 and 3 here)



LEGEND

- LEGAL AMAZON
- - - STATE
- CITY OR OTHER LOCATION
- DESTRUCTIVE SAMPLE SITE
- ▲ DAM
- △ PLANNED DAM





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TABLE 2: FOREST VEGETATION TYPES IN THE BRAZILIAN LEGAL AMAZON

Cate- gory	Code	Group	Subgroup	Class
Dense Forest	Da-0	Ombrophilous forest	Dense forest	Alluvial Amazonian
	Db-0	Ombrophilous forest	Dense forest	Lowland Amazonian
	Dm-0	Ombrophilous forest	Dense forest	Montane Amazonian
	Ds-0	Ombrophilous forest	Dense forest	Submontane Amazonian
Non- dense forest	Aa-0	Ombrophilous forest	Open	Alluvial
	Ab-0	Ombrophilous forest	Open	Lowland
	As-0	Ombrophilous forest	Open	Submontane
	Cs-0	Seasonal forest	Deciduous	Submontane
	Fa-0	Seasonal forest	Semideciduous	Alluvial
	Fs-0	Seasonal forest	Semideciduous	Submontane
	La-0	Woody oligotrophic vegetation of swampy and sandy areas		Open arboreal
	Ld-0	Woody oligotrophic vegetation of swampy and sandy areas		Dense arboreal
	Lg-0	Woody oligotrophic vegetation of swampy and sandy areas		Grassy-woody
	LO-0	Areas of ecological tension and contact [ecotones]		Woody oligotrophic vegetation of Swampy and sandy areas--ombrophilous forest
	ON-0	Areas of ecological tension and contact [ecotones]		Ombrophilous forest--seasonal forest
	Pf-0	Areas of pioneer formations [early succession]		Fluvio-marine influence
	SM-0	Areas of ecological tension and contact [ecotones]		Savanna--dense ombrophilous forest
SN-0	Areas of ecological tension and contact [ecotones]		Savanna--seasonal forest	
SO-0	Areas of ecological tension and contact [ecotones]		Savanna--ombrophilous forest	

1 TABLE 3: AREA ORIGINALLY PRESENT IN EACH FOREST ECOREGION IN THE BRAZILIAN LEGAL AMAZON (10⁶
 2 t)
 3

4	-----						
5	Cate-	Code	Acre	Amapa	Amazonas	Maranhao	Mato
6	gory						Grosso
7	-----						
8	Dense	Da-0		9,011	164,876		
9	forest	Db-0	16,408	2,184	615,203	22,586	
10		Dm-0		113	10,181		
11		Ds-0	518	99,220	178,103	1,988	23,154
12	-----						
13		Subtotal	16,926	110,528	968,363	24,574	23,154
14	-----						
15	Non-	Aa-0	10,591		65,748		
16	dense	Ab-0	114,380		211,052		
17	forest	As-0			37,555		124,620
18		Cs-0				3,666	736
19		Fa-0					3,554
20		Fs-0					24,317
21		La-0			14,979		
22		Ld-0			37,405		
23		Lg-0			9,663		
24		LO-0			172,607		
25		ON-0					168,069
26		Pf-0		1,823		2,089	
27		SM-0				384	
28		SN-0			1,082	6,570	142,778
29		SO-0		4,226	27,350		22,124
30	-----						
31		Subtotal	124,971	6,049	577,441	12,709	486,198
32	-----						
33		Total	141,897	116,577	1,545,804	37,283	509,352
34		all forests					
35	-----						

1 (Table 3, pt 2)
2
3 -----
4 Para Rondonia Roraima Tocantins/ Total
5 Goias
6 -----
7 76,570 2,704 3,326 2,610 259,097
8 164,091 2,066 10,248 832,786
9 3,418 20,661 34,373
10 413,345 14,607 83,692 3,055 817,682
11 -----
12 657,424 19,377 117,927 5,665 1,943,938
13 -----
14 805 2,273 79,417
15 41,064 366,496
16 286,271 77,794 8,430 1,216 535,886
17 5,386 115 9,903
18 3,554
19 7,718 1,041 1,328 34,404
20 970 15,949
21 10,967 48,372
22 9,767 19,430
23 30,184 202,791
24 2,991 4,801 3,045 178,906
25 3,894 7,806
26 384
27 27,812 4,781 904 14,465 198,392
28 59,734 21,932 4,286 6,551 146,203
29 -----
30 386,893 160,363 69,594 23,675 1,847,893
31 -----
32 1,044,317 179,740 187,521 29,340 3,791,831
33 -----
34

1
2
3 Because the Legal Amazon is so big, each of its nine states being the size of countries
4 in many parts of the world, vegetation with the same map code in different states cannot be
5 assumed to have the same biomass. Considering each vegetation type in each state as a
6 separate unit, here designated "vegetation zones," there are a total of 111 vegetation zones
7 in the Legal Amazon, of which 78 are "forest."
8

9 Forest volumes

10
11 Biomass loading (biomass per hectare) of the different forest types is estimated from
12 forest volume inventories in two major surveys, one carried out by the RADAMBRASIL project in
13 the 1970s (Brazil, Projeto RADAMBRASIL, 1973-1983) and one by the Food and Agriculture
14 Organization of the United Nations (FAO) in the 1950s (Glerum, 1960; Heinsdijk, 1957,
15 1958a,b,c). A total of 2954 ha of usable data has been extracted from these studies for
16 vegetation types classified as forest. Almost 90% of this is surveys by RADAMBRASIL with
17 measurements of trees to a minimum diameter at breast height (DBH) of 31.8 cm; the remainder
18 is from FAO surveys with measurements to a minimum of 25-cm DBH. Almost all of the FAO and
19 RADAMBRASIL data are from one-hectare sample plots. The original data are scattered through
20 the over-50 volumes and annexes that comprise these studies. The RADAMBRASIL study is a
21 veritable labyrinth, with its vegetation key changing from one volume to the next. The
22 RADAMBRASIL vegetation maps were drawn at a scale of 1:250,000 and published at a scale of
23 1:1,000,000; the vegetation classification for these maps is more detailed than that for the
24 1:5,000,000 IBGE/IBDF (IBAMA) map used here (Table 2). The RADAMBRASIL and FAO vegetation
25 classifications were translated to the IBAMA code, and data with unresolved inconsistencies
26 were discarded.
27

28 Deriving biomass estimates from forest volume data

29
30 All biomass values given here and elsewhere in this paper refer to oven dry weight
31 biomass. Unless otherwise noted, the values are for total biomass, including both above- and
32 below-ground portions, and including dead vegetation (but not soil carbon). All biomass
33 fractions are included (leaves, small trees, vines, understory, etc.). Values are expressed
34 in terms of biomass, rather than carbon (carbon content of biomass is 50%).
35

1 The parameters used for deriving the biomass estimates are given in Table 4. It should
2 be noted that these parameters lead to estimated biomass values substantially higher than
3 those derived by Brown and Lugo (1992a) from the FAO dataset and from a summary of a portion
4 of the RADAMBRASIL dataset covering the northern part of the region. The difference is
5 largely because of biomass components omitted from the Brown and Lugo estimates, including
6 palms, vines, trees smaller than 10-cm DBH, dead biomass and below-ground biomass (see
7 Fearnside, 1992, 1993c). All of these components must be added to the estimates for use in
8 estimating carbon stocks for greenhouse gas calculations.

9
10 (Table 4 here)

1 TABLE 4: PARAMETERS FOR DERIVING BIOMASS ESTIMATES FROM RADAMBRASIL AND FAO FOREST VOLUME
2 DATA

3	4 Factor	5 Multiplier
6	Calculation of stemwood volume for trees of DBH >10 cm:	
7		
8	Volume expansion factor (30-10 cm DBH) (RADAMBRASIL)	1.25
9	Volume expansion factor (25-10 cm DBH) (FAO)	1.22
10		
11	Conversion of stemwood volume to biomass:	
12		
13	Wood density (basic specific gravity)	0.69
14	Biomass expansion factor	note a
15		
16	Adjustments to above-ground live biomass (b):	
17		
18	Hollow trees	0.9077
19	Vines	1.0425
20	Other non-tree components	1.0021
21	Palms	1.0350
22	Trees <10 cm DBH	1.1200
23	Trees 30-31.8 cm DBH	1.0360
24	Bark (volume & density)	0.9907
25	Sapwood (volume & density)	0.9948
26	Form factor	1.1560
27		
28	Adjustments for other components (b):	
29		
30	Dead above-ground biomass:	1.0860
31	Below-ground:	1.2914
32		
33	-----	
34	(a) Biomass expansion factor (BEF) from Brown and Lugo 1992: $BEF = \text{Exp} (3.213 - (0.506 \ln (SB)))$	
35	for SB <190 t/ha; 1.74 for SB >190 t/ha, where SB = stand biomass in t/ha for trees >10 cm	

1 DBH. SB = wood density X wood volume. Wood volume = volume reported by RADAMBRASIL or FAO,
2 multiplied by the appropriate volume expansion factor.

3
4 (b) The adjustments to above-ground live biomass are with respect to the biomass values as
5 defined by Brown and Lugo 1992 (live stemwood >10 cm DBH), while the adjustments for other
6 components are with respect to above-ground live biomass after the above corrections.

7
8 (c) For dense forest: 80% of volume of trees >10cm DBH is in trees >30 cm DBH. Non-dense
9 forest = 1.50 (67% of volume >30 cm DBH).

10
11 (d) 21 1-ha plots in Para by Heinsdijk 1958a,b; one 0.08-ha plot near Manaus by Prance et al.
12 1976.

13
14 (e) All cases (pan tropical) reviewed in Brown et al. 1989.

15
16 (f) Calculated from N. Higuchi, pers. comm. 1991.

17
18 (g) Fearnside et al. nd-b, nd-c; Revilla Cardenas 1986: 39, 1987: 51, 1988: 76-77.

19
20 (h) Klinge et al. 1975: 116.

21
22 (i) Klinge et al. 1975: 116; Fearnside et al. nd-a.

23
24 (j) Jordan and Uhl 1978: 392. N.B.: a lower contribution from this factor has been found in
25 French Guiana, where 2.38% of above-ground biomass is in trees of DBH <10 cm (Lescure et al.
26 1983: 245).

27
28 (k) Brazil, Projeto RADAMBRASIL 1973: Vol. 5, p. IV/12.

29
30 (l) Density: D.A. da Silva, pers. comm. 1991; weight: Revilla Cardenas 1986: 38, 1987: 51,
31 1988: 76-77.

32
33 (m) 13 species at Jari (Reid Collins & Associates Ltd. 1977); 15 species at Manaus (INPA, CPPF
34 unpublished data).

35

- 1 (n) Form factors by size class in 309 trees at Manaus: N. Higuchi et al. unpublished data;
2 size classes: Coic et al. 1991.
3
4 (o) Klinge et al. 1975; Revilla Cardenas 1986: 39, 1987: 51; 1988: 76-77; Martinelli et al.
5 1988: 35.
6
7 (p) Klinge et al. 1975 (Manaus); Russell 1983 (Jari); D. Nepstad unpublished data
8 (Paragominas).

1	(Table 4, pt. 2)		
2			
3			Note on
4	Source		basis
5			
6			
7			
8	Brown and Lugo 1992a		c
9	Brown and Lugo 1992a		
10			
11			
12			
13	Fearnside unpublished manuscript	d	
14	Brown and Lugo 1992		e
15			
16			
17			
18	Fearnside 1992		f
19	Fearnside 1992		g
20	Fearnside 1992		h
21	Fearnside 1992		i
22	Fearnside 1992		j
23	Fearnside 1992		k
24	Fearnside unpublished manuscript	l	
25	Fearnside unpublished manuscript	m	
26	Fearnside 1992		n
27			
28			
29			
30	Table 5		o
31	Table 6		p
32			
33	-----		
34			

1
2 Direct measurements of above-ground forest biomass partitioning are necessary to derive
3 factors for estimating components such as vines, understory, litter and dead wood. Available
4 data from direct measurements are presented in Table 5. Below-ground biomass is derived from
5 the few available studies presented in Table 6. The below-ground component includes
6 underground boles (the taproots directly under the trees) and roots below 1-m depth, based on
7 preliminary data by D. Nepstad (personal communication, 1993). Previous values for below-
8 ground biomass have been underestimates because virtually all available measurements have been
9 restricted to pits dug between the trees, usually to a depth of 1 m.

10
11 (Tables 5 and 6 here)

TABLE 5: DIRECT MEASUREMENTS OF FOREST BIOMASS AND COMPONENTS

CATEGORY:

Location	State	Forest description	Dry weight of component (t/ha)	
			Above-ground live biomass	Bark

DENSE FORESTS:

Altamira	Para	Dense upland		
Babaquara Dam	Para	Dense riparian	297.38	19.55
Babaquara Dam	Para	Dense upland	198.27	9.08
Belo Monte Dam (a)	Para	Dense riparian	186.1	11.76
Fazenda Dimona	Amazonas	Dense upland		
Fazenda Dimona	Amazonas	Dense upland		
Reserva Egler	Amazonas	Dense upland	357	
Samuel Dam	Rondonia	Dense upland	387.86	44.24
Samuel Dam	Rondonia	Open submontane	303	

MEAN FOR ALL DATA (k): 288.27 21.16

MEAN FOR COMPLETE DATA (k): 288.27

NON-DENSE FORESTS:

Belo Monte Dam (a)	Para	Open upland	126.05	6.45
Samuel Dam	Rondonia	<u>Mata de baixio</u> (open upland forest on poorly drained terrain)	362.45	16.48

(a) Formerly called Kararao Dam.

(b) P.M. Fearnside, P.M.L.A. Graça and F.J.A. Rodrigues unpublished manuscript.

(c) Revilla Cardenas 1988: 76.

- 1 (d) Revilla Cardenas 1988: 77.
- 2 (e) Revilla Cardenas 1987: 51.
- 3 (f) Fearnside et al. 1993.
- 4 (g) P.M. Fearnside, P.M.L.A. Graça, N. Leal Filho, F.J.A. Rodrigues and J.M. Robinson
- 5 unpublished manuscript.
- 6 (h) Klinge et al. 1975.
- 7 (i) Revilla Cardenas 1986: 39.
- 8 (j) Martinelli et al. 1988: 35.
- 9 (k) Simple mean of absolute quantities; weighted mean of percentages.
- 10 (l) Revilla Cardenas 1987: 54.
- 11 (m) Revilla Cardenas 1986: 39.

1 (Table 5, pt. 2)
 2
 3
 4
 5

6	-----					
7	Vines	Root	Under-	Dead	Litter	Total
8		mat	story	wood		dead
9			(wood +			(wood +
10			leaves)			litter)
11	-----					
12						
13	32.21					
14	9.74	4.01	9.58	12.32	10.5	22.82
15	9.02	1.34	9.15	8.87	12.31	21.18
16	2.81	3.34	5.55	11.17	8.29	19.46
17	8.1					
18	10.8					
19	21.85			25.8	7.2	33.00
20	4.59	1.96	12.96	1.68	13.56	15.24
21				27	10	37
22	-----					
23	12.39	2.66	9.31	14.47	10.31	24.78
24				14.47	10.31	24.78
25	-----					
26						
27	2.87	3.55	5.99	7.46	9.53	16.99
28						
29	10.77	10.6	2.59	5.52	5.35	10.87
30						
31						
32						
33	-----					

1 (Table 5, pt 3)
2
3
4
5 Percent of above-ground live dry weight (%)
6 -----
7 Bark Vines Root Under- Dead Litter Total
8 mat story wood
9
10
11 -----
12
13
14 6.57 3.28 1.35 3.22 4.14 3.53 7.67
15 4.58 4.55 0.68 4.61 4.47 6.21 10.68
16 6.32 1.51 1.79 2.98 6.00 4.45 10.46
17
18
19 6.12 7.23 2.02 9.24
20 11.41 1.18 0.51 3.34 0.43 3.50 3.93
21 8.91 3.30 12
22 -----
23 7.91 2.45 1.00 3.48 5.02 3.58 8.60
24
25 -----
26
27 5.12 2.28 2.82 4.75 5.92 7.56 13.48
28
29 4.55 2.97 2.92 0.71 1.52 1.48 3.00
30
31
32
33 -----

1 (Table 5, pt. 4)
2
3
4

5	Total	Vines as	Area of	Source
6	above-	percent	direct	
7	ground	of	survey	
8	dry	total	(m ²)	
9	weight	above-		
10	(t/ha)	ground		
11	-----			
12				
13	282.9	11.38	900	(b)
14	320.2	3.04	2,500	(c)
15	219.5	4.11	1,875	(d)
16	205.6	1.37	625	(e)
17	374.4	2.16	600	(f)
18	264.6	4.08	360	(g)
19	390	5.60	2,000	(h)
20	403.1	1.14	625	(i)
21	340			(j)
22	-----			
23	350.0	3.73		
24				
25	-----			
26				
27	143.04	2.01	625	(l)
28				
29	373.32	2.88		(m)
30				
31				
32				
33	-----			

1 TABLE 6: BELOW-GROUND BIOMASS IN AMAZONIAN FORESTS (a)

2 Location	3 Above- 4 ground 5 live 6 (t/ha)	7 Above- 8 ground 9 total	10 Below- 11 ground 12 between- 13 tree 14 biomass 15 (t/ha)	16 Below- 17 ground 18 bole and 19 deep 20 root 21 biomass 22 (t/ha) (b)
------------	--	---------------------------------	---	--

23 Manaus, Amazonas	<u>357</u>	<u>390</u>	<u>122.5</u>	74
24 Jari, Para	<u>368.91</u>	<u>393.24</u>	<u>56.96</u>	34
25 Paragominas, Para	<u>336</u>	<u>378</u>	<u>45</u>	23
26 Mean	354	387	75	45

27 (a) Values in italics are as reported by cited authors; other values are calculated.

28 (b) Below-ground bole calculated as 50% and roots below 1-m depth as 10% in relation to
29 between-tree estimates to 1-m depth. This is based on preliminary results from Paragominas
30 and Porto Trombetas, Para (D. Nepstad, pers. comm. 1993).

31 (c) Klinge et al. 1975; Klinge and Rodrigues 1973.

32 (d) Russell 1983: 29; root mat (12.49 t/ha) considered as below-ground. Litter (5.66 t/ha)
33 and "vines & surface roots" (3.46 t/ha) considered as above-ground.

34 (e) Uhl et al. (1988: 670) for above-ground components except above-ground roots (30 t/ha) (D.
35 Nepstad, pers. comm. 1991 cited by I.F. Brown et al. 1992); below-ground between-tree biomass
from D. Nepstad (pers. comm. 1994). This refers to between-tree roots to 9-m depth (other
between-tree estimates are to 1 m). The value calculated for "below-ground boles and deep
roots" given for Paragominas refers only to below-ground boles. The Paragominas estimate,
like the other estimates, ignores the below-ground boles directly under the trees: only the

1 roots projecting laterally into the soil from each tree are sampled (D. Nepstad, pers. comm.
2 1992).

1 (Table 6, pt. 2)

2

3 Approximate	4 Total biomass	5 Root/shoot ratio	6 Below-ground percent	7 Below-ground percent	8 Source
9 (t/ha)	(t/ha)	(live + dead)	of total	of live	
10 -----					
11 196	586	0.50	33.4	35.4	(c)
12 91	484	0.23	18.8	19.8	(d)
13 68	446	0.18	15.2	16.7	(e)
14 -----					
15 120	505	0.31	23.7	25.3	
16 -----					
17					
18 -----					

1
2 The total biomass is derived for each of the approximately 3000 samples, and the average
3 for each vegetation zone is calculated. Sample sizes in hectares are given in Table 7. Of
4 the 78 forested vegetation zones appearing on the IBAMA (IBGE/IBDF, 1988) map, 44 (56%) have
5 forest volume data available in the RADAMBRASIL or FAO datasets, and 34 (44%) do not.
6 Fortunately, most of the vegetation zones without data are of relatively minor importance from
7 the standpoint of current greenhouse gas emissions. Of estimated biomass cleared in 1990,
8 they total only 21.5%. Of this, over half is represented by only three vegetation zones: open
9 submontane ombrophilous forest (As-0) in Mato Grosso, the same forest type (As-0) in Rondônia,
10 and the ecotone between savanna and seasonal forest (SN-0) in Tocantins. For the vegetation
11 zones with no forest volume measurements, the mean biomass for the areas sampled in the same
12 vegetation type (in the other states) is used as a substitute. For 5 of the 19 forest types,
13 no measurement exists for any state. Ten of the 36 vegetation zones without data fall into
14 this category. All of these are in the nondense forest category, and, fortunately, none
15 represents a major vegetation zone from an emissions standpoint. The mean for sampled areas
16 in nondense forests was used as a substitute for these 10 values. Vegetation types with no
17 sample in any state represent only 1.0% of the estimated biomass cleared in 1990; of this
18 small amount, 73.4% is in one vegetation type (mangroves: Pf-0). The mean biomass per hectare
19 in each of the forested vegetation zones, including the values substituted as described above,
20 are presented in Table 8. It is evident that significant variation exists among states and
21 among forest types.

22
23 (Tables 7 and 8 here)

TABLE 7: SURVEYED AREA OF ECOREGIONS IN THE BRAZILIAN LEGAL AMAZON (HA WITH COMPLETE DATA)

Cate- gory	Code (a)	Acre	Amapa	Amazonas	Maranhao	Mato Grosso (b)
Dense forest	Da-0			1	249	4
	Db-0	11	6	363	18	
	Dm-0		0	2		
	Ds-0	12	30	174		0 51
Subtotal		23	37	788	18	55
Non- dense forest	Aa-0	12		26		
	Ab-0	27		53		
	As-0			8		0
	Cs-0				0	1
	Fa-0					7
	Fs-0					22
	La-0			0		
	Ld-0			0		
	Lg-0			0		
	LO-0			219		
	ON-0					101
	Pf-0		0		0	
	SM-0				0	
	SN-0			2	0	66
	SO-0		0	2		13
Subtotal		39	0	310	0	210
Total all forests		62	37	1,098	18	265

(a) Vegetation codes as defined in Table 2.

- 1 (b) One ecoregion (Da-0 in Mato Grosso) appears on the 1:1,000,000 scale RADAMBRASIL map.

1 (Table 7, pt 2)
2
3 -----
4 Para Rondonia Roraima Tocantins/
5 Goiás Total
6 -----
7 17 5 6 0 282
8 1,028 0 10 1,436
9 0 25 27
10 164 0 47 4 482
11 -----
12 1,209 5 88 4 2,227
13 -----
14 0 0 38
15 12 92
16 86 0 0 0 94
17 0 0 1
18 7
19 9 0 0 31
20 0
21 0
22 0
23 2 221
24 0 11 20 132
25 0
26 0
27 2 0 2 0 72
28 24 0 0 0 39
29 -----
30 112 32 24 0 727
31 -----
32 1,321 37 112 4 2,954
33 -----
34

TABLE 8: FOREST BIOMASS PER HECTARE: MEANS BY ECOREGION, VEGETATION TYPE AND STATE (t/ha) (a)

Cate- gory	Code	Acre	Amapa	Amazonas	Maranhao	Mato Grosso
Dense forest	Da-0		442	480		285
	Db-0	411	545	439	430	
	Dm-0		<u>413</u>	324		
	Ds-0	367	<u>574</u>	448	<u>403</u>	377
	Dense forests	409	562	447	428	377
Non- dense forest	Aa-0	398		407		
	Ab-0	427		437		
	As-0			475		<u>350</u>
	Cs-0				<u>360</u>	<u>360</u>
	Fa-0					347
	Fs-0					378
	La-0			<u>408</u>		
	Ld-0			<u>408</u>		
	Lg-0			<u>408</u>		
	LO-0			468		
	ON-0					360
	Pf-0			<u>408</u>	<u>408</u>	
	SM-0				<u>408</u>	
SN-0				<u>361</u>	359	
SO-0			<u>378</u>	545	339	
	Non-dense forests	424	387	447	370	357
	All forests	423	553	447	408	358

1 -----
2 (a) Values in italics are for ecoregions where no sample exists: values are based on the mean
3 in sampled plots for the same vegetation type in other states.

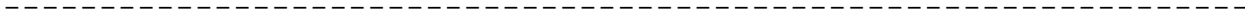
1 (Table 8, part 2)

2

3 -----

4 Para	Rondonia	Roraima	Tocantins/ Goias	Mean in sampled plots	Area- weighted mean
8 387	292	394	<u>466</u>	466	448
9 548	<u>517</u>	392		517	460
10 <u>413</u>		420		413	391
11 <u>486</u>	<u>403</u>	403	104	403	474
12 -----					
13 489	400	405	271	484	463
14					
15 -----					
16 501	<u>403</u>			403	407
17	<u>378</u>			427	427
18 338	<u>350</u>	<u>350</u>	<u>350</u>	350	352
19 <u>360</u>			<u>360</u>	360	360
20				347	347
21	442	<u>397</u>	<u>397</u>	397	394
22		<u>408</u>			408
23		<u>408</u>			408
24		<u>408</u>			408
25		<u>410</u>		468	460
26 <u>376</u>	511	384		376	365
27 <u>408</u>					408
28					408
29 449	<u>361</u>	316	<u>361</u>	361	372
30 385	<u>378</u>	<u>378</u>	<u>378</u>	378	406
31 -----					
32 355	371	397	367	408	392
33					
34 -----					
35 440	374	402	349	465	428

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9Biomass of cerrado

The above biomass calculations apply only to forest. Nonforest areas that are subject to clearing activity can best be assumed to have biomass of cerrado. Cerrado biomass is derived from firewood volume surveys (Table 9). The mean of the three available cerrado firewood estimates corresponds to a total biomass of 45 t/ha.

(Table 9 here)

TABLE 9: CERRADO BIOMASS FROM FIREWOOD VOLUME ESTIMATES

Location	Firewood volume (steres/ha) (a)	Firewood dry weight (t/ha) (b)	Above- ground biomass (t/ha) (c)	Total biomass (t/ha) (d)	Firewood volume reference
Grande Carajas	120	47	52	82	Brazil, PGC/CODEBAR/SUDAM 1986: 70. Estimate for <u>cerrado</u> .
Mato Grosso (central part)	25	10	11	17	Brazil, Projeto RADAMBRASIL 1982, Vol. 26: 445. From 54 ha of basal area measurements in Open Arboreal Savanna (<u>campo cerrado</u>)
Mato Grosso (southern part)	54	21	24	37	Brazil, Projeto RADAMBRASIL 1982, Vol. 27: 363. From 44 ha of basal area measurements in Open Arboreal Savanna (<u>campo cerrado</u>).
Mean	66	26	29	45	

(a) Steres are m³ of stacked firewood, including air spaces between pieces.

(b) 390 kg dry weight/steres for cerrado in Carajas (Brazil, PGC/CODEBAR/SUDAM 1986: 70).

(c) Assumes 1.12 multiplier for 0-10 cm fraction used for forest, and that firewood is ≥ 10 cm diameter.

(d) Assumes underground biomass = 36% of total biomass (value used by Seiler and Crutzen 1980: 212 for "scrubland").

1
2
3 Most of the area of nonforest original vegetation (i.e., prior to recent European
4 settlement) is cerrado, other types occupying relatively small areas. Nine nonforest
5 vegetation types occur in the region according to the 1:5,000,000 scale Brazil, IBGE/IBDF
6 (1988) map (Fearnside and Ferraz, 1995).
7

8 DISCUSSION
9

10 Considerable confusion has prevailed in using biomass numbers for global warming
11 calculations, often as a result of using data published for a specified portion of the biomass
12 (such as above-ground live biomass) as a measure of total biomass. Table 10 summarizes the
13 relationships between different biomass measures, based on available data from Brazilian
14 Amazonia; this has proved very useful in keeping the relationships between these quantities
15 clear.
16

17 (Table 10 here)

TABLE 10: AVERAGE PERCENTAGES OF BIOMASS COMPONENTS, BASED ON AVAILABLE DATA FROM BRAZILIAN AMAZONIA (a)

Relationship	Above-ground			Total	Below-ground	Total live	Total (live + dead)
	Live	Fine litter	Other dead				
Quantity relative to above-ground live biomass:	100.00	3.58	5.02	108.60	33.59	133.59	142.18
Quantity relative to total above-ground biomass:	92.08	3.29	4.62	100.00	30.93	123.01	130.93
Quantity relative to total live biomass:	74.73	2.67	3.75	81.15	25.27	100.00	106.42
Quantity relative to total biomass:	70.22	2.51	3.53	76.26	23.69	93.96	100.00

(a) Based on data in Tables 5 and 6.

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1
2
3 The biomass estimate in the present paper refers to total biomass, including below-ground
4 and dead components. This is the most relevant biomass measure for global warming
5 calculations that involve comparison of carbon stocks in forest biomass with those in the
6 biomass of the vegetation that replaces forest.
7

8 Even when the confusion of comparing one biomass measure with another is eliminated, the
9 biomass estimate derived in the present paper is higher than other estimates. This is true
10 for a number of reasons. It is higher than the Brown and Lugo (1984) estimate because of the
11 conversion factors used to calculate volume from the original measurements of tree diameters,
12 and to calculate biomass from volume. Brown and Lugo's (1984) estimate was based on Food and
13 Agriculture Organization of the United Nations (FAO) inventories of timber volumes in trees
14 over 25 cm diameter at breast height (DBH). Using the same methodology, calculations from the
15 original data indicate that only 1 of 16 localities has a biomass value as low as Brown and
16 Lugo's (1984) mean value of 155.1 t/ha (Fearnside, 1986, 1987).
17

18 The present estimate is higher than the S. Brown et al. (1989) estimate because of a
19 number of needed corrections, of which form factor is the largest (Table 4). S. Brown et al.
20 (1989) revised their previous (Brown and Lugo, 1984) biomass values upward by 28-47%, mainly
21 as a result of an improved correction for the small diameter biomass components not directly
22 measured in the original FAO surveys of forest volume and as a result of a higher estimate for
23 mean wood density. A substantial further upward adjustment is necessary for factors omitted
24 from the S. Brown et al. (1989) estimate, including roots, palms, vines, stems <10 cm DBH, and
25 dead biomass. S. Brown et al. (1989, p. 898) calculate a mean above-ground live biomass of
26 169.68 t/ha for undisturbed broadleaved productive forests in Tropical America, which is
27 equivalent to 223 t/ha of total live biomass if a conversion factor of 1.32 is applied (from
28 Table 10, based on studies reviewed in Fearnside et al., 1993), or 197 t/ha if calculated with
29 the factor of 1.16 used by S. Brown et al. (1989, p. 898). Inclusion of dead biomass would
30 raise the total from 223 to 237 t/ha (based on Table 10). Applications of the S. Brown et al.
31 (1989) estimate to global carbon calculations (e.g., Houghton, 1989, 1991) have not included
32 adjustments for the omitted biomass fractions (Table 10), which, taken together with
33 adjustments for form factor and other considerations (Table 4), increase the total biomass
34 present by about 71%. On the other hand, it should be noted that the effect is offset in
35 these particular calculations because they used an estimate of deforestation (Myers, 1989,

1 1990, 1991) that overestimates the rate in Brazil by a factor of two (see Fearnside, 1990).

2
3 Brown and Lugo (1992a) derived an estimate of 162 t/ha, subsequently revised to 227 t/ha
4 (Brown and Lugo, 1992b), as the average above-ground live biomass of dense forests in
5 Brazilian Amazonia, based on forest volume data from RADAMBRASIL Project inventories (Brazil,
6 Projeto RADAMBRASIL, 1973-1983). A variety of factors not considered by Brown and Lugo
7 indicate the need for upward adjustment of this estimate (Fearnside, 1992; see Brown and Lugo,
8 1992c, Fearnside, 1993c). On the other side, Sombroek (1992) believes that Brown and Lugo's
9 (1992a) estimate should be scaled down because of nonforest vegetation types excluded from the
10 RADAMBRASIL surveys and because the survey teams' choices of plot locations within the sampled
11 vegetation may have avoided patches with low biomass. The exclusion of nonforest vegetation
12 is not relevant to biomass estimates confined to forest (as opposed to the full 5×10^6 km²
13 Legal Amazon region of Brazil). Any bias in the choice of sample plots, however, would indeed
14 affect Brown and Lugo's results as well as others based on RADAMBRASIL survey data, including
15 those in the present paper.

16
17 The effect of logging is particularly important in interpreting forest biomass estimates
18 in terms of the contribution of deforestation to global warming. The estimates presented here
19 reflect the biomass present at the time of the forest inventories, which were done before much
20 of the recent logging activity in the region. While clearing in the 1970s usually involved
21 burning felled forest from which no timber had been removed, subsequent improvements in road
22 access and increases in timber prices have made it commonplace in the 1990s for any salable
23 timber to be removed prior to clearing. The appropriate biomass figure to use in emission
24 calculations--pre-logging or post-logging biomass--will depend on the nature of the
25 calculations. The post-logging biomass should be used only if logging is explicitly included
26 in the calculations. Lugo and Brown (1992) are emphatic in condemning the use of biomass
27 estimates for undisturbed forests when emissions calculations are done for deforestation.
28 However, it should be pointed out that explicit treatment of logging is currently rare in such
29 calculations, and that a greater bias occurs if a reduced "post-logging" biomass is used in
30 calculations that omit explicit emissions estimates for logging.

31
32 In using biomass estimates for greenhouse gas emissions calculations, one must be careful
33 to avoid double counting of carbon affected by logging. This would occur if pre-logging
34 forest biomass were used in a calculation that computes carbon releases through logging when
35 the same value for biomass is used for deforestation emissions, thereby counting the same

1 carbon both when forests are cleared and when the products of logging decay.

2
3 The present estimate is higher than this author's previous estimates (Fearnside, 1991,
4 1992) because of better data for biomass in the southern portion of the region where
5 deforestation activity is concentrated. The previous estimates had used statements by
6 foresters in Mato Grosso regarding timber volumes in that very important state, whereas the
7 present estimate uses data from the RADAMBRASIL survey for Mato Grosso. None of Brown and
8 Lugo's estimates contains any data from Mato Grosso. The Mato Grosso data in the present
9 paper are especially important for estimates of greenhouse gas emissions from deforestation,
10 as this state accounted for 28% of the forest clearing activity in the Legal Amazon region in
11 1990, and 26% in 1991 (P.M. Fearnside, L.G. Meira Filho, and A.T. Tardin, unpublished
12 manuscript; Fearnside, 1993b).

13
14 The region-wide mean derived here (428 t/ha) refers to pre-logging total biomass in all
15 original vegetation defined as forest (3.8×10^6 km²) in the Legal Amazon. Because both the
16 deforestation rate and the average biomass present vary among states, estimates of emissions
17 from deforestation must use biomass values that are weighted by the rate of clearing.
18 Adjustments for logging appropriate to the emissions estimate must also be made.

19 20 CONCLUSIONS

21
22 Analysis of published wood volume data from 2954 ha of forest inventory surveys
23 distributed throughout the region permit more reliable estimates of average biomass in
24 Amazonian forests than was previously possible. Average total biomass (including dead and
25 below-ground components) in unlogged original forests present in the Brazilian Legal Amazon is
26 estimated to be 428 t/ha. The average above-ground biomass is 327 t/ha, of which 301 t/ha is
27 alive; below-ground biomass averages 101 t/ha. Disaggregating the total biomass estimates by
28 state and forest type allows the data to be used in conjunction with Brazil's LANDSAT-based
29 deforestation estimates, which are reported on a state-by-state basis.

30 31 NOTES

32
33 (1) Tocantins is a state created by Brazil's October 1988 constitution from the northern half
34 of the former state of Goiás. The border between Tocantins and the present state of Goiás is
35 an irregular line zigzagging along the 13th parallel S latitude, which has been the limit of

1 the Legal Amazon in this area since 1953. Different government agencies now use different
2 definitions of the Legal Amazon. The National Institute for Space Research (INPE), which
3 interprets satellite imagery for deforestation, considers the present state of Tocantins to
4 define the limit of the Legal Amazon here. Deforestation data from previous years have been
5 reinterpreted to conform to the new definition, but the areas of the vegetation types have not
6 yet been adjusted (referred to in the tables as "Tocantins/Goiás"). Of the present state of
7 Goiás, 2875 km² lies north of 13° S latitude, and 7411 km² of Tocantins lies south of this
8 parallel. Virtually none of the portion of Tocantins south of 13° S was originally forested.
9

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1 FIGURE LEGENDS

2

3 Fig. 1. Biomass controversy: estimates for unlogged forests in Brazilian Amazonia by Brown
4 and Lugo (open squares) and by Fearnside (solid triangles).

5

6 Fig. 2. Brazil's Legal Amazon region, with locations mentioned in the text.

7

8 Fig. 3. Forest and nonforest in the Brazilian Legal Amazon (Source: Fearnside and Ferraz,
9 1995).

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